

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2200.
2. COW Alliance # (if applicable): # 3051.
3. Signature date(s) (may vary for members in multilateral alliances):
November 11, 1927.
4. Members of alliance (use COW country codes):
220 France
345 Yugoslavia.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty shall remain in force for five years (Art.IX).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. After five years the treaty may be renewed in virtue of previous notice, which must have been duly given at the end of the fourth year, and for a period to be determined (Art.IX).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
October 28, 1932 – Renewed 5 years (LNTS, v. 135, p.283)
October 12, 1937 – Renewed 5 years (LNTS, v.182, p.151)
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
June 22, 1940
15. Describe what caused the alliance to terminate.
France signs armistice and surrenders to Germany.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression Pact
Consultation Pact.

17. Type of alliance as coded in COW data (if applicable): Neutrality/Nonaggression Pact.

18. Describe the obligations of the alliance partners.

France and Yugoslavia reciprocally undertake to refrain from all attacks or invasions directed against one another and in no circumstances to resort to war against one another. Nevertheless, this stipulation shall not apply: 1) to the exercise of the right of legitimate defense, that is to say, the right of resisting a violation of the undertakings given in paragraph I of the present treaty; 2) to action undertaken in application of Article 16 of the Covenant of the League of Nations; to action undertaken in virtue of a decision by the Assembly or Council of the League of Nations, or in application of paragraph 7 of Article 15 of the Covenant of the League of Nations, provided that, in the latter case, such action is directed against a state which was first to attack (Art.I).

France and Yugoslavia undertake to give joint consideration, subject to any resolutions adopted by the Council or Assembly of the League of Nations, to question which are of such a nature as to endanger the external security of France or the Yugoslav state, or to impair the order established by treaties which they have both signed (Art.III).

If, in spite of the sincerely pacific intentions of the French and Yugoslav governments, the two governments shall, without delay confer with one another as to the measures which each shall take, within the framework of the Covenant of the League of Nations, in order to safeguard their legitimate national interests and to uphold the order established by treaties of which both are signatories (Art.IV).

The parties agree to take counsel together in the event of any modification, or attempted modification, of the political status of European countries and, subject to any resolutions which may be adopted in such case by the Council or Assembly of the League of Nations, to come to an understanding as to the attitude which they should respectively observe in such an eventuality (Art.V).

The parties undertake to exchange views on questions affecting European policy in order to coordinate their efforts in the cause of peace, and for this purpose to communicate to each other henceforward any treaties and agreements which they may conclude with third parties on the same subject. Such treaties or agreements shall invariably be directed to aims which are compatible with the maintenance of peace (Art.VI).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
Yes. France and Yugoslavia undertake to settle by pacific means and in the following manner all questions whatever which may divide them and which may not have been possible to settle by normal methods of diplomacy (Art.II).
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
All questions regarding which the parties may be in dispute as to their respective rights shall be submitted to judges, with whose decisions the parties undertake to comply. All other questions shall be submitted to a conciliation commission, and if the arrangements proposed by that commission is not accepted by both parties, the question shall be brought before the Council of the League of Nations, which shall decide in accordance with article 15 of the League Covenant (Art.II).
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
Yes. A conciliation commission will be set up.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. Preamble: Reaffirm principles of Covenant of League of Nations. Nonaggression may be violated if League of Nations decisions demand it (Art.I). Peaceful resolution of disputes through League of Nations (Art.II). Consultations within framework of the League of Nations (Art.III,IV,V).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

Yes. The conciliation procedure in regard to the methods of pacific settlement is laid down in special conventions which have been signed on this day (Art.II).

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: LNTS, vol.68, p.375.

60. Date of last revision of this coding sheet: 02/16/05.

61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds