

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2300.
2. COW Alliance # (if applicable): # 3069.
3. Signature date(s) (may vary for members in multilateral alliances):  
November 29, 1932.
4. Members of alliance (use COW country codes):  
220 France  
365 USSR
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Yes. The Pact is concluded for a minimum of two years (Art.VII).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
Yes. The pact shall remain in force for the period of one year as from the date on which either party shall have notified the other of its intention to denounce it (Art.VII). Should one of the parties commit an act of aggression against a third state, the other party shall have the right to denounce the present pact without notice (Art.II).
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
May 2, 1935 agreement specifically states that this remains in force.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
September 3, 1939
15. Describe what caused the alliance to terminate.  
France becomes involved in WWII.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Nonaggression Pact  
Neutrality Pact.

17. Type of alliance as coded in COW data (if applicable): Neutrality/Nonaggression Pact.

18. Describe the obligations of the alliance partners.

Each of the two contracting parties undertakes with regard to the other not to resort in any case, whether alone or jointly with one or more third parties, either to war or any aggression by land, sea or air against that other party, and to respect the inviolability of the territories which are placed under that party's sovereignty or which it represents in external relations or for whose administration it is responsible (Art.I).

Should either of the parties become the object of aggression by one or more third powers, the other party undertakes not to give aid or assistance, either directly or indirectly, to the aggressor or aggressors during the period of conflict (Art.II).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- attack.

20. Describe the nature of the limits to obligations listed in #19.

Neutrality is contingent upon attack.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Each of the parties undertakes, for the duration of the present treaty, not to become party to any international agreement of which the effect in practice would be to prevent the purchase of goods from or the sale of goods or the granting of credits to the other party, and not to take any measure which would result in the exclusion of the other party from any participation in its foreign trade (Art.IV).

Each of the parties undertakes in particular not to create, protect, equip, subsidise or admit in its territory either military organizations for the purposes of armed combat with the other party or organizations assuming the role of government or representing all or part of its territories (Art. V, paragraph 2).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. The parties having already recognized, in the general Pact of August 27<sup>th</sup>, 1928, for the renunciation of war, that the settlement or solution of all disputes or conflicts, of whatever nature or of whatever origin they may be, which may arise among them, shall never be sought except by pacific means, confirm that provision, and, in order to give effect to it, annex to the present treaty a convention relating to conciliation procedure (Art.VI).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe. All disputes of whatever kind between France and the Soviet Union, relating to facts which occur after entry into force of the present Convention and which it may not have been possible to settle through diplomatic channels, shall be submitted, with a view to amicable settlement, to a Conciliation Commission (Conciliation Convention, Art.I).
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.  
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
Yes. A Conciliation Commission (See Conciliation Convention from November 29, 1932).
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No.
43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.  
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.  
No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

Yes. The Convention of Conciliation from November 29, 1932.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. Each of the parties undertakes to respect in every connection the sovereignty or authority of the other party over the whole of that party's territories as defined in Article I of the present treaty, not to interfere in any way in its internal affairs or to transform by force the political or social regime of all or parts of its territories. Each of the parties undertakes in particular not to create, protect, equip, subsidize or admit in its territory either military organizations for the purpose of armed combat with the other party or organizations assuming the role of government or representing all or parts of its territories (Art.V).

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: Pact of Nonaggression: LNTS, vol.157, p.418  
Convention of Conciliation: LNTS, v. 157: 428.

60. Date of last revision of this coding sheet: 02/12/05.

61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds.