

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4392

2. COW Alliance # (if applicable):
N/A

3. Signature date(s) (may vary for members in multilateral alliances):
January 4, 1993

4. Members of alliance (use COW country codes):
704 Uzbekistan
702 Tajikistan

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes, Article 29 "This agreement shall be concluded for a period of ten-years, unless one of the higher contracting parties notifies the other high contracting party in writing of its intention to terminate it at least six months before the end of the current ten-year period. is automatically extended to an annual period."

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.\nYes, Article 29 "This agreement shall be concluded for a period of ten-years, unless one of the higher contracting parties notifies the other high contracting party in writing of its intention to terminate it at least six months before the end of the current ten-year period. is automatically extended to an annual period."

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes, Article 29 "This agreement shall be concluded for a period of ten-years, unless one of the higher contracting parties notifies the other high contracting party in writing of its intention to terminate it at least six months before the end of the current ten-year period. is automatically extended to an annual period."

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
0.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect as of today's date. Both countries maintain close bilateral ties and according to the ministry of foreign affairs of Tajikistan, this treaty is the main document that still regulates the relations between the two countries. The following are the direct text and link to the website of the ministry of foreign affairs of Tajikistan.
"The Treaty of Friendship, Good-neighborliness and Cooperation between Tajikistan and Uzbekistan, signed on January 4, 1993 in Tashkent and the Treaty of Eternal Friendship between Tajikistan and Uzbekistan signed on June 15, 2000 are rightly considered to be the main documents, which regulate the main directions of relations between Tajikistan and Uzbekistan."

15. Describe what caused the alliance to terminate.
Still in effect.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression Pact.

Defense Pact.

Consultation Pact.

17. Type of alliance as coded in COW data (if applicable):
N/A.

18. Describe the obligations of the alliance partners.

Article 20 - "The High Contracting Parties shall refrain from the use of force or the use of force in interstate relations, from membership in associations against the other Party...the High Contracting Parties. undertake to hold immediate consultations on all matters of international security in order to coordinate their positions and take action. In the event of an act of aggression against one of the High Contracting Parties, the other High Contracting Party shall provide it with the necessary assistance, including military assistance. Each of the High Contracting Parties undertakes not to allow its territory, communications system and other infrastructure to be used by third States for the purpose of preparing for or carrying out acts of aggression or other acts of violence against the other High Contracting Party."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes, the obligation to provide military assistance is contingent up attack.

Article 20 - "...In the event of an act of aggression against one of the high contracting parties, the other high contracting party shall provide it with the necessary assistance, including military assistance."

20. Describe the nature of the limits to obligations listed in #19.

The obligation to provide military assistance is contingent up attack (Article 20)

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes, Article 20 - "... Each of the high contracting parties undertake not to allow its territory, communication system and other infrastructure to be used by third states for the purpose of preparing for or carrying out acts of aggression or other acts of violence against the other high contracting party"

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes, Article 20 - ““The High Contracting Parties shall refrain from the use of force or the use of force in interstate relations, from membership in associations against the other Party”

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes, Article 20 – “The high contracting parties shall refrain from the use of force or the use of force in interstate relations....”

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes, the treaty makes references to United Nations in preamble.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes,

Article 6 – cooperation in the field of foreign policy, foreign economic activity, in the work of international organizations

Article 7 - cooperation in economic sphere such as trade, monetary policies, tax policies

Article 10 – cooperation in the fields of energy, information and all forms of communication

Article 11 – cooperation in agro-industry, seismology, and earthquake resistant construction

Article 12 – cooperation in the field of transportation

Article 13 – cooperation in the fields of science, education and technology

Article 15 – cooperation in the protection of public health and medical development

Article 18 – cooperation in the development of joint projects for the protection of nature

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes,

Article 9 – “Matters related to the property of one party located in the territory of the other party shall be settled by separate agreements”

Article 7 - “They conclude separate agreements on the terms and conditions of the transition to mutual settlements.

Article 20 – “The high contracting parties shall enter into an agreement with each other on mutual security”

Article 22 – “The high contracting parties shall enter into a consular agreement and other documents on legal assistance in civil, family and criminal matters necessary to protect the rights of their nationals residing in the territory of the other high contracting party”

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes, Article 1 – “The high contracting parties shall as independent, equal, friendly states in their relations and shall develop their relations on the basis of the principles of respect for state sovereignty and non-interference in each other’s internal affairs.”

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: The treaty is available through the following link of the legislative database of the Republic of Uzbekistan:

<https://lex.uz/ru/docs/4661092>

Last date link accessed: 1/28/2022

60. Date of last revision of this coding sheet: 01/25/2022

61. Coder: Jahanzib Masjidi and Ashley Leeds