

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1245.
2. COW Alliance # (if applicable): # 2048.
3. Signature date(s) (may vary for members in multilateral alliances):  
January 16, 1864.
4. Members of alliance (use COW country codes):  
300 Austria  
255 Germany (Prussia).
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Executive Agreement.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
No.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Zero.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
June 6, 1866.
15. Describe what caused the alliance to terminate.  
The Austrian governor of Holstein summons the Holstein Diet to discuss the future of the Duchy. Bismarck denounces this as a violation of the Gastein Convention and orders Prussian troops to the Duchy (Langer, 1972: 729).

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Offense Pact  
Consultation Pact.

17. Type of alliance as coded in COW data (if applicable):

Defense Pact.

18. Describe the obligations of the alliance partners.

Austria and Prussia will further lobby the acceptance of its proposal from December 28 and in case that the Federal Assembly approves the proposal, Austria and Prussia will, in the name of the Federal Assembly, demand of Denmark to repeal the constitution of November 18, 1863 concerning Schleswig. If Denmark does not repeal the constitution within 48 hours, Austria's and Prussia's will withdraw their ambassadors and Schleswig will be occupied by already equipped Austrian and Prussian troops as well as other Federal troops depending on the arrangements with the Federal Assembly (Art.1). In the case that the Federal Assembly not accept the Austrian and Prussian proposal, Austria and Prussia will act independently to ensure the rights of Germany. In this case Austria and Prussia will demand, only in their own names, that Denmark repeal the constitution and in case of Denmark's refusal will send Austria and Prussian troops to Holstein (Art.2). A higher-ranking Austrian official will be sent to Berlin to discuss the necessary military question that fall outside of the range of the Federal Assembly (Art.3). In case that there will be hostilities in Schleswig and the agreements between the German powers and Denmark become invalid, Austria and Prussia undertake to decide about the future of the dukedoms (Schleswig and Holstein). In order to agree on these matters, the two states will hold further consultations if necessary. They will not decide the question of succession in these dukedoms other than in consensus (Art.5). In the case of interference by other powers, Austria and Prussia reserve themselves the right to hold further consultations (Art.6).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific adversary, specific location, noncompliance with a specific demand.

20. Describe the nature of the limits to obligations listed in #19.

The offense clause is directed against Denmark. Austria and Prussia will attack the Danish dukedoms of Schleswig and Holstein should Denmark refuse to repeal the constitution that it enacted on November 18, 1863.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes- Denmark (390).

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

Yes. A higher-ranking Austrian official will be sent to Berlin to discuss the necessary military question that fall outside of the range of the Federal Assembly (Art.3).

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

Yes. Article 4 of the January 16 Convention mentions a Supreme Commander and Article 6 of the June Protocol refers to the Supreme Commander of the unified sea forces.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

Yes. Schleswig will be occupied and ruled by the commander-in-chief of the troops (Art.4).

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

Yes. The Protocol of June 6, 1864 discusses the division of spoils, including the possibility of a mixed commission.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. The German Federation is asked to support the Austrian demand to Denmark.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

Yes. There is a Protocol from March 6, 1864 and a Protocol from March June 6, 1964.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Coded based on translation from German by Michaela Mattes.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty of January 16, 1864; Protocol of March 6, 1864; Protocol of June 6, 1864.

59. Treaty citation:

Fleischer, Richard, ed., 1903, Deutsche Revue. Eine Monatsschrift. Series 28, vol.4. Stuttgart und Leipzig: Deutsche Verlags-Anstalt.

60. Date of last revision of this coding sheet: 01/26/05.

61. Coder: Michaela Mattes, Ashley Leeds.