

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892
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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1025.
2. COW Alliance # (if applicable): # 2006.
3. Signature date(s) (may vary for members in multilateral alliances):
June 12, 1815.
4. Members of alliance (use COW country codes):
300 Austria
329 Two Sicilies.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public with secret articles.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
No.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Unknown.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
July 13, 1820
15. Describe what caused the alliance to terminate.
King Ferdinand of 2 Sicilies grants constitution of violation of secret Article II. Austria overthrows Ferdinand in March, 1821 (Langer, 1972: 700).

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Defense Pact
Consultation Pact.
17. Type of alliance as coded in COW data (if applicable): Defense Pact

18. Describe the obligations of the alliance partners.

Austria and Two Sicilies declare that by the power of the union that they create through this treaty, there is, from this date onwards, an alliance between them that has as its goal the defense of their respective states and the maintenance of the internal and external peace of Italy (Art.I.) Austria and Two Sicilies guarantee each other (...) all the territories that they possess in Italy given the stipulation of the principle act of the Congress of Vienna (Art.II). In any case in which the Italian peninsula shall be threatened, the two parties undertake, after they consult on this subject, to provide their good services in order to prevent this war; if nevertheless their attempts are fruitless, the parties declare immediately that any aggression or attack directed against the possessions of either party in Italy is regarded as regression against the other party (Art.III). Whatever mutual guarantee for their Italian possessions the two parties commit to must be upheld for all of their territories and the parties agree on the fundamental principle of the treaty: any attack one is seen as an attack against the other (...) (Art.IV).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific location.

20. Describe the nature of the limits to obligations listed in #19.

The consultation and part of the defense clause specifies that the parties will consult/help each other when their Italian possessions are threatened or attacked. However, Art. IV specifies that the defense clause is valid also for the rest of their possessions.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

Yes. The parties commit themselves and promise each other, for the case that they find themselves in a war to defend Italy, to not listen to nor make any proposition for truce or peace and not to negotiate or conclude with their enemies unless by common accord, and to communicate to each other anything interesting that they learn about the security of Italy or the tranquility of their respective possessions (Art.VI).

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. The parties (...) commit themselves not to conclude any alliance contrary to the treaty, of whatever nature it may be, and the defensive alliance for Italy (Additional Articles, Art.I).

28. Additional coder comments regarding treaty obligations:

Additional Articles, Article 2: King of 2 Sicilies will not allow domestic changes that are not commensurate with monarchy and the principles by which the Austrian Italian provinces are governed.

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
Yes. Austria commits itself to furnish at least 80,000 troops and Two Siciles furnishes 25,000 troops (Art.IV).
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:
A special convention will regulate the relations between their respective armies, especially with respect to the command and the subsistence and supply measures (Art.V).

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. A special convention will regulate the relations between their respective armies, especially with respect to the command and the subsistence and supply measures (Art.V).

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty: CTS claims it was supplemented by a secret treaty of 4 February 1819. We do not find that treaty or reference to it.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: CTS, vol, 65, p.13.

60. Date of last revision of this coding sheet: 02/05/05.

61. Coder: Jeff Ritter, Michaela Mattes, Ashley Leeds