

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4355
2. COW Alliance # (if applicable): N/A.
3. Signature date(s) (may vary for members in multilateral alliances):
November 4, 1992.
4. Members of alliance (use COW country codes):
359 Moldova
705 Kazakhstan.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The present Treaty is signed for a period of 10 years (Art.20).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. The validity of the Treaty shall be automatically renewed for subsequent durations of five years each, unless one of the Signatories communicates in writing, at least one year before the expiration of a period, the intention to renounce the Treaty (Art.20).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect.
Version 4.0: In effect as of December 22, 2017 according to Ministry of Foreign Affairs, Moldova
(http://www.mfa.gov.md/img/docs/Lista-Tratate-Bilaterale_26_01_17.pdf)
15. Describe what caused the alliance to terminate.
N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression Pact.

17. Type of alliance as coded in COW data (if applicable): N/A.

18. Describe the obligations of the alliance partners.

The Signatories shall develop their friendly relations guided by the principles of mutual respect for state sovereignty and territorial integrity, peaceful resolution of international conflicts, refusal to use force or to threaten with the use of force, equality in rights and non-interference in domestic issues, respect for fundamental human rights and freedoms, as well as by generally recognized norms of international law (Art.1)[Nonaggression]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. The Signatories shall not be part of alliances and pacts directed against one of the Signatories and shall not let their territory be used for the preparation or launch of aggression or other acts of violence directed against the other Signatory (Art.2).

The Signatories shall prohibit and suppress, according to their legislation, the establishment and functioning of organizations, groups and persons, whose actions are directed against the independence and territorial integrity of the two states or can cause interethnic tensions (Art.3).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. The Signatories shall not be part of alliances and pacts directed against one of the Signatories (Art.2).

28. Additional coder comments regarding treaty obligations:

The Signatories shall prohibit and suppress, according to their legislation, the establishment and functioning of organizations, groups and persons, whose actions are directed against the independence and territorial integrity of the two states or can cause interethnic tensions (Art.3).

“Each High Contracting Party shall notify the other Party in advance of economic decisions affecting its rights and interests, and will refrain from unilateral actions that destabilize the economic situation of the other High Contracting Party.” (Art. 6)

“Each of the High Contracting Parties shall provide assistance to the other Party in environmental disasters and situations caused by natural factors, which threaten the livelihoods of people.” (Art. 10)

“The High Contracting Parties shall provide each other with mutual assistance in criminal, civil and family matters.” (Art. 13)

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
Yes. The Signatories shall develop their friendly relations guided by the principles of peaceful resolution of international conflicts (Art.1). The Signatories shall resolve eventual litigations regarding the interpretation and implementation of the present Treaty through negotiations (Art.16).
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.
No.
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.
Yes. Preamble: reaffirm principles of UN, OSCE. Protection of minorities according to CSCE (Art.5).
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.
Yes. OSCE/CSCE. See 47.
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
Yes. Minorities (Art.5), political economic, cultural, healthcare, environmental, scientific, technological, commercial, humanitarian and other domains (Art.6), economic agents (Art.7), property (Art.8), exchange of information regarding trade, economy, finance, transport, investment, tariffs (Art.9), environment (Art.10), education, culture, arts, healthcare, science, information, technology, tourism, sports and youth exchange (Art.11), education (Art.12), legal assistance (Art.13), organized crime, terrorism, drug trafficking, money laundering (Art.14), direct contacts between parliaments and MP's (Art.15).
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
Yes. They will sign cooperative agreements in domains of the political, economic, cultural, healthcare, environmental, scientific, technological, commercial, humanitarian and other domains (Art.6). The Signatories shall sign other agreements and treaties regarding interstate relations for the realization of the stipulations of the present Treaty (Art.17).
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No.
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
Yes. Article 7 seems to indicate granting of MFN status.
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
Yes. The Signatories shall develop their friendly relations guided by the principles of (...) non-interference in domestic issues (Art.1).

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:
Treaty coded based on translation from Moldovan by Viktor Sata.
Version 4.0: The original language of this treaty is Russian and the text is translated by using the Google Chrome browser.
58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

Note: We have two translations, one from Moldovan and one from Russian. They are slightly different.

59. Treaty citation: Publicat in editia oficiala
 “Tratatata, internationale”, 1999, volumul 19, pag.204.

60. Date of last revision of this coding sheet: July 26, 2017

61. Coder: Michaela Mattes, Ashley Leeds, Jaewook Chung

Version 4.0: Q14 revised by Nicholas Coulombe, December 22, 2017