

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4864

2. COW Alliance # (if applicable):
N/A.

3. Signature date(s) (may vary for members in multilateral alliances):
April 1, 1997

4. Members of alliance (use COW country codes):
350 Greece
704 Uzbekistan

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes, Article 22 "This Agreement is concluded for a period of ten years. Unless either of the High Contracting parties notifies the other party in writing of its intention to terminate this agreement at least 6 months before the expiration of the relevant period, the agreement shall remain in force for a period of five years."

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
Yes, Article 22 "This Agreement is concluded for a period of ten years. Unless either of the High Contracting parties notifies the other party in writing of its intention to terminate this agreement at least 6 months before the expiration of the relevant period, the agreement shall remain in force for a period of five years."

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes, Article 22 "This Agreement is concluded for a period of ten years. Unless either of the High Contracting parties notifies the other party in writing of its intention to terminate this agreement at least 6 months before the expiration of the relevant period, the agreement shall remain in force for a period of five years."

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
0.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect as of today's date. The two countries still maintain close bilateral ties. According to the website of the government of Uzbekistan, this treaty has been in effect since October 2, 2008, and it does not mention that this is terminated, which indicates that this treaty is still in effect. The following is the link to the website of the government of Uzbekistan, which includes the treaty and information that the treaty has been in effect:
<https://lex.uz/ru/docs/1465098#1465759>

15. Describe what caused the alliance to terminate.
Still in effect.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression.

17. Type of alliance as coded in COW data (if applicable):

N/A.

18. Describe the obligations of the alliance partners.

Article 3 "The High Contracting Parties reaffirm the need to refrain from the use of force or intimidation in international relations and to resolve all international disputes by peaceful means in accordance with the Charter of the United Nations."

Article 2 "The High Contracting Parties, in their relations as sovereign, equal and friendly States, shall respect each other's sovereignty and independence, equality and non-interference in each other's internal affairs, non-use of force or intimidation by force. They will develop in accordance with the principles of self-determination, respect for human rights and fundamental freedoms, mutual cooperation and conscientious fulfillment of international obligations."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes, the obligations are symmetric.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes, according to Article 3 “The High Contracting Parties reaffirm the need to refrain from the use of force or intimidation in international relations and to resolve all international disputes by peaceful means in accordance with the Charter of the United Nations.”

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No but , Article 5 “The High Contracting Parties shall co-operate in the field of disarmament of the world community in the field of nuclear weapons and other types of weapons of mass destruction.”

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes, Article 3 “The High Contracting Parties reaffirm the need to refrain from the use of force or intimidation in international relations and to resolve all international disputes by peaceful means in accordance with the Charter of the United Nations.”

Article 21 “Pursuant to Article 102 of the Charter of the United Nations, this treaty shall be registered with the Secretariat of the United Nations.”

Article 1 “The High Contracting Parties shall adhere to the purposes and principles of the Charter of the United Nations, principles of Helsinki Act, the Charter of Paris for a New Europe, and other instruments of the Organization for Security and Cooperation in Europe.”

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes, the treaty makes references to OSCE, Article 1 “The High Contracting Parties shall adhere to the purposes and principles of the Charter of the United Nations, principles of Helsinki Act, the Charter of Paris for a New Europe, and other instruments of the Organization for Security and Cooperation in Europe.”

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes, Article 8 “The High Contracting Parties shall develop co-operation in the fields of economy, science and technology, as well as in the field of mutual protection and encouragement of investments.”

Article 9 “The High Contracting Parties shall promote close co-operation in the fields of energy, industry, construction, transport and communication, agriculture, the use of natural resources, as well as other areas of mutual interest. The High Contracting parties, in accordance with their national laws, shall promote the establishment of co-operation and direct contacts between the economic entities of the two countries, as well as the establishment of joint ventures and other effective forms of co-operation.”

Article 14 “The High Contracting Parties shall promote co-operation in the field of public health, the development of medical science and practice, and prevention of disease.”

Article 10 – cooperation in promoting tourism.

Article 11 – cooperation in the fields of science and technology.

Article 12 – cooperation in the fields of culture, education, information and sports.

Article 13 – cooperation in the protection of environment, prevention of natural disasters.

Article 15- cooperation in combating crimes in all its form.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes, Article 17 “The High Contracting Parties shall, when necessary, enter into separate agreements to implement the provisions of this treaty.”

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes, Article 2 “The High Contracting Parties, in their relations as sovereign, equal and friendly states, shall respect each other’s sovereignty and independence, equality and non-interference in each other’s internal affairs, non-use of force or intimidation by force. They will develop in accordance with the principles of self-determination, respect for human rights and fundamental freedoms, mutual cooperation and conscientious fulfillment of international obligations.”

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: Treaty is available through the following website:

<https://lex.uz/ru/docs/1465098#1465759>

last accessed date: 10/11/2021.

60. Date of last revision of this coding sheet: 11/02/2021

61. Coder: Jahanzib Masjidi and Ashley Leeds