

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4470
2. COW Alliance # (if applicable): N/A.
3. Signature date(s) (may vary for members in multilateral alliances):
May 25, 1993.
4. Members of alliance (use COW country codes):
365 Russia
702 Tajikistan.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty is signed for a period of 5 years (Art.34).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. The treaty will automatically be renewed for further 5-year periods unless one of the parties submits a written notification about its wish to suspend the treaty at least six months before the current period expires (Art.34).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect (provided by Russian MFA).
Version 4.0: In effect as of June 1, 2018 according to Ministry of Foreign Affairs, Russia
(<http://www.mid.ru/ru/maps/tj/?currentpage=main-country>)
15. Describe what caused the alliance to terminate. N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression Pact
Defense Pact

17. Type of alliance as coded in COW data (if applicable): N/A.

18. Describe the obligations of the alliance partners.

The parties base their relations on the principles of (...) nonaggression. They will refrain from using or threatening to use force, including economic and any other force (Art.1).

The parties will cooperate in ensuring their defense and will maintain joint strategic military forces of the CIS. The parties will coordinate their defense policies on the basis of coordinated military doctrines, and will coordinate their military-technological policies, including the funding of military projects (Art.3). The parties will provide necessary assistance in case of aggression against the other party, including military assistance (pursuant to the UN Charter on the right for collective security) (Art.4).

The parties will immediately consult when either party perceives a threat to the world or its own security, and coordinate their positions and determine measures to remove the threat (Art.4).

The parties will refrain from participating in any alliance or union targeted against either of them. Each party will refrain from participating in or supporting actions that are targeted against the other party. They will not allow their territory to be used in order to prepare or carry out acts of aggression against the other party. Each party will refrain from assisting a third party/parties in case if the latter are involved in a military conflict with the other party (Art.5)

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Tajikistan gives Russia the right to use military sites in Tajikistan (Art.3). They will not allow their territory to be used in order to prepare or carry out acts of aggression against the other party (Art.5). The parties will prosecute and prohibit the creation of illegal activities of organizations or groups where activities are targeted against the other party (Art.7).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

No. Tajikistan gives Russia the right to use military sites in Tajikistan (Art.3). It says nothing about Tajikistan using Russian sites.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. The parties will refrain from participating in any alliance or union targeted against either of them (Art.5).

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
Yes. The parties promise to resolve all conflicts peacefully (Art.1). Disagreements about the interpretation of this treaty should be resolved through negotiations (Art.31).
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
Yes. The parties will cooperate in ensuring their defense and will maintain joint strategic military forces of the CIS. The parties will coordinate their defense policies on the basis of coordinated military doctrines, and will coordinate their military-technological policies, including the funding of military projects (Art.3).
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
Yes. The parties will coordinate their defense policies on the basis of coordinated military doctrines, and will coordinate their military-technological policies, including the funding of military projects (Art.3).
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
The parties will cooperate in ensuring their defense and will maintain joint strategic military forces of the CIS (Art.3).
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
Yes. The parties will meet regularly, at least once a year, and hold consultations on issues related to the implementation of this treaty and create specific coordinating agencies if necessary (Art.31). Specific agreements will be signed to create joint institutions that will regulate provisions detailed in the articles 2-5, relating to military cooperate (Art.6). An inter-state governmental commission will be created to implement the provisions in articles 9-12, relating to civil rights, open borders and minority rights (Art.13).
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
Yes. Tajikistan gives Russia the right to use military sites in Tajikistan (Art.3).
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.
No.
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.
Preamble: reaffirm principles of UN, OSCE and CIS. Reinforce the peace-enforcing role of the UN (Art.2), maintain joint strategic-military forces under the CIS (Art.3), in order to ensure regional security of CIS Tajikistan gives Russia the right to use its bases (Art.3), cooperate for abatement of international crime within CIS framework (Art.28).
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.
Yes. OSCE and CIS. See 47.
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
Yes. There is a basing and status of forces agreement.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
Freedom of choice of citizenship (Art.8), civil rights (Art.9), open borders (Art.10), prevention of minority prosecution (Art.11), minority rights (Art.12), economic cooperation (Art.14), entrepreneurial activities and investment (Art.15), energy and communication (Art.16), industry, agricultural business and seismology (Art.17), transportation and traffic (Art.18), property rights (Art.19), environmental protection, conservation and environmental clean-up (Art.20), culture, art, education, tourism and sport and exchange of information (Art.21), development of cultures (Art.22), health and social services and humanitarian aid (Art.23).
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
Yes. Separate agreements will determine the order of the use of those sites and the status of the Russian military forces in Tajikistan (Art.3). Specific agreements will be signed to create joint institutions that will regulate provisions detailed in the articles 2-5, relating to military cooperate (Art.6). A separate agreement to address the social welfare of citizens of one party on the territory of the other will be signed (Art.24). Separate agreements will be signed (Art.30).
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No.
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
No.
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
Yes. The parties base their relations on the principles of (...) noninterference (Art.1).

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Treaty coded based on translation from Russian by Mariam Stepanyan.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty.

59. Treaty citation: provided by Russian MFA.

60. Date of last revision of this coding sheet: 07/28/04

61. Coder: Michaela Mattes and Ashley Leeds.

Version 4.0: Q14 revised by Nicholas Coulombe on June 1, 2018