

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1325.
2. COW Alliance # (if applicable): # 2063.
3. Signature date(s) (may vary for members in multilateral alliances):
January 15, 1877.
4. Members of alliance (use COW country codes):
365 Russia
300 Austria-Hungary.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Secret (Art. X)..
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
No.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
March 3, 1878
15. Describe what caused the alliance to terminate.
The treaty of San Stefano, signed this day, violated Russia's commitments to Austria-Hungary.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Neutrality Pact
17. Type of alliance as coded in COW data (if applicable):
Neutrality/Nonaggression Pact.

18. Describe the obligations of the alliance partners.

In case that the negotiations should not succeed, and should result in a rupture followed by war between Russia and Turkey, the Imperial and Royal Government (i.e. Austria-Hungary) formally pledges itself to observe an attitude of benevolent neutrality in the presence of isolated action of Russia, and by its diplomatic action to paralyze, so far as this lies in its power, efforts at intervention or collective mediation which might be attempted by other powers (Art.II).

If the Government of the Emperor and King (i.e. Austria-Hungary) is invited to assist in putting into force the treaty of April 15, 1856, it will, in the event foreseen by the present convention, refuse its cooperation, and without contesting the validity of the said Treaty, it will proclaim its neutrality. Likewise it will not lend its active aid to effective action which might be proposed on the basis of Article VIII of the treaty of March 30 of that same year (Art.III).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- noncompliance with a specific demand, specific adversary, specific location

20. Describe the nature of the limits to obligations listed in #19.

If Russia's demand of autonomy for Bosnia and Herzegovina and Bulgaria is not granted in the conference of Constantinople and there a rupture between Russia and Turkey and a following war, Austria-Hungary shall remain neutral.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Austria-Hungary declares itself willing to have Russian troops crossing the Danube protect themselves and will regard this only as an incident of a temporary nature (Art.IV). Austria-Hungary will also lend, within the limits of the Convention of Geneva, its benevolent assistance to the organization of temporary ambulances in certain regions and will admit into its civil and military hospitals Russian sick and wounded in return for payment according to the existing Austrian military tariff (Art.V). Austria-Hungary will also not obstruct Russian purchases and contracts for objects indispensable for the Russian army, with exception of articles contraband of war prohibited by international laws (Art.VI).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes. Turkey (640).

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

No. Only Austria-Hungary promises neutrality

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

The parties also engage to lend each other mutual assistance in the diplomatic field, if the territorial modifications resulting from a war or from the dissolution of the Ottoman Empire should give rise to a collective deliberation of the Great Powers (Convention, Art.2).

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. The preamble mentions the possibility of a collision between the interests of Austria-Hungary and Russia. To avoid such

collision the treaty was designed. Austria-Hungary assures Russia that its occupation of Bosnia and Herzegovina shall not assume a character of hostility towards Russia and Russia assures Austria-Hungary that the Russian intervention in Turkey is not hostile towards Austria-Hungary (Art.VII).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No. But see 21.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
Yes. The additional convention that goes along with the treaty specifies which territories shall be annexed (Art.1) and what shall happen in case of a territorial modification or dissolution of Turkey (Art.3).

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
Yes. See 39.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.

43. Additional coder comments regarding references to alliance institutionalization:
Between Serbia and Montenegro there shall be a continuous neutral zone, which the two armies may not cross, and intended to preserve these latter from all immediate contact (Art. VIII).

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. If the Government of the Emperor and King (i.e. Austria-Hungary) is invited to assist in putting into force the treaty of April 15, 1856, it will, in the event foreseen by the present convention, refuse its cooperation, and without contesting the validity of the said Treaty, it will proclaim its neutrality. Likewise it will not lend its active aid to effective action which might be proposed on the basis of Article VIII of the treaty of March 30 of that same year (Art.III).

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

Yes. The consequences of war and the territorial modifications which would result from an eventual dissolution of the Ottoman Empire shall be regulated by a special and simultaneous convention (Art.IX). – coded here

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Coded are the treaty and the convention.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: Hurst, Michael, ed. 1972. Key Treaties for the Great Powers 1814-1914, vol. 2 (1871-1914). St. Martin's Press. pp. 511-515

60. Date of last revision of this coding sheet: 07/13/04.

61. Coder: Jeff Ritter, Michaela Mattes, Ashley Leeds