

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long  
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892  
Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2040.
2. COW Alliance # (if applicable): # 3022.
3. Signature date(s) (may vary for members in multilateral alliances):  
August 17, 1916.
4. Members of alliance (use COW country codes):  
220 France  
200 United Kingdom  
325 Italy  
365 Russia  
360 Romania.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
Yes. France, UK, Italy, and Russia are involved in COW war # 106, WW I .
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Executive Agreement
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Secret (Art.7).
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
No.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
November 8, 1917 for Russia: November 11, 1918 for remaining states
15. Describe what caused the alliance to terminate.  
Russia abrogates existing international commitments following revolution. WWI ends for the remaining states.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Defense Pact  
Offense Pact

17. Type of alliance as coded in COW data (if applicable): Defense Pact

18. Describe the obligations of the alliance partners.

France, the UK, Italy and Russia guarantee the territorial integrity of Romania in its current frontiers (Art.1).

Romania will declare war and attack Austria-Hungary according to the conditions specified in the military convention;

Romania also commits itself to terminate all economic and commercial relations with the enemies of its allies (Art.2).

France, the UK, Italy and Russia recognize Romania right to annex the Austrian-Hungarian territories specified in article 4 (Art.3).

(see military convention for details of defensive/offensive commitments of all parties.)

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific adversary, specific ongoing conflict

20. Describe the nature of the limits to obligations listed in #19.

The commitments are relevant to the current ongoing conflict

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Romania also commits itself to terminate all economic and commercial relations with the enemies of its allies (Art.2).

The Russian army commits itself to act in a particularly energetic way on the Austrian frontiers in order to assure the Romanian operations specified in the military convention (Military Convention, Art.2). Russia helps secure the port of Constantza and to prevent the de-boarding of enemy troops in Romania (Military Convention, Art.3).

The other allies' armies cannot penetrate their allies' territory or the territory occupied by the ally unless it is in the general interest or for the common goal and with written consent for each particular case (Military Convention, Art.10). Every time that during the operations the allied armies find it necessary to transport troops, provisions and military supplies by using one or more roads in the territory of the allies, this shall be regulated for each case in particular by delegates of the two headquarters (Military Convention, Art.11).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes- Austria-Hungary (300), Bulgaria (355)

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

No. France, the UK, Italy and Russia defend Romania i.e. it's territorial integrity and Romania attacks Austria-Hungary.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

Yes. The parties commit themselves not to conclude a separate peace or a general peace unless they do it together and simultaneously (Art.5).

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
Yes. After the conclusion of the present convention, the leadership of the Romanian and Russian armies, as well as the leadership of the Salonique army, will coordinate on the modalities of their cooperation (Military Convention, Art.7). The agreement on the military operations of the Russian and Romanian armies and all the changes, explanations and supplements concerning permanent contacts will be established in their headquarters (Military Convention, Art.7). In order to coordinate the actions of the Romanian, Russian and allied armies, and in order to attain the military goals, a representative of the Romanian army, if necessary aided by a certain number of officers, will find himself at the Russian and allied headquarters as soon as the military operation begins. Similarly, the representatives of the Russian army and the other allies must find themselves in the headquarters of the Romanian army. The headquarters of the cooperating armies must give each other, and in time, information about military conjectures, the compensation of forces and the course of operations (Military Convention, Art.13). Any new and unforeseen developments are treated in each headquarter with the delegates of the allied armies and no new measures will be used unless they are taken in accord with the chief commanders (Military Convention, Art.14). In order to be able to start preparatory measures in time, the parties must agree of the military plan before the opening of hostilities by the Romanian army (Military Convention, Art.15).
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.  
Yes. France, the UK, Italy and Russia commit themselves to furnish Romania with munitions and war material that is transported by Romanian ships or via Russian transits (...) (Military Convention, Art.4). The allies also furnish horses, cannons, medication, subsistence articles and equipment and technical personnel (Military Convention, Art.5,6).
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
No
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
Yes. The Russian war ships that serve on the Danube to guarantee the river bank (...) are under the orders of the Romanian army leadership (...) (Military Convention, Art.2).  
The cooperation of the armies does not imply the subordination of one of the parties to the other, it implies nothing but the free acceptance of the dispositions and modifications due to the general situation, necessities created by the common aim and the camaraderie of the armies (Military Convention, Art.8). In principle, the Russian and Romanian troops retain their proper command, their distinct zone of operations and a complete independence in the execution of operations (...) In the case that a Russian contingent that operates south of the Danube is considerably augmented in a way that it is equal or superior to the Romanian troops with which it cooperates, this contingent can form, when existing the Romanian territory, an independent army that is place under Russian command. If operations with combined Russian and Romanian forces take place, the command of these forces is indicated by the zone of operations (Military Convention, Art.9).
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
Yes. Romania grants Russia the right to use the port of Constantza (Military Convention, Art.2).
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
Yes. Romania mobilizes all its land and sea forces (Military Convention, Art.1). In the moment of Romanian mobilization, Russia sends to Dobroudja two divisions of infantry and one division of cavalry in order to operate together with the Romanian army against Bulgaria (Military Convention, Art.3).
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
Yes. France, the UK, Italy and Russia recognize Romania right to annex the Austrian-Hungarian territories specified in Article

4 (Art.3).

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
Yes. The prisoners, the war booty and trophies taken by an allied army belongs to it. The war booty taken as a result of common combat, will be split proportionally to who has taken part. However, in order to facilitate the supply of the Romanian army, the Russian commander all the war material and munitions that it needs (Military Convention, Art.12).
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No.
43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.  
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.  
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.  
No.
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.  
No.
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.  
No.
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.  
Yes. A military convention.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.  
No.
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.  
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.  
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.  
No.
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.  
No.
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.  
No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

#### SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty: Coded from French

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: CTS, vol.221, p.412.

60. Date of last revision of this coding sheet: 02/27/05.

61. Coder: Michaela Mattes, Ashley Leeds