

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 3887

2. COW Alliance # (if applicable):
N/A

3. Signature date(s) (may vary for members in multilateral alliances):
January 10, 1981

4. Members of alliance (use COW country codes):
552 Zimbabwe
541 Mozambique

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Unknown

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Unknown

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
Unknown

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
Unknown

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Unknown

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
Unknown

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Unknown

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Unknown

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
October 4, 1994

15. Describe what caused the alliance to terminate.

Mandela assumes power in South Africa, ending the threat. This follows the April 1993 withdrawal of Zimbabwean troops from Mozambique, where they had been fighting RENAMO.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Defense pact

17. Type of alliance as coded in COW data (if applicable):

N/A

18. Describe the obligations of the alliance partners.

“An attack by South Africa on either country will be taken as an assault on both.” (Kalley et. al. 1999: 236, 714)

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes, a specific adversary.

20. Describe the nature of the limits to obligations listed in #19.

The defense pact is invoked only when either state is attacked by South Africa (Kalley et. al. 1999: 236, 714).

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Kalley et. al report that “The agreement is ‘all embracing’ and allows both countries to deal with internal dissident activity.”

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

560 South Africa

South Africa is “the major source of planning, encouragement and support for the attacks and subversion against both Zimbabwe and Mozambique.” (Zimbabwe, Mozambique 1981)

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes, as far as we know.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

Unknown

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

Unknown

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Unknown

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Unknown

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

Unknown

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

Yes, “to implement this agreement there will be regular consultations and liaison between the security organizations of the sister republic of Mozambique and Zimbabwe.” (Zimbabwe, Mozambique 1981)

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

Unknown

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

Unknown

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

Unknown

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

Unknown

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

Unknown

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

Unknown

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

Unknown

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

Unknown

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

Unknown

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

Unknown

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

Unknown

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

Unknown

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

Unknown

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

Unknown

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Unknown

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Unknown

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

Unknown

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Unknown

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

Unknown

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

Unknown

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Unknown

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

Unknown

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

Unknown

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Unknown

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Secondary sources only

59. Treaty citation:

Kalley, Jacqueline A., Elna Schoeman, and L.E. Andor. 1999. Southern African Political History: A Chronology of Key Political Events from Independence to Mid-1997. Greenwood Press. See pages 236 and 714.

“Zimbabwe, Mozambique to strengthen cooperation in border defense.” January 11, 1981. The Xinhua General Overseas News Service.

“Zimbabwe-Mozambique security co-operation agreement.” January 13, 1981. The British Broadcasting Corporation.

60. Date of last revision of this coding sheet: 9/26/08

61. Coder: Jesse Johnson and Ashley Leeds