

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4792

2. COW Alliance # (if applicable):
N/A.

3. Signature date(s) (may vary for members in multilateral alliances):
`` March 28, 1996

4. Members of alliance (use COW country codes):
704 Uzbekistan
816 Vietnam

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes, Article 16 "This Agreement is concluded for a period of ten years. The validity is automatically extended for subsequent five-year periods, if none of the High Contracting parties notifies the other party of its intention to denounce it by notification not later than 12 months before the expiration of this treaty"

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
Yes, Article 16 "This Agreement is concluded for a period of ten years. The validity is automatically extended for subsequent five-year periods, if none of the High Contracting parties notifies the other party of its intention to denounce it by notification not later than 12 months before the expiration of this treaty"

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes, Article 16 "This Agreement is concluded for a period of ten years. The validity is automatically extended for subsequent five-year periods, if none of the High Contracting parties notifies the other party of its intention to denounce it by notification not later than 12 months before the expiration of this treaty"

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
0.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect as of today's date. The two countries maintain close ties and economic cooperation. According to the ministry of foreign affairs of Vietnam "Uzbekistan sees Vietnam as an important partner and a bridge connecting Uzbekistan with Southeast Asian nations" In addition, according to the website of the government of Uzbekistan, this treaty has been in effect since 1997 and it has not been terminated.

The following is the link to the ministry of foreign affairs of Vietnam:

The following is the link to the website of the government of Uzbekistan:

<https://lex.uz/ru/docs/2499254>

15. Describe what caused the alliance to terminate.
Still in effect.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression.

17. Type of alliance as coded in COW data (if applicable):

N/A.

18. Describe the obligations of the alliance partners.

Article 2 “The High Contracting Parties will resolve all disputes that may arise between them by peaceful means, in accordance with the obligations enshrined in the UN Charter and in other international documents”

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes, Article 2 - 3rd paragraph “The High Contracting Parties undertake not to take part in any alliance against another High Contracting Party and not to allow their territories to be used by any third party for the purpose of carrying out hostile activities against another High Contracting Party.”

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes, the obligations are symmetric.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes, Article 2 “The High Contracting parties undertake not take part in any alliance against another High Contracting Party and not to allow their territories to be used by any third Party for the purpose of carrying out hostile activities against another High Contracting Party.”

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
Yes, Article 2 “The High Contracting Parties will resolve all disputes that may arise between them by peaceful means, in accordance with the obligations enshrined in the UN Charter and in other international documents”
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes, the treaty makes references to United Nations in Article 2, Article 15 and Article 15.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes, Article 7 “The High Contracting Parties will promote effective cooperation in the field of fundamental and applied sciences for the development and implementation of advanced techniques and technologies, as well as to support direct ties, joint initiatives of scientists, research institutes, research and production associates. The parties will conclude special agreements on this issue.”

Article 8 “The High Contracting Parties will develop relations and cooperation in the field of education and will conclude separate agreements in this area.”

Article 5 – cooperation in the fields of trade and investment.

Article 6 – cooperation in improving the means, systems and communication lines between them to facilitate the transit of goods, vehicles and citizens of contracting parties.

Article 7 – cooperation in the field of fundamental and applied sciences for the development of advanced technology

Article 8 – cooperation in the field of education.

Article 9 – cooperation in preserving global cultural heritage.

Article 10 – cooperation in the field of environmental protection.

Article 11 – cooperation to ensure the protection of public health and the development of medical science and practice.

Article 12 – cooperation in combating crime in all its forms.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes, Article 5 – “The High Contracting Parties in accordance with their domestic legislation and their international obligations, will provide favorable conditions of the development of mutually beneficial bilateral economic cooperation in the trade and economic field and in the field of investment. The parties consider it necessary to conclude appropriate intergovernmental agreements on these issues.”

Article 7 – cooperation in the field of fundamental and applied sciences for the development of advanced technology – The parties will conclude special agreements on this issue.

Article 8 – “The High Contracting parties will develop relations and cooperation in the field of education and will conclude separate agreements in this area.”

Article 12 - cooperation in combating crime in all its forms – The High Contracting parties will cooperate in the field of legal assistance and will conclude special agreement on this matter.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes,

No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes, Article 1 “The High Contracting Parties will develop their relations as friendly states, consistently guided by the principles of mutual respect for independence, sovereignty, territorial integrity, equality, non-use of force or threat of force, peaceful settlement of disputes, non-interference in each other’s internal affairs.”

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: The treaty is available through the following website of the government of Uzbekistan:

<https://lex.uz/ru/docs/2499254>

last accessed date: 10/11/2021

60. Date of last revision of this coding sheet: 11/01/2021

61. Coder: Jahanzib Masjidi and Ashley Leeds