

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2060.
2. COW Alliance # (if applicable): # 3025.
3. Signature date(s) (may vary for members in multilateral alliances):
February 19, 1921.
4. Members of alliance (use COW country codes):
220 France
290 Poland.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
Yes. France is involved in COW war #116, the Franco-Turkish War.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Executive Agreement
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
The political agreement is public but the military convention is secret.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
No.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No. But after each year the military convention may be changed after prior agreement of both parties (Military Convention, Art.VII).
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
September 27, 1939
15. Describe what caused the alliance to terminate.
Poland loses independence in WWII

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Consultation Pact
Defense Pact.
17. Type of alliance as coded in COW data (if applicable): Defense Pact

18. Describe the obligations of the alliance partners.

In order to coordinate their pacifistic efforts, the two government commit themselves to consult each other with respect to all foreign policy questions that concerns both states and with respect to the regulation of international relations according to the spirit of the treaties and conforming with the League of Nations (Political Agreement, Art.1). In case that, against the sincerely peaceful predictions and intentions of the two contracting parties, both or one of them is attacked without provocation on its part, the two governments will consult each other on the defense of their territories and to safeguard their legitimate interests as outlined in the preamble (Political Agreement, Art.3).

In case that Germany should become menacing to the extent that there is a threat of war against one of the two signatories, and especially if Germany mobilizes, as well as when the execution of the Treaty of Versailles requires a common action by the signatories, they commit themselves to strengthen their preparations in such a way that they can compete quickly and efficiently and act together. In the case of German aggression against either of the signatories, both are required to fight, following a common accord. German aggression is defined as all aggression originating from the territory that the Treaty of Versailles defines as German territory (Military Convention, Art.I).

In the case that Poland is threatened with war by the Soviets of in case of an attack of Poland by the Soviets France commits itself to hold Germany in check by land and sea and to aid Poland in its defense against the Soviets (Military Convention, Art.II).

In the eventualities described in articles I and II, the direct aid France commits itself to provide consists of sending war materials and a technical mission but not troops to reinforce the Polish army. France also commits itself to assure the safety of communication lines between Poland and herself (Military Convention, Art.III).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific adversary, nonprovocation

20. Describe the nature of the limits to obligations listed in #19.

The consultation provision is general but the defense provision specifies Germany and France as the countries against which France and Poland will defend themselves. France and Poland commit themselves to act together against Germany should Germany threaten or attack either. France also commits itself to help Poland against the Soviet Union should Poland be threatened or attacked by the Soviet Union, but short of sending troops

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No. In the eventualities described in articles I and II, the direct aid France commits itself to provide consists of sending war materials and a technical mission but not troops to reinforce the Polish army. France also commits itself to assure the safety of communication lines between Poland and herself (Military Convention, Art.III).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes. Germany (255) and USSR (365).

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

Yes. The two parties commit themselves to consult each other before concluding new agreements that are relevant to their central European and oriental policies (Political Agreement, Art.4).

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

Yes. The chiefs of staff will constantly agree on the preparations and means necessary to execute the decisions of the present convention and to maintain the lines of cooperation between the two states (Military Convention, Art.VI). In order to assure complete harmony and indispensable collaboration between the French officers and Polish officers, they will inform each other about all current affairs and invite each other to all conferences and meetings. Poland will institute in France a liaison bureau composed of officers and send a number of officers to schools and troops of the French army (Military Convention, Art.VII).

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

Yes. In the eventualities described in articles I and II, the direct aid France commits itself to provide consists of sending war materials and a technical mission (Military Convention, Art.III). France helps Poland to provide its army with the necessary material to mobilize and resupply the army during the war according to a special plan (Military Convention, Art.V).

Poland will institute in France a liaison bureau composed of officers and send a number of officers to schools and troops of the French army (Military Convention, Art.VII).

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

Yes. There will be three regiments of three battalions and two artillery regiments (Military Convention, Art.IV).

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. The two parties consult each other with respect to the regulation of international politics conforming to the League of Nations (Political Agreement, Art.1).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

Yes. The accords do not come into force until the commercial agreements between the two countries are signed. The agreement also consists of a political agreement and a military convention.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes. Economic Cooperation (Political Agreement, Art.2).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

Yes. See # 49

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Coded from French.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: Wandycz, Piotr S. 1962. France and her Eastern Allies 1919-1925. Minneapolis, MN: University of Minnesota

Press, p. 393.

60. Date of last revision of this coding sheet: 02/21/05.

61. Coder: Michaela Mattes, Ashley Leeds