

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2360.
2. COW Alliance # (if applicable): # 3080.
3. Signature date(s) (may vary for members in multilateral alliances):
May 2, 1935.
4. Members of alliance (use COW country codes):
220 France
365 USSR
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty shall remain in force for five years (Art.5).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
Yes. Unless the treaty is not denounced by either party at least one year before the expiry of that period, it shall remain in force indefinitely, each of the parties being at liberty to terminate it at a year's notice by a declaration to that effect (Art.5).
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. See 11.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
September 3, 1939
15. Describe what caused the alliance to terminate.
France becomes involved in WWII.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Consultation Pact
Defense Pact.
17. Type of alliance as coded in COW data (if applicable): Defense Pact.

18. Describe the obligations of the alliance partners.

In the event of France or the USSR being threatened with or in danger of aggression on the part of any European state, the USSR and reciprocally France undertake mutually to proceed to an immediate consultation as regards the measures to be taken for the observance of the provisions of Article 10 of the Covenant of the League of Nations (Art.1).

Should, in the circumstances specified in Article 15, paragraph 7, of the Covenant of the League of Nations, France or the USSR be the object, notwithstanding the sincerely peaceful intentions of both countries, of an unprovoked aggression on the part of a European state, the USSR and reciprocally France shall immediately come to each other's aid and assistance (Art.2). In consideration of the fact that under Article 16 of the Covenant of the League of Nations any member of the League which resorts to war in disregard of its covenants under Articles 12, 13 or 15 of the Covenant is ipso facto deemed to have committed an act of war against all other Members of the League, France and reciprocally the USSR undertake, in the event that one of them being the object, in these conditions and notwithstanding the sincerely peaceful intentions of both countries, of an unprovoked aggression on the part of a European state, immediately to come to each other's aid and assistance in application of Article 16 of the Covenant. The same obligation is assumed in the event of France or the USSR being the object of an aggression on the part of a European state in the circumstances specified in Article 17, paragraphs 1 and 3, of the Covenant of the League of Nations (Art.3).

A protocol specifying further details was included. Relevant parts of the protocol: I) Article 3 comes into effect as soon as the League of Nations issues recommendations, that the two parties will act to ensure that such recommendations are issued quickly, that shall there be no recommendation assistance shall still be rendered, and that the defense provisions only apply to aggression committed against either Contracting party's own territory; IV) The parties originally wanted to sign an agreement with Germany in which each of the three states was to have undertaken to come to the assistance of any one of them which might be the object of aggression on the part of any other of those three states. While this agreement was never signed, the defense clauses specified in the present agreement are understood as intended to apply only within the limits contemplated in the three-party agreement. In other words, the defense clause applies to aggression by Germany. In case of aggression by any other European power the French-Soviet agreement from November 29, 1932, that demands neutrality, remains valid.

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific adversary, specific location, non-provocation

20. Describe the nature of the limits to obligations listed in #19.

The consultation and defense clauses refer to aggression from European powers (Art.1,2,3). The protocol also states that the defense provisions only apply to aggression committed against either Contracting party's own territory (Art.I).

The defense clause also specifies nonprovocation. Finally, the protocol indicates that the defense obligations really only apply to Germany.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes. Germany (255). See 28.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are nullified and describe the treaty reference.
No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

Yes. The treaty specifies what should happen when certain Articles of the Covenant of the League of Nations become relevant because one of the parties has become the object of aggression (Art.1,2,3). The Treaty also specifies that the neutrality provision of the Franco-Soviet Pact of Nonaggression signed on November 29, 1932, remains valid unless either France or the USSR is attacked by Germany (Art.IV)..

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. Strengthen League of Nations (Preamble) and specification of what should happen when certain Articles of the Covenant of the League of Nations become relevant because one of the parties has become the object of aggression (Art.1,2,3).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. Franco-Soviet Pact of Nonaggression signed on November 29, 1932 (See 46).

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: LNTS, vol.167, p.404.

60. Date of last revision of this coding sheet: 02/13/05.

61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds.