

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892
Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: #1240.
2. COW Alliance # (if applicable): # 2047.
3. Signature date(s) (may vary for members in multilateral alliances):
January 1, 1864 (1st agreement signed 12/30/1863, but 2nd 1/1/1864)
4. Members of alliance (use COW country codes):
100 Colombia
130 Ecuador
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No. But the agreement is the peace treaty ending COW war # 43 between Colombia and Ecuador.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
No.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
February 27, 1866
15. Describe what caused the alliance to terminate.
Ecuador becomes involved in war with Spain and Colombia does not participate.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Non-aggression Pact
Defense Pact
17. Type of alliance as coded in COW data (if applicable): Defense Pact

18. Describe the obligations of the alliance partners.

In no case shall Colombia and Ecuador ever have recourse to the ominous arbitrament of arms in order to obtain redress, should any differences hereafter occur between them or for the grievances they may have to complain of (Art.I).

To maintain and defend the liberty, sovereignty, independence, and integrity of their respective territories, guaranteeing their dominion and seignorage over the same, and repelling by force every foreign invasion (Additional Treaty, Art.I). To prevent all forcible intervention, whether the object be to favor any political party or to alter the institutions of either of the two parties (Additional Agreement, Art.II). To maintain the integrity of the territory belonging to ancient Colombia (Additional Treaty, Art.IV). With a view to the fulfillment of the obligations established by the preceding Article, when the casus foederis shall arise, the two parties shall fix beforehand, by special agreement, the number of sea and land forces which they are to contribute reciprocally. In the said agreement, rules shall moreover be established for the direction of the war, the command in chief on the united army, prizes, war booty, and the indemnification and payment for the assistance rendered (Additional Treaty, Art.V).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. It is a peace treaty. In no case shall Colombia and Ecuador ever have recourse to the ominous arbitrament of arms in order to obtain redress, should any differences hereafter occur between them or for the grievances they may have to complain of (Art.I).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

In the event of hostilities.

With a view to the fulfillment of the obligations established by the preceding Article, when the *casus foederis* shall arise, the two parties shall fix beforehand, by special agreement, the number of sea and land forces which they are to contribute reciprocally. In the said agreement, rules shall moreover be established for the direction of the war, *the command in chief on the united army*, prizes, war booty, and the indemnification and payment for the assistance rendered (Additional Treaty, Art.V).

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

Yes. With a view to the fulfillment of the obligations established by the preceding Article, when the *casus foederis* shall arise, the two parties shall fix beforehand, by special agreement, the number of sea and land forces which they are to contribute reciprocally. In the said agreement, rules shall moreover be established for the direction of the war, *the command in chief on the united army*, prizes, war booty, and the indemnification and payment for the assistance rendered (Additional Treaty, Art.V).

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

Yes. The military forces in the south of Colombia, and those in the north of Ecuador, shall be respectively reduced to the number necessary for maintaining order in the interior (Art.IV).

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

Yes. The field-officers and officers, prisoners of war, having been set at liberty on the parole by Colombia, the obligations contracted by them are hereby cancelled, and should any others be still detained they shall receive passports, in order that they may freely return to their homes (Art.II).

The military forces in the south of Colombia, and those in the north of Ecuador, shall be respectively reduced to the number necessary for maintaining order in the interior (Art.IV).

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

With a view to the fulfillment of the obligations established by the preceding Article, when the *casus foederis* shall arise, the two parties shall fix beforehand, by special agreement, the number of sea and land forces which they are to contribute reciprocally. In the said agreement, rules shall moreover be established for the direction of the war, *the command in chief on the united army*, prizes, war booty, and the indemnification and payment for the assistance rendered (Additional Treaty, Art.V).

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No. The treaty explicitly states that the Treaty of Friendship, Commerce and Navigation between New Granada and Ecuador, on 9th July 1856, remains in full vigor, as well as any other agreements or Conventions made between the two parties, in so far as they are not opposed to or abrogated by the present Treaty (Art.III).

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No. But the Treaty is composed by the Treaty itself (which requires no ratification through legislatures) and an Additional Treaty (which requires ratification).

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes. Economic exchange between Colombia and Ecuador shall be free from all duties and taxes and as far as possible the Custom-House system of the two parties shall be assimilated, as well as their weights, measures, and coinage, and their commerce and navigation, abolishing the land Customs-Houses at their respective frontiers, and everything else tending to embarrass their mutual transactions (Additional Treaty, Art.III).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

Yes. The Treaty is a peace treaty.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. With a view to the fulfillment of the obligations established by the preceding Article, when the casus foederis shall arise, the two parties shall fix beforehand, by special agreement, the number of sea and land forces which they are to contribute reciprocally. In the said agreement, rules shall moreover be established for the direction of the war, the command in chief on the united army, prizes, war booty, and the indemnification and payment for the assistance rendered (Additional Treaty, Art.V).

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No. The two governments shall agree to declare themselves satisfied and their motives of complaint cancelled (Additional Treaty, Art.VI).

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

Yes. Economic exchange between Colombia and Ecuador shall be free from all duties and taxes (Additional Treaty, Art.III).

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: CTS, vo.129, p.31-35

60. Date of last revision of this coding sheet: July 26, 2004

61. Coder: Michaela Mattes, Ashley Leeds