

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4245
2. COW Alliance # (if applicable): N/A.
3. Signature date(s) (may vary for members in multilateral alliances):  
May 30, 1992.
4. Members of alliance (use COW country codes):  
365 Russia  
704 Uzbekistan.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Yes. The treaty is signed for 10 years (Art.27).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
Yes. Each party may suspend the current treaty by submitting a written notification. The treaty will expire one year after the notification is required by the other party (Art.27). Each party has a right to request changes or additions to the treaty which will enter into force after bilateral ratification (Art.25).
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
Yes. The treaty shall automatically be renewed for further 10-year periods unless one of the signatories decides otherwise. Each party may suspend the current treaty by submitting a written notification. The treaty will expire one year after the notification is required by the other party (Art.27).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Zero.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
Still in effect (provided by Russian MFA).  
Version 4.0: In effect as of October 15, 2017 according to Ministry of Foreign Affairs, Russia  
([http://www.mid.ru/spd\\_md.nsf](http://www.mid.ru/spd_md.nsf))
15. Describe what caused the alliance to terminate.  
N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression Pact  
Consultation Pact.  
Defense Pact.

17. Type of alliance as coded in COW data (if applicable): N/A.

18. Describe the obligations of the alliance partners.

The parties develop their relations as sovereign, equal and friendly states and each other's sovereignty, independence, territorial integrity and the principles of noninterference, nonaggression, and they promise not to threaten or use force (including economic and other) and resolve all conflicts peacefully (Art.1).

Each party will refrain from participating or supporting any action targeted against the other party and will not allow its territory to be used for preparing aggression against the other party (Art.5).

In case of a situation threatening the peace, security or territorial integrity and sovereignty of one of the parties, the parties will start consultations on coordinating their positions and removing the threat (Art.5).

The parties will cooperate in the world and the region. They will coordinate each other's foreign policies, continue the process of disarmament and of collective security as well as assist in reinforcing the role of the UN. They will cooperate in the resolution of regional conflicts and other situations that affect their mutual interests. They will coordinate positions in the above-mentioned circumstances in order to implement coordinated activities. They will hold regular consultations on issues of mutual interest (Art.3). Based on the agreement of Collective Security (dated May 15, 1992) which was signed in Tashkent, the parties will cooperate on their defense and keep their strategic forces under unified command. The parties will collaborate on defending state borders. The parties will cooperate in ensuring a joint defense policy based on the previously agreed-upon military doctrines, proceeding from the fact that the territories of both states are in a common strategic-military space (Art.4).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Each party will refrain from participating or supporting any action targeted against the other party and will not allow its territory to be used for preparing aggression against the other party (Art.5). The parties will allow military sites and equipment to be used by each other when necessary (Art.4).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.  
Yes. The parties (...) resolve their conflict peacefully (Art.1). The parties will hold regular consultations, twice a year, on issues of implementation of this treaty and will resolve disagreements about the interpretation of the treaty (Art.24).
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
The parties cooperate in ensuring a joint defense policy based on previously agreed upon military doctrines (Art.4).
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.  
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
Yes. Based on the agreement of Collective Security from May 15, 1992 the parties will cooperate on their defense and keep their strategic military forces under unified command (Art.4).
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
Yes. The parties will hold regular consultations, twice a year, on issues of implementation of this treaty and will resolve disagreements about the interpretation of the treaty (Art.24).
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No.

43. Additional coder comments regarding references to alliance institutionalization:

### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are nullified and describe the treaty reference.  
No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.  
No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.  
Based on the agreement of Collective Security from May 15, 1992 the parties will cooperate on their defense and keep their strategic military forces under unified command (Art.4).

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.  
Yes. Preamble: reaffirm UN Charter and OSCE. Assist and reinforce the peaceful role of the UN

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.  
Yes. Agreement of Collective Security from May 15, 1992 and OSCE. See 47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.  
No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.  
Cooperate for securing peace in the world and the region, continue process of disarmament and collective security, cooperate for the resolution of regional disputes and coordinate positions on these issues (Art.3), minority rights, civil liberties, human rights and legal assistance (Art.6), ethnic minorities (Art.7), dual citizenship (Art.8), economic and customs cooperation (Art.9), economic cooperation and investments (Art.10), property rights (Art.11), oil and gas resources (Art.12), energy resources and transportation (Art.13), air, maritime and rail traffic (Art.14), education, science and technology (Art.15), culture, arts, tourism and sports (Art.16), open borders (Art.17), cultural heritage (Art.18), environmental protection and conservation (Art.19), social welfare and health services (Art.20), crime and terrorism prevention (Art.21), relations between parliaments (Art.22).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.  
No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.  
No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.  
Separate agreements concerning defense cooperation will be signed in the future (Art.4).

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.  
No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.  
No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.  
Yes. The parties develop their relations as sovereign, equal and friendly states and each other's sovereignty, independence, territorial integrity and the principles of noninterference, nonaggression, and they promise not to threaten or use force (including economic and other) and resolve all conflicts peacefully (Art.1).

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:  
Treaty coded based on translation from Russian by Mariam Stepanyan.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: provided by Russia MFA.

60. Date of last revision of this coding sheet: 7/28/2004.

61. Coder: Michaela Mattes and Ashley Leeds.

Version 4.0: Q14 revised by Nicholas Coulombe, October 15, 2017