

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4880
2. COW Alliance # (if applicable): N/A.
3. Signature date(s) (may vary for members in multilateral alliances):
June 2, 1997.
4. Members of alliance (use COW country codes):
360 Romania
369 Ukraine
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
The treaty is to last 10 years.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
The treaty shall be automatically renewed for further periods of five years unless one of the parties notifies, in writing, at least one year in advance of the expiration of the respective valid period, its decision to denounce the treaty.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect according to Romanian MFA (visited website 4/29/04).
Version 4.0: In effect as of December 28, 2017 according to the MFA of Ukraine:
(<http://mfa.gov.ua/en/about-ukraine/bilateral-cooperation/european-countries>)
15. Describe what caused the alliance to terminate.
N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression Pact

17. Type of alliance as coded in COW data (if applicable):

N/A.

18. Describe the obligations of the alliance partners.

The parties reaffirm the principles of the UN Charter and the Helsinki Final Act: (...) refraining from the threat or use of force (Art.1). The parties reaffirm that the existing border between them is inviolable and therefore, they shall refrain, now and in the future, from any attempt against this border, as well as from any demand for, or act of, seizure and usurpation of part or all the territory of the other party (Art.2). The parties shall not have recourse in any circumstances to the threat of force or use of force, directed either against the territorial integrity of political independence of the other party (Art.3).

Should a situation arise which, according to the opinion of one of the parties, could threaten peace or international security, the parties shall have consultations on the measures likely to contribute to reducing tensions and eliminating the above mentioned situation (Art.6).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

The parties shall refrain from supporting actions that are directed against the territorial integrity or political independence of the other party and shall not allow a third party to use their territory to commit such activities against the other party (Art.3).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Any problems and disputes between the parties shall be solved exclusively by peaceful means, in accordance with the norms of international law (Art.3).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
The parties shall organize regular consultation at various levels on security and disarmament measures of mutual interest and shall inform each other on the fulfillment of obligations assumed on the basis of international documents in this field, to which both parties have subscribed (Art.6). Meetings between the two heads of state or government or between the ministers of foreign affairs shall take place annually, when the implementation of this treaty shall also be reviewed (Art.10).
The parties shall create a joint intergovernmental commission on minority rights (Art.13)
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
The parties shall cooperate in the military field, on the basis of separate agreements between their competent state institutions (Art.6).
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.
No.
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.
Preamble: reaffirm principles of UN Charter, OSCE (also Art.1, Art.2). The parties strengthen and enhance their cooperation, within the framework of IOs, including regional and subregional ones. They shall support one another in their efforts to integration in the European and Euro-Atlantic structures (Art.5).
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.
Yes. OSCE. See 47.
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
The parties cooperate to build a unified Europe, reduce armed forces and armaments. They cooperate on human rights, minority rights, economic issues, scientific research, the environment, transport and infrastructure, culture and education, monuments, mass-media, healthcare, tourism and sports, criminality, consular relations, border-crossing,.
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
The parties shall conclude a separate treaty on the regime of the border between the two states (Art.2). They shall conclude treaties on transport (Art.17), culture and education (Art.19), health care, tourism and sports (Art.22), criminality (Art.23), and border-crossing (Art. 24). The parties shall conclude, on the basis of this treaty, separate agreements in fields of mutual interest (Art.25).
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
Yes. Art.2 mentions conflict over the delimitation of the continental shelf and economic exclusive zones in the Black Sea.
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
Yes. Art.14 grants MFN status.
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
The parties observe the principles of (...) non-interference in internal affairs (Art.1).

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:
58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):
Treaty.
59. Treaty citation: UNTS no. 37743
60. Date of last revision of this coding sheet: July 30, 2004

61. Coder: Michaela Mattes and Ashley Leeds.

Version 4.0: Q14 revised by Nicholas Coulombe, December 28, 2017