

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 4490.
2. COW Alliance # (if applicable): N/A.
3. Signature date(s) (may vary for members in multilateral alliances):
June 29, 1993.
4. Members of alliance (use COW country codes):
317 Slovakia
369 Ukraine.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty shall remain valid for 10 years (Art.24).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. After 10 years, the treaty's validity will be automatically extended for each subsequent five-year period, unless one of the contracting parties denounces it in writing at least one year before the end of the applicable period of validity (Art.24).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in force (provided by Slovak Embassy to US).
Version 4.0: In effect as of December 28, 2017 according to Ministry of Foreign Affairs, Ukraine
(<http://mfa.gov.ua/en/about-ukraine/bilateral-cooperation/european-countries>)
15. Describe what caused the alliance to terminate.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression Pact

17. Type of alliance as coded in COW data (if applicable): N/A.

18. Describe the obligations of the alliance partners.

The contracting parties will develop their relations as friendly states. They will build them on the principles of sovereign equality, territorial integrity, inviolability of current state borders, peaceful resolution of disputes and political independence, non-use of force or of the threat of force including economic and other forms of pressure, non-interference in internal affairs, respect for human rights and basic freedoms, cooperation among states, and conscientiously honoring international commitments and other generally accepted norms of international law (Art.1). In keeping with the UN Charter and with the documents of the Conference on Security and Cooperation in Europe, the contracting parties will resolve disputes that might arise between them exclusively through peaceful means. They will never use armed forces against each other (Art.2). The contracting parties will not themselves use and will not allow the use of their territory for armed aggression or hostile activity aimed against the other party (Art.4).

If one of the contracting parties becomes the object of an armed attack, the other contracting party will not extend any assistance or support to the attacker, and will facilitate resolution of the conflict in accordance with the principles of the United Nations Charter through means worked out in the framework of the Conference on Security and Cooperation in Europe (Art.4). If a situation arises, which, in the opinion of one of the contracting parties, represents a threat to peace, its infraction, or will cause international tension in Europe, the contracting parties will carry out consultations on the possible ways of settling it (Art.5).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- attack.

20. Describe the nature of the limits to obligations listed in #19.

Neutrality is contingent upon armed attack.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

They will build them on the principles of (...) the non-use of force or of the threat of force including economic and other forms of pressure (Art.1). The contracting parties will not themselves use and will not allow the use of their territory for armed aggression or hostile activity aimed against the other party (Art.4).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

The contracting parties pledge that the placement [deployment] and activity of their armed forces in the area of their common border will always conform to the aims and principles of this Treaty (Art.4).

The contracting parties shall respect the inviolability of currently existing state borders and they affirm that they have no territorial claims against each other, neither will they make such claims in the future (Article 2, paragraph 2)

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. The contracting parties will develop their relations as friendly states. They will build them on the principles of (...) peaceful resolution of disputes (Art.1). In keeping with the UN Charter and with the documents of the Conference on Security and Cooperation in Europe, the contracting parties will resolve disputes that might arise between them exclusively through peaceful means (Art.2). The contracting parties will be solving disputes connected with the interpretation or implementation of this Treaty through means of peaceful resolution of disputes, accepted by international law, in particular in the UN Charter and the documents of the Conference on Security and Cooperation in Europe (Art.21).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

Yes. The contracting parties will be solving disputes connected with the interpretation or implementation of this Treaty through means of peaceful resolution of disputes, accepted by international law, in particular in the UN Charter and the documents of the Conference on Security and Cooperation in Europe (Art.21).

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

Yes. Meetings at the highest level will take place as necessary, but not less than once a year (Art.8).

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.
No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.
Yes. Preamble: reaffirm principles of UN and CSCE. Peaceful conflict resolution according to UN and CSCE (Art.2,4,21). Security is connected to that of other states participating in CSCE and strengthen CSCE organs (Art.3). Broaden cooperation in IOs, especially CSCE and other European structures (Art.7).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.
Yes. CSCE. See 47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
Yes. Contacts at various levels (Art.8,9), trade, economics, and finances (Art.10), science, technology, and research (Art.11), environment and disasters (Art.12), transportation and border crossing (Art.13), telecommunication, postal service and data processing (Art.14), energy (Art.15), culture and education (Art.16), health care, tourism, sport and youth exchanges (Art.17), minorities (Art.18), military graves and monuments (Art.19), organized crime and mutual legal assistance (Art.20).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
Yes. The question, which treaties contracted between the Czech and Slovak Federative Republic and the Union of Soviet Socialist Republics before the proclamation of Ukraine's independence and between the Czech and Slovak Federative Republic and Ukraine before the proclamation of the Slovak Republic's independence remain in force between the Slovak Republic as one of the successor states of the Czech and Slovak Federative Republic and Ukraine as one of the successor states of the Union of Soviet Socialist Republics, will be regulated in a separate agreement (Art.23).

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. The contracting parties will build their relations on the principles of (...) non-interference in internal affairs (Art.1).

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Coded based on translation from Slovak by Fero Kuminiak.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: provided by Slovak Embassy to US.

60. Date of last revision of this coding sheet: 08/18/04.

61. Coder: Michaela Mattes, Ashley Leeds

Version 4.0: Q14 revised by Nicholas Coulombe, December 28, 2017