

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2195.
2. COW Alliance # (if applicable): # 3050.
3. Signature date(s) (may vary for members in multilateral alliances):
October 1, 1927.
4. Members of alliance (use COW country codes):
630 Persia
365 USSR.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty shall remain in force for three years (Art.7).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. After the expiry of the original period of validity, the treaty shall be regarded as automatically prolonged for successive periods of one year until one of the parties notifies the other of its desire to denounce the party. In that case the treaty shall remain in force for six months from the date of notification of the denunciation by one of the parties (Art.7).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
August 25, 1941
15. Describe what caused the alliance to terminate.
Soviet and British forces enter Iran and install a regime that will cooperate in WWII (Langer, 1972: 1309)

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression Pact
Neutrality Pact.

17. Type of alliance as coded in COW data (if applicable): Neutrality/Nonaggression Pact.

18. Describe the obligations of the alliance partners.

Each party undertakes to refrain from any aggression and from any hostile acts against the other party, and not to introduce its military forces into the territory of the other party. Should either of the parties become victim of aggression on the part of one or more third parties, the other party agrees to observe neutrality throughout the duration of the conflict, while the party which is victim of the aggression shall not violate that neutrality, notwithstanding any strategical, tactical or political considerations or any advantages it might thereby obtain (Art.2).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- attack.

20. Describe the nature of the limits to obligations listed in #19.

Neutrality is contingent upon attack.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Each party does not take part in any economic boycotts or blockades organized by third powers against the other party (Art.3). The two parties undertake not to encourage or to allow in their respective territories for the formation of activities of: 1) organizations and groups of any description whatever, whose object is to overthrow the government of the other party by means of violence, insurrection or outrage; 2) organizations or groups usurping the office of the government of the other country or part of its territory, also having as their object the subversion of the government of the above-mentioned means, a breach of its peace and security, or an infringement of its territorial integrity. In accordance with foregoing principles, the two parties likewise undertake to prohibit military enrollment and the introduction into their territory of armed forces, arms, ammunition, and all other war material, intended for the organizations mentioned above (Art.4).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. Each of the parties agrees to take no part, whether de facto or de jure, in political alliances or agreements directed against the safety of the territory or territorial waters of the other party or against its integrity, independence or sovereignty (Art.3).

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. The two parties undertake to settle by pacific procedure appropriate to the circumstances all disputes of any description which may arise between them and which it has not been possible to settle through the ordinary diplomatic channels (Art.5).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are included and describe the treaty reference.

Yes. The mutual relations between the parties shall continue to be governed by the Treaty of February 26, 1921, of which all articles and provisions shall remain in force, and which shall be applicable throughout the territory of the USSR (Art.1).

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. The parties, being determined to abstain from any intervention in the internal affairs of the other party and from propaganda or campaign against the government of the other party, shall strictly forbid its officials and citizens to commit such acts on the territory of the other country (Art.4).

The two parties undertake not to encourage or to allow in their respective territories for the formation of activities of: 1) organizations and groups of any description whatever, whose object is to overthrow the government of the other party by means of violence, insurrection or outrage; 2) organizations or groups usurping the office of the government of the other country or part of its territory, also having as their object the subversion of the government of the above-mentioned means, a breach of its peace and security, or an infringement of its territorial integrity. In accordance with foregoing principles, the two parties likewise undertake to prohibit military enrollment and the introduction into their territory of armed forces, arms, ammunition, and all other war material, intended for the organizations mentioned above (Art.4).

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: LNTS, vol.112, p.292.

60. Date of last revision of this coding sheet: 02/16/05.

61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds