

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4340

2. COW Alliance # (if applicable):

N/A

3. Signature date(s) (may vary for members in multilateral alliances):

5 OCTOBER 1992

4. Members of alliance (use COW country codes):

355 BULGARIA
369 UKRAINE

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)

NO

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

TREATY

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.

PUBLIC

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.

NO

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?

NO

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.

YES. THE TREATY IS CONCLUDED FOR THE PERIOD OF TEN YEARS.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.

NO.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.

YES. THE TREATY IS AUTOMATICALLY EXTENDED FOR 5-YEAR PERIODS UNLESS ONE OF THE PARTIES DENOUNCES IT IN WRITING AT LEAST ONE YEAR BEFORE THE EXPIRATION DATE OF THE RESPECTIVE PERIOD OF ACTIVITY.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

0

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

Still in effect

Version 4.0: In effect as of December 28, 2017 according to Ministry of Foreign Affairs, Ukraine

<http://mfa.gov.ua/en/about-ukraine/bilateral-cooperation/european-countries>)

15. Describe what caused the alliance to terminate.

N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

NONAGGRESSION PACT

CONSULTATION PACT

17. Type of alliance as coded in COW data (if applicable):

N/A

18. Describe the obligations of the alliance partners.

NONAGGRESSION –

ARTICLE 1 – THE PARTIES COMMIT TO THE NON-USE OF FORCE OR THREATS TO USE FORCE IN THEIR RELATIONS

ARTICLE 2 – THE PARTIES WILL RESOLVE THEIR DISPUTES EXCLUSIVELY BY PEACEFUL MEANS

CONSULTATION –

ARTICLE 6 – “THE CONTRACTING PARTIES WILL IMMEDIATELY PROCEED TO CONSULTATIONS, IF IN THE OPINION OF ONE OF THEM A SITUATION IS ARISING THAT MENACES ITS TERRITORIAL INTEGRITY AND/OR SECURITY.”

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

NO

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

NO

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

NO

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

NO

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

YES

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

NO

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

NO – ARTICLE 20: “THIS TREATY DOES NOT CONCERN THE RIGHTS AND OBLIGATIONS OF THE CONTRACTING PARTIES, ARISING FROM ACTIVE INTERNATIONAL TREATIES, CONCLUDED BY ONE OF THEM WITH OTHER STATES.”

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

NO

28. Additional coder comments regarding treaty obligations:

ARTICLE 6 – THE PARTIES WILL COOPERATE TO THE MAINTENANCE OF GOOD-NEIGHBORLY RELATIONS AMONG THE STATES IN THE BLACK SEA REGION

ARTICLE 9 – THE PARTIES WILL PROTECT THE CULTURAL AND LINGUISTIC AUTONOMY OF BULGARIANS IN THE UKRAINE AND UKRAINIANS IN BULGARIA (*THIS FAVORS BULGARIA MOSTLY AS THERE IS A BULGARIAN MINORITY IN THE UKRAINE*)

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

YES. ARTICLE 2 – THE PARTIES COMMIT TO THE PEACEFUL RESOLUTION OF THEIR DISPUTES.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

YES. The contracting parties, in the provision that any disputes arise between them, will resolve them exclusively by peaceful means, including with the aid of the foreseen by the Charter of the United Nations mechanisms for the resolution of international conflicts (Article 2).

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

NO

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

NO

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

NO

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

NO

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

YES. ARTICLE 7: "THE MINISTERS OF FOREIGN AFFAIRS OF THE TWO STATES WILL MEET AT LEAST ONCE ANNUALLY FOR CONSULTATIONS ON SALIENT ISSUES OF THE BILATERAL RELATIONS AND INTERNATIONAL SITUATION."

ARTICLE 10 – A BILATERAL INTER-GOVERNMENTAL COMMISSION WILL VIEW ALL ISSUES CONCERNING ECONOMIC, COMMERCIAL AND SCIENTIFIC COOPERATION.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

NO

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

NO

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

YES. THE PARTIES WILL ADHERE TO THE CFE TREATY (ARTICLE 4) AND WILL SUPPORT THE NON-PROLIFERATION OF NUCLEAR WEAPONS (ARTICLE 5).

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

NO

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

NO

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

NO

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

NO

43. Additional coder comments regarding references to alliance institutionalization:

N/A

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

NO – ARTICLE 20

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

NO – ARTICLE 20

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are included and describe the treaty reference.

NO

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

YES. SEVERAL REFERENCES THAT THE PARTIES WILL GOVERN THEIR RELATIONS IN THE SPIRIT OF THE UN CHARTER AND THE OSCE PROCEEDINGS. THE LATTER TWO ORGANIZATIONS WILL ALSO SERVE AS A BASIS FOR CONSULTATIONS AS DEFINED IN ARTICLE 6.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

YES – OSCE. THE PARTIES’ BILATERAL RELATIONS AND CONSULTATION PROCEDURES WILL BE IN ACCORD WITH THE OSCE PROVISIONS.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

NO

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

YES. DOMESTIC POLITICAL STRUCTURES COOPERATION – ARTICLE 8

ECONOMIC COOPERATION – ARTICLE 10

ENERGETICS AND INFRASTRUCTURE COOPERATION – ARTICLE 11

TRANSPORTATION AND CUSTOMS COOPERATION – ARTICLE 12

TELECOMMUNICATIONS AND DATA PROCESSING COOPERATION – ARTICLE 13

SCIENTIFIC COOPERATION – ARTICLE 14

ENVIRONMENTAL COOPERATION – ARTICLE 15

CULTURAL COOPERATION – ARTICLE 16

SOCIAL WORK, TOURISM, HEALTH CARE, AND SPORT COOPERATION – ARTICLE 17

COOPERATION AGAINST ORGANIZED CRIME – ARTICLE 18

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

NO

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

NO

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

YES – ARTICLE 19: “THE CONTRACTING PARTIES WILL, IF NECESSARY, CONCLUDE SUPPLEMENTARY TREATIES CONCERNING SPECIFIC ISSUES IN THE FULFILLMENT OF THE OBLIGATIONS ARISING FROM THIS TREATY.”

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

NO

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

NO

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

YES – ARTICLE 1 COMMITS THE PARTIES TO NON-INTERVENTION IN THEIR INTERNAL AFFAIRS

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

CODED FROM BULGARIAN BY VALENTIN KRUSTEV

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

TREATY

59. Treaty citation:

OFFICIAL GOVERNMENTAL BULLETIN OF THE REPUBLIC OF BULGARIA
ISSUE 25/1994

60. Date of last revision of this coding sheet:

28 JULY, 2004

61. Coder:

VALENTIN KRUSTEV+ASHLEY LEEDS

Version 4.0: Q14 revised by Nicholas Coulombe, December 28, 2017