

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4323

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):
August 25, 1992

4. Members of alliance (use COW country codes):
369 Ukraine
704 Uzbekistan

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty is concluded for a term of 10 years (Art. 29).

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. The treaty will be automatically extended for next 10 years unless one of the Contracting Parties declares its intention to denounce the treaty to the other party in writing not later than 6 months before the expiration of the treaty (Art. 29).

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
February 19, 1998
(<http://ua-info.biz/legal/baseup/ua-ympbpu.htm>: Accessed on April 14, 2018)

15. Describe what caused the alliance to terminate.
Replaced by the 1998 Agreement between Ukraine and Uzbekistan of friendship and further deepening of cooperation.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression Pact
Consultation Pact

17. Type of alliance as coded in COW data (if applicable): N/A

18. Describe the obligations of the alliance partners.

“The High Contracting Parties in their mutual relations act as a sovereign equal and friendly states. In their relations are governed by the principles of respect for sovereignty, equality, non-interference in the internal affairs of each other,[non]use of force or threat of force, to prevent economic and other means of pressure, territorial integrity and inviolability of borders, peaceful settlement of disputes...” (Art. 1)[Nonaggression]

“Each of the High Contracting Parties shall refrain from participation or support of any actions and measures against the other High Contracting Party.” (Art. 9)[Nonaggression]

“In the event of a situation posing a threat to peace, security, territorial integrity and sovereignty of one of the High Contracting Parties, the Parties shall result in the mechanism of joint consultations to coordinate their positions and taking action to eliminate the threat [that] emerged.” (Art. 9)[Consultation]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

“Each High Contracting Party informs the other Party of its economic decisions, including those involving the transfer of the national currency, which may affect their rights and interests...” (Art. 10)

“Each of the High Contracting Parties shall provide assistance to the other Party in the event of environmental disasters and situations caused by natural factors threaten the life of the population.” (Art. 15)

“Each High Contracting Party...refrain[s] from unilateral actions that destabilize the economic situation of the other party.” (Art. 10)

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes.

“The High Contracting Parties in their mutual relations act as a sovereign equal and friendly states. In their relations are governed by the principles of...peaceful settlement of disputes...” (Art. 1)

“In case of disputes concerning the interpretation and implementation of its articles Parties shall settle them through consultation and negotiation.” (Art. 27)

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

Yes.

“The High Contracting Parties shall cooperate in the development of the Armed Forces of their countries, conversion of military industries, technology exchanges, research and experimental development in this area.” (Art. 8)

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

Yes. Intergovernmental commission for expanding and deepening economic and trade cooperation (Art. 11)

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes – UN and CSCE as a framework for peace and security (Preamble).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes-CSCE-see #47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes.

Cooperation in the fields of politics, economy, science, culture, humanitarian issues and environment (Art. 2)

Cooperation to protect the rights of citizens and national minorities living in the territory of the other party (Art. 3, 4)

Cooperation in the fields of culture, media, literature, art, tourism and sports (Art. 5)

Cooperation to ensure the free entry and exit of the citizens of the other party (Art. 6)

Cooperation in the field of foreign policy and foreign trade (Art. 7)

Economic cooperation to promote the formation of a common economic space and the Eurasian market (Art. 10)

Cooperation regarding the issues of state property (Art. 12)

Cooperation in the fields of energy, information, all types of communication, etc (Art. 13)

Cooperation for environmental protection including the effort to minimize the effect of the Chernobyl disaster (Art. 14)

Cooperation in the field of transportation (Art. 16)

Cooperation in the fields of education, science and technology (Art. 17)

Cooperation to ensure the protection of public health, the development of medical science and practice (Art. 18)

Cooperation for regulating the migration processes (Art. 20)

Legal cooperation associated with international law (Art. 21)

Cooperation for the protection of intellectual property (Art. 22)

Cooperation in combating against organized crime, terrorism and drug trafficking (Art. 23)

Interparliamentary and intergovernmental agency cooperation (Art. 24)

Cooperation for facilitating contacts between citizens, regions, cities, regions, enterprises, and organizations of the two countries (Art. 25)

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes.

Agreement on legal assistance in civil, family and criminal cases; consular agreement (Art. 3)

Agreement on the use and storage of national cultural and artistic values (Art. 5)

Agreement on economic and trade cooperation (Art. 11)

Agreement relating to state property (Art. 12)

Agreement on the implementation of free transit of passengers and goods of the other party in the territory (Art. 16)

Agreement on recognizing diplomas, degrees, and titles of the other party (Art. 17)

Agreement on the issue of social security of citizens living in the territory of the other party (Art. 19)

Agreement on the fight against organized crime, terrorism and drug trafficking (Art. 23)
Agreement necessary for the effective implementation of the present treaty (Art. 27)

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

Yes. MFN. "The High Contracting Parties shall afford one another the most favored [nation status] and encourage the development of direct mutually beneficial economic and trade relations at all levels..." (Art. 10)

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes.

"The High Contracting Parties in their mutual relations act as a sovereign equal and friendly states. Their relations are governed by the principles of...non-interference in the internal affairs of each other..." (Art. 1)

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

The original language of this treaty is Ukrainian and the text is translated by using the Google Chrome browser.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty on the Basis of Interstate Relations, Friendship and Cooperation between Ukraine and Uzbekistan

59. Treaty citation: Parliament of Ukraine: http://zakon4.rada.gov.ua/laws/show/860_631 (Accessed on April 14, 2018)

60. Date of last revision of this coding sheet: June 21, 2018

61. Coder: Jaewook Chung, Jae-eon Lee, Jessica Edry, Ashley Leeds