

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2155 (Phase 2).
2. COW Alliance # (if applicable): # 3041.
3. Signature date(s) (may vary for members in multilateral alliances):  
June 15, 1928.
4. Members of alliance (use COW country codes):  
630 Persia  
640 Turkey.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Yes. The treaty shall remain valid for five years (Art.XI).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
Yes. Unless the treaty is denounced by either party six months before the expiration of five years, it shall be considered as automatically renewed for one year more, denunciation becoming in all cases effective only after six months (Art.XI).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
July 8, 1937
15. Describe what caused the alliance to terminate.  
Replaced by new alliance –Saadabad Pact (ATOP ID# 2410)

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Neutrality Pact  
Nonaggression Pact  
*Consultation Pact.*
17. Type of alliance as coded in COW data (if applicable): Neutrality/Nonaggression Pact.

18. Describe the obligations of the alliance partners.

Should military action be directed against either party by one or more third powers, the other party undertakes to observe neutrality towards the former (Art.II).

Each of the two parties undertakes not to engage in aggression against the other and not to be a party to any alliance of political, economic, or financial agreement concluded by one or more third powers against the other party or against the military and naval security of that party's country. Each of the parties further undertakes not to participate in any hostile action whatsoever directed by one or more third powers against the other party or against the military and naval security of that party's country (Art.III).

*Should either of the parties be the object of an act of hostility on the part of one or more third powers, the other party shall use all his efforts to remedy the situation. Should war become an accomplished fact, notwithstanding those efforts, the two parties undertake to reexamine the situation carefully and in a friendly spirit with the object of finding a solution in conformity with their highest interests (Protocol, Art.I).*

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- attack, war caused by attack on a signatory

20. Describe the nature of the limits to obligations listed in #19.

Neutrality is contingent upon military action directed against one party. *Consultation shall occur if a war is between one of the allies and one or more third powers has begun.*

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes.

Each of the two parties undertakes not to (...) be a party to any alliance of political, economic, or financial agreement concluded by one or more third powers against the other party or against the military and naval security of that party's country (Art.III).

Should one or more third powers proceeding to acts of hostility and military operations against either party violate the neutrality of the other party, with a view to using his territory for the passage of troops, arms or munitions of war, or for obtaining supplies of provisions, live stock or any other objects capable of being employed for warlike purposes, or for the passage or troops in retreat; or with a view of exciting and stirring up the populations of the neutral territory with the object of using them for their purposes or with a view to carrying out military reconnaissances in the said territory, that party shall be bound, in order to safeguard his neutrality, to oppose such actions by force of arms (Art.IV).

The two parties undertake not to allow in their territory the formation or presence of organizations or groups of persons whose object is to disturb the peace and security of the other country or to change its government, or the presence of persons or groups of persons planning to attack the other country by propaganda or other means (Art.V).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. Each of the two parties undertakes not to (...) be a party to any alliance of political, economic, or financial agreement concluded by one or more third powers against the other party or against the military and naval security of that party's country

(Art.III).

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. The two parties have agreed to determine the procedure to be followed with a view of settling any differences that may arise between them and that it may not have been possible to settle by the ordinary methods of diplomacy (Art.VIII).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.  
No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No.

43. Additional coder comments regarding references to alliance institutionalization:

### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.  
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.  
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.  
No. But the protocol is attached to the existing Treaty of Friendship and Security signed on April 22, 1926.
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.  
No.
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.  
No.
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.  
No.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.  
Yes. Commercial, consular, customs and postal and telegraph conventions, as well as establishment and extradition conventions, shall be concluded (Art.VII).  
*The parties agree to settle the conditions of their close cooperation in the economic sphere. This involves questions of transit and establishment of means of communication (Protocol, Art.II).*
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.  
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.  
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.  
Yes. Commercial, consular, customs and postal and telegraph conventions, as well as establishment and extradition conventions, shall be concluded (Art.VII).
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.  
No.
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.  
No.
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.  
No.

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:  
This is the second phase of the alliance.
58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
59. Treaty citation: LNTS, vol.106, p.261, 265.

60. Date of last revision of this coding sheet: 02/17/05.

61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds

V4: Q15 updated to reference ATOP ID# on 5/15/2018 by Nicholas Coulombe