

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1180.
2. COW Alliance # (if applicable): # 2035.
3. Signature date(s) (may vary for members in multilateral alliances):  
December 2, 1854.
4. Members of alliance (use COW country codes):  
200 United Kingdom  
300 Austria  
220 France.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
Yes. The UK and France are involved in COW war # 22, the Crimean War.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
Yes. Great Britain, Austria and France will jointly communicate the present treaty to the court of Prussia, and will with satisfaction receive its accession thereto, in case it should promise its cooperation for the accomplishment of the common object (Art.VI).
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
Yes. Prussia (255).
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
No.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Unknown.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
March 30, 1856
15. Describe what caused the alliance to terminate.  
Peace Treaty signed to end Crimean War.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Defense Pact  
Offense Pact

Consultation Pact.

17. Type of alliance as coded in COW data (if applicable): Defense Pact.

18. Describe the obligations of the alliance partners.

In case hostilities should break out between Austria and Russia, the UK, Austria and France mutually promise to each other their offensive and defensive alliance in the present war, and will for that purpose employ, according to the requirements of the war, military and naval forces, the number, description, and destination whereof shall, if occasion should arise, be determined by subsequent arrangements (Art.III).

In case of reestablishment of general peace, upon the bases indicated in Art.1, should not be assured in the course of the present year, the UK, Austria and France will deliberate without delay upon effectual means for obtaining the object of their alliance (Art.V).

“... Austria to defend the frontier of the said principalities against any return of the Russian forces; the Austrian troops shall for this purpose occupy the positions necessary for guaranteeing those principalities against any attack...” (Article 2)

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific ongoing conflict, specific adversary

20. Describe the nature of the limits to obligations listed in #19.

The defense and offense provisions apply to the ongoing conflict between Russia and Turkey and its supporters (France, UK, Austria) if hostilities break out between Austria and Russia. If after one year the war is still not concluded the parties will consult.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Austria, having in virtue of the treaty concluded on the 14<sup>th</sup> of June last with the Sublime Porte [i.e. Turkey], caused the principalities of Moldavia and Wallachia to be occupied by its troops, it engages to defend the frontier of the said principalities against any return of the Russian forces; the Austrian troops shall for this purpose occupy the positions necessary for guaranteeing those principalities against any attack. Britain and France, having likewise concluded with the Sublime Porte on the 12<sup>th</sup> of March, a treaty which authorizes them to direct their forces upon every part of the Ottoman empire, the above-mentioned occupation shall not interfere with the free movement of the Anglo-French or Ottoman troops upon these same territories against the military forces of the territory of Russia (Art.II).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes, Russia (365) in the Crimean War.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

The parties reciprocally engage not to entertain any overture or proposition on the part of Russia, having for its object the cessation of hostilities, without having come to an understanding thereupon between themselves (Art.IV).

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

The parties engage mutually and reciprocally not to enter into any arrangements with Russia without having first deliberated thereupon in common (Art.I).

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.  
No.
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.  
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
Yes. There shall be formed in Vienna between Austria, France and the UK a commission to which Turkey shall be invited to send a plenipotentiary, and which shall be charged with examining and regulating every question relating either to the exceptional and provisional state in which the said principalities [i.e. Moldavia and Wallachia] are now placed, or to the free passage of the different armies across their territory (Art.II).
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
Yes. Subsequent agreements will specify the number, description and destination of the troops if necessary (Art.III).
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No.
43. Additional coder comments regarding references to alliance institutionalization:

### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.  
No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

Yes. Austria, having in virtue of the treaty concluded on the 14<sup>th</sup> of June last with the Sublime Porte [i.e. Turkey], caused the principalities of Moldavia and Wallachia to be occupied by its troops, it engages to defend the frontier of the said principalities against any return of the Russian forces; the Austrian troops shall for this purpose occupy the positions necessary for guaranteeing those principalities against any attack. Britain and France, having likewise concluded with the Sublime Porte on the 12<sup>th</sup> of March, a treaty which authorizes them to direct their forces upon every part of the Ottoman empire, the above-mentioned occupation shall not interfere with the free movement of the Anglo-French or Ottoman troops upon these same territories against the military forces of the territory of Russia (Art.II).

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. See 46.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. Subsequent agreements will specify the number, description and destination of the troops if necessary (Art.III).

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: Hurst, Michael (ed.). 1972. Key Treaties for the Great Powers 1814-1914, vol.I (1814-1870). St. Martin's Press, p. 310.

60. Date of last revision of this coding sheet: 02/01/05.

61. Coder: Jeff Ritter, Michaela Mattes, Ashley Leeds.