

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4241

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):
May 26, 1992

4. Members of alliance (use COW country codes):
369 Ukraine
366 Estonia

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty is concluded for a term of 10 years.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. The treaty will be automatically extended for another 5 years unless one of the Contracting Parties notifies the other party of its intention to terminate the treaty in writing at least one year before the expiration of the treaty (Art. 22).

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect as of May 5, 2018 according to the MFA website of Estonia
(<https://www.riigiteataja.ee/akt/13138104>)

15. Describe what caused the alliance to terminate.
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression Pact
Neutrality Pact

Consultation Pact

17. Type of alliance as coded in COW data (if applicable): N/A

18. Describe the obligations of the alliance partners.

“The high Contractual Parties will develop the relations as the friendly states. They will be consistently guided by principles of sovereign equality, refusal of use of force or threat by force, inviolability of borders, territorial integrity, peaceful settlement of disputes, non-interference to internal affairs...” (Art. 1)[Nonaggression]

They also commit themselves to settle disputes that might arise between them only in a peaceful way, according to the UN Charter and the documents of CSCE (Art. 2)[Nonaggression]

They also commit themselves never, or under any circumstances, to use its armed forces first against each other (Art. 2)[Nonaggression]

“If one of the contracting parties becomes an object of armed aggression, the other contracting party shall not give any support to the aggressor...” (Art. 4)[Neutrality]

“In the event of a situation which, in the view of one of the Contracting Parties, may endanger peace or cause international discord, the Parties shall consult with a view to finding possible solutions.” (Art. 5)[Consultation]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes.

20. Describe the nature of the limits to obligations listed in #19.

Neutrality contingent upon attack.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes.

“The Contracting Parties undertake not to use or not to allow its territory to be used to carry out aggression or hostile action against the other party.” (Art. 4)

“If one party can be the object of an armed attack, the other party does not provide support for the aggressor and take all steps which are necessary for peaceful conflict resolution.”(Art. 4)

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No, but

“The High Contracting Parties undertake not to enter into agreements with other countries or other countries that are contrary to

international law, including the UN Charter, the Universal Declaration of Human Rights, the Helsinki Final Act and the Paris Charter for a New Europe.” (Art. 4)

28. Additional coder comments regarding treaty obligations:

Art. 2: “They...do not exert pressure on one another regarding the location of their armed forces in the territory of the other Contracting Party.” (The meaning of this part is unclear.)

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes.

“The high Contractual Parties will develop the relations as the friendly states. They will be consistently guided by principles of.. peaceful settlement of disputes...” (Art. 1)

Article 2 also reaffirms their commitment to peaceful settlement of disputes that might arise between them.

“The High Contracting Parties shall settle any dispute relating to the interpretation or application of this Agreement, peaceful dispute settlement procedures, according to the UN Charter, Chapter 33.” (Art. 21)

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes – UN and CSCE as a framework for peace and security (Preamble and Art. 2)

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes-CSCE-see #47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes.

Cooperation between governmental agencies (Art. 8)

Expanding ties between citizens, public and community organizations (Art. 9)

Economic and trade cooperation (Art. 10)

Cooperation in basic and applied sciences, technology and cutting-edge technology development (Art. 11)

Cooperation for environmental protection (Art. 12)

Cooperation in the field of transportation (Art. 13)

Cooperation in the field of energy, and telecommunications (Art. 14)

Cooperation in the field of culture, science, education and information (Art. 15)

Cooperation in health, tourism, and sports (Art. 16)

Cooperation to guarantee to its citizens equal rights and freedoms, regardless of their ethnicity and other differences (Art. 18)

Cooperation in the fight against organized crime, terrorism, drug trafficking , etc (Art. 19)

Cooperation with legal issues in civil, criminal and family law matters (Art. 19)

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes.

Agreements on trade, services, movement of the securities, mutual settlements and payments, and tourism (Art. 10)

Agreement on citizenship issues (Art. 18)

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes,

No) If Yes, describe these provisions.

Yes. MFN. "They take steps to develop their economic ties and bring them closer to world norms and practice, including [granting] each other MFN..." (Art. 10)

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes.

"The high Contractual Parties will develop the relations as the friendly states. They will be consistently guided by principles of...non-interference [in] internal affairs..." (Art. 1)

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

The original language of this treaty is Estonian and the text is translated by using the Google Chrome browser.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty of Friendship and Cooperation between Ukraine and Estonia

59. Treaty citation: (<https://www.riigiteataja.ee/akt/13138104>) (Accessed on May 5, 2018)

60. Date of last revision of this coding sheet: May 5, 2018

61. Coder: Jaewook Chung, Jae-eon Lee, Jessica Edry, Ashley Leeds