

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4663

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):  
January 11, 1995

4. Members of alliance (use COW country codes):  
290 Poland  
704 Uzbekistan

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Yes. The treaty is concluded for a period of 15 years.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
Yes. The treaty will be automatically extended for another 5 years unless one of the parties denounces the treaty by notification no later than 12 months before the end of the period (Art. 20).

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
Still in effect as of May 6, 2018 according to the TIF website of Poland  
(<http://isap.sejm.gov.pl/DetailsServlet?id=WDU19960260115>)

15. Describe what caused the alliance to terminate.  
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Nonaggression pact  
Consultation pact

## Neutrality pact

17. Type of alliance as coded in COW data (if applicable): N/A

18. Describe the obligations of the alliance partners.

“In accordance with the Charter of the United Nations the Contracting Parties undertake to resolve disputes between them by peaceful means only and acknowledge that refrain from the use of force or threat of force in their mutual relations.” (Art. 2(1)) [Nonaggression]

“In the event of a situation which, according to one of the Contracting Parties may threaten the maintenance of international peace and security in the world, especially if this applies to both of the Contracting Party, the Contracting Parties shall immediately hold appropriate consultations in order to find the means to address this situation in accordance with the UN Charter.” (Art. 5(1)) [Consultation]

“In the event that a third country or third countries have made an armed attack on one of the Contracting Party, the other Contracting Party undertakes not to provide any help and support of such state or states for the duration of the armed conflict, and will work towards the settlement of the conflict in accordance with the United Nations Charter and the documents of the Conference on Security and Cooperation in Europe.” (Art. 5(2)) [Neutrality]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes.

20. Describe the nature of the limits to obligations listed in #19.

Neutrality is contingent upon attack

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes.

In the event of an armed attack, the other party will work towards the settlement of conflict in accordance with the UN Charter and the documents of CSCE (Art. 5(2))

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

“Each of the Contracting Parties guarantees that the activities of its armed forces will be consistent with international law, the Charter of the United Nations, the Final Act of the Conference on Security and Cooperation in Europe, the Paris Charter for a New Europe and other documents of the Conference on Security and Cooperation in Europe.” (Art. 2)

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.  
Yes.

“In accordance with the Charter of the United Nations the Contracting Parties undertake to resolve disputes between them by peaceful means only and acknowledge that refrain from the use of force or threat of force in their mutual relations.” (Art. 2(1))

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.  
No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No, but “1. The Contracting Parties shall support the process of reducing nuclear weapons and comply with the provisions of the conventions on the prohibition of chemical and biological weapons.

The Contracting Parties will cooperate in favor of strengthening the non-proliferation regime for weapons of mass destruction, especially in Europe and Central Asia.

2. The Contracting Parties consider that the implementation of the Treaty on Conventional Armed Forces in Europe will contribute to the strengthening of stability and security in Europe and Central Asia.

3. The Contracting Parties shall ensure the control of the circulation of materials, equipment and technologies in order to prevent their use for the production of weapons referred to in paragraphs 1 and 2 of this article.” (Art. 4)

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
Yes.

“[The] High Contracting Parties shall carry out bilateral cooperation in the military field and take the necessary steps to ensure more effective implementation of the agreements concluded between them on military matters, if necessary, it will update them, as well as enter new ones.” (Art. 3)

43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes – UN and OSCE as a framework for peace and security cooperation (Preamble and Art. 1, 2, 3, 5); UNESCO and OSCE as a framework for cooperation in the preservation of cultural heritages (Art. 11(1)); CSCE as a legal basis for protecting the rights of citizens living in the territory of the other country (Art. 15(1)).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. OSCE-see #47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes.

Intergovernmental and interparliamentary cooperation (Art. 6)

Economic-trade cooperation (Art. 7)

Cooperation in the fields of science and technology (Art. 7(4))

Partnership between local authorities of the two countries (Art. 8(1))

Cooperation in transport and communications (Art. 9)

Cooperation in environmental protection (Art. 10)

Cooperation in the fields of culture, science and education (Art. 11, 12)

Cooperation in encouraging the teaching of the language and culture of the other party (Art. 13)

Cooperation in the field of mass media (Art. 14)

Cooperation in expanding contacts between two countries at various levels (Art. 14(3))

Cooperation in protecting the rights of citizens living in the territory of the other party (Art. 15)

Cooperation in health care and hygiene; cooperation in the fields of legal and social aspects of employment, social security and welfare (Art. 16)

Cooperation in the fight against organized crime, terrorism and drug trafficking (Art. 17)

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes.

Agreement on confidence building measures (Art. 3(2))

Agreement on security and disarmament (Art. 4(4))

Agreement on cooperation in the field of education (Art. 12(2))

Agreement on cooperation between the youth (Art. 14(2))

Agreement on legal assistance in civil, family, criminal and administrative matters (Art. 17)

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes.

“The Contracting Parties shall act in their mutual relations in accordance with the principles of...non-interference in internal affairs...”  
(Art. 1(2))

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

The original language of this treaty is Russian and the text was translated by using the Google Chrome browser on May 20, 2018. The treaty is also available in UNTS in Russian, Polish, and Uzbek.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty of Friendship and Cooperation between Poland and Uzbekistan

59. Treaty citation: UNTS No. 54381; <http://www.lex.uz/docs/2586513> (Accessed on May 20, 2018)

60. Date of last revision of this coding sheet: May 20, 2018

61. Coder: Jaewook Chung, Jae-eon Lee, Jessica Edry, Ashley Leeds