

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2280.
2. COW Alliance # (if applicable): # 3065.
3. Signature date(s) (may vary for members in multilateral alliances):
January 21, 1932..
4. Members of alliance (use COW country codes):
375 Finland
365 USSR
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The Pact is concluded for three years (Art.7).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
Yes. Should one of the parties commit an act of aggression against a third state, the other party shall have the right to denounce the present pact without notice (Art.2).
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. If the pact is not denounced by one of the parties, after previous notice of not less than six months before the expiry of that period, it shall be automatically renewed for a further period of two years (Art.7).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Once. On April 7, 1934 the USSR and Finland decided that the agreement remain in place until December 31, 1945. (Protocol for Renewal, Art.1).
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
November 13, 1939
15. Describe what caused the alliance to terminate.
USSR denounces treaty (Langer, 1972: 1224)

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression Pact
Neutrality Pact.

17. Type of alliance as coded in COW data (if applicable): Neutrality/Nonaggression Pact.

18. Describe the obligations of the alliance partners.

The two contracting parties, mutually guarantee the inviolability of the existing frontiers between the USSR and Finland, as fixed by the Treaty of Peace concluded at Dorpat on October 14th, 1920, which shall remain the firm foundation of their relations, and reciprocally undertake to refrain from any act of aggression directed against each other. Any act of violence attacking the integrity and inviolability of the territory or the political independence of the other party shall be regarded as an act of aggression, even if it is committed without declaration of war and avoids warlike manifestations (Art.1). Should either party be the object of aggression on the part of one or more third powers, the other party undertakes to maintain neutrality throughout the duration of the conflict (Art.2).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- attack.

20. Describe the nature of the limits to obligations listed in #19.

Neutrality is contingent upon attack.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. Each party undertakes not to be party to any treaty, agreement or convention which is openly hostile to the other party for contrary, whether formally or in substance, to the present treaty (Art.3).

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. The parties declare that they will always endeavor to settle in a spirit of justice any dispute of whatever nature or origin which may arise between them, and will resort exclusively to pacific means for settling such disputes (Art.5).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

Yes. The parties undertake to submit any disputes which may arise between them after the signature of the present treaty, and which it may not have been possible to settle through diplomatic means within a reasonable limit of time, to a procedure of conciliation before a joint conciliation commission whose powers, composition and working shall be fixed by a supplementary convention that forms an integral part of this treaty. The conciliation commission also deals with disagreements in the

interpretation of this treaty, especially whether the nonaggression clause has been violated (Art.5).

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

Yes. A Conciliation Commission.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

Yes. It builds on the Treaty of Peace of October 14, 1920 (Art.1).

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.
No.
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.
No.
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
Yes. The Convention of Conciliation from April 22, 1932.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
No.
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
No.
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No.
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
No.
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:
58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
59. Treaty citation: Pact of Nonaggression: LNTS, vol.155, p.395
Convention of Conciliation: LNTS, vol.155, p.403
Renewal Protocol: LNTS, vol.157, p.327.
60. Date of last revision of this coding sheet: 0212/05.
61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds