

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892
Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4896

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):
November 27, 1997

4. Members of alliance (use COW country codes):
Russia (365)
Panama (95)

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes – Article XVIII – "This Agreement is concluded for a period of ten years and will be automatically renewed each time for subsequent five-year periods if neither of the Parties notifies the other Party in writing about its intention to terminate this Agreement at least one year before the expiry of the next period"

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes – Article XVIII - "This Agreement is concluded for a period of ten years and will be automatically renewed each time for subsequent five-year periods if neither of the Parties notifies the other Party in writing about its intention to terminate this Agreement at least one year before the expiry of the next period"

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
N/A

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect as of 5/27/2018 per Russian MFA: http://www.mid.ru/ru/foreign_policy/international_contracts/2_contract/-/storage-viewer/bilateral/page-257/47418

15. Describe what caused the alliance to terminate.
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any

member of the alliance.)
Nonaggression pact

17. Type of alliance as coded in COW data (if applicable):
N/A

18. Describe the obligations of the alliance partners.

Article I – “The Russian Federation and the Republic of Panama are building their relations as friendly states on the basis of...the refusal to use force or threat of force,...peaceful settlement of disputes”

Article III – “The parties resolve any differences that may arise between them, exclusively by peaceful means...At the same time, they will refrain from actions that could damage the interests and security of each other”

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)
No

20. Describe the nature of the limits to obligations listed in #19.
N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.
No

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.
No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.
No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.
Yes

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.
No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.
No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.
No

28. Additional coder comments regarding treaty obligations:
Article VI - Panama reaffirms the permanent neutrality of the Panama Canal.

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
Yes – Article III states that the parties will “resolve any differences that may arise between them, exclusively by peaceful means”

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
Yes – Article III – “The parties resolve any differences that may arise between them, exclusively by peaceful means. To this end, the Parties make full use of the opportunities provided for by the UN Charter, as well as relevant UN mechanisms.”

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note

whether official contact is required only in the event of hostilities or also during peacetime.)

No

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No

43. Additional coder comments regarding references to alliance institutionalization: N/A

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes.

The United Nations – in the preamble when referencing the guiding principles and purposes of the treaty, as well as a potential dispute resolution mechanism in Article III.

Commonwealth of Independent States and Organization of American States – Article V encourages each party to strengthen to contacts of the each other in the relevant groups.

World Trade Organization – Article VI – The parties desire to exchange views on how to promote equality in the global trading system, specifically the observance of GATT and WTO principles.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes – Commonwealth of Independent States (#4400) and Organization of American States (#3150) – each party commits to promote exchanges between these groups.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes.

Article VIII – economic and business cooperation including direct links between enterprises and firms.

Article IX – scientific and technical cooperation

Article XI – environment, and specifically fish stocks

Article XII – “The parties develop cooperation in the fields of culture, art, education, health, the press, radio, television, cinema, tourism, information and sports”

Article XIII – travel of citizens between the two countries

Article XIV – combatting all forms of crime

Article XV – diplomatic and consular cooperation

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
No

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes – Article I commits each party to non-interference in each other’s internal affairs.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty: N/A

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty (translated to English from Russian using Google Chrome translate)

59. Treaty citation: http://www.mid.ru/ru/foreign_policy/international_contracts/2_contract/-/storage-viewer/bilateral/page-257/47418

60. Date of last revision of this coding sheet: 5/28/2018

61. Coder: Nicholas Coulombe , Ashley Leeds