

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892
Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2440 (Phase 2).
2. COW Alliance # (if applicable): #3095.
3. Signature date(s) (may vary for members in multilateral alliances):
August 25, 1939.
4. Members of alliance (use COW country codes):
290 Poland
200 United Kingdom.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Executive Agreement.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public but with secret articles. The agreement itself is public but there is a secret protocol, specifying further the conditions invoking obligations.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The agreement shall remain in force for five years (Art.8).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
Yes. Unless denounced six months before the expiry of this period it shall continue in force, each party having thereafter the right to denounce it at any time by giving six months' notice to that effect (Art.8).
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
See # 11
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
September 27, 1939
15. Describe what caused the alliance to terminate.
Poland loses independence

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Defense Pact
Offense Pact
Consultation Pact.

17. Type of alliance as coded in COW data (if applicable): Defense Pact.

18. Describe the obligations of the alliance partners.

Should one of the parties become engaged in hostilities with a European power in consequence of aggression by the latter against that party, the other party will at once give the other party engaged in hostilities all the support and assistance in its power (Art.1).

The provisions of Art.1 will also apply in the event of any action by a European Power which clearly threatened, directly or indirectly, the independence of one of the parties, and was of such a nature that the party in question considered it vital to resist it with armed forces. Should one of the parties become engaged in hostilities with a European power in consequence of action by that power which threatened the independence or neutrality of another European state in such a way as to constitute a clear menace to the security of that party, the provisions of Art.1 will apply, without prejudice, however, to the rights of the other European state concerned (Art.2).

By the expression "a European power" employed in the Agreement is to be understood Germany. In the event of action within the meaning of Articles 1 or 2 of the Agreement by a European power other than Germany, the parties will consult together on the measures to be taken in common (Secret Protocol, Art.1). The two governments will from time to time determine by mutual agreement the hypothetical cases of action by Germany coming within the ambit of Art.2 of the Agreement (Secret Protocol, Art.2a). Until such time as the two governments have agreed to modify the following conditions of this paragraph, they will consider: that the case contemplated by paragraph (1) of Article 2 of the Agreement is that of the free city of Danzig; and that the cases contemplated by paragraph (2) of Article 2 are Belgium, Holland, Lithuania (Secret Protocol, Art.2b).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific adversary, specific location

20. Describe the nature of the limits to obligations listed in #19.

The defense and offense provision apply specifically to violence or threat emanating from Germany. If another European country is the aggressor the consultation provision is invoked. The offense obligations arise if Germany attacks Belgium, Holland or Lithuania.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Should a European power attempt to undermine the independence of one of the parties by processes of economic penetration or in any other way, the parties support each other in resistance to such attempts (Art.3).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes. Germany (255).

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

Yes. Should the parties be engaged in hostilities in consequence of the application of the present agreement, they will not conclude an armistice or treaty except by mutual agreement (Art.7).

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

This is the second phase of the WW II alliance between Poland and the UK. In the second phase offense and consultation provisions are added to the defense promise.

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
No.
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
Yes. The methods of applying the undertakings of mutual assistance provided for by the present agreement are established between the competent naval, military and air authorities of the parties (Art.4). Without prejudice to the foregoing undertakings of the contracting parties to give each other mutual support and assistance immediately on the outbreak of hostilities, they will exchange complete and speedy information concerning any development which might threaten their independence and, in particular, concerning any development which threatened to call the said undertakings into consideration (Art.5).
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.
No.
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.
No.
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.
No.
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
No.
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
No.
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No.
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
No.
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
No.
- SECTION V: DOCUMENTATION
57. Additional coder comments on this alliance treaty: This is the second phase of the British Polish WW II alliance.
58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
59. Treaty citation: BFSP, vol.143, p.301. (treaty)
BFSP, vol. 158, p. 393 (secret protocol)
60. Date of last revision of this coding sheet: 02/13/05.
61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds