

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 3075

2. COW Alliance # (if applicable): 3515

3. Signature date(s) (may vary for members in multilateral alliances):  
September 2, 1947 (see below for accession dates)

4. Members of alliance (use COW country codes):

2 United States	41 Haiti
160 Argentina	91 Honduras
145 Bolivia	70 Mexico (until 9/6/2014)
140 Brazil	93 Nicaragua (10/15/48 until 9/6/2014)
155 Chile	95 Panama
100 Colombia	150 Paraguay
94 Costa Rica	135 Peru
40 Cuba (until January 22, 1962)	165 Uruguay
42 Dominican Republic	101 Venezuela (until 7/14/2015)
130 Ecuador (11/10/49 until 2/19/2016)	90 Guatemala
92 El Salvador	52 Trinidad and Tobago (04/06/67)
31 Bahamas (11/08/82)	

(By resolution of the Eight Meeting of Consultation of Ministers of Foreign Affairs, 1962, the current Government of Cuba is excluded from participation in the OAS)-- <http://www.oas.org/EN/MSTATES/bckgrdme.htm>

In January 1962, the Organization of American States (OAS) excluded Cuba from active participation. Two years later, OAS foreign ministers resolved that member nations should have no diplomatic and consular relations with Cuba and should suspend all trade and sea transportation.-- <http://www.buck.com/cntry-cd/bgnotes/cu.htm>

US State Department: <http://www.state.gov>

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Yes. Indefinitely

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
Yes. Notification in writing to denounce treaty must be given, two years after notice the member denouncing will no longer be part of treaty, but it will remain in force for the rest.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
No

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

Cuba: January 22, 1962 (Sheinin, 1995: xvi). Still in force for remaining members

Ecuador: February 19, 2016

Nicaragua: September 6, 2014

Mexico: September 6, 2014

Bolivia: October 17, 2014

Venezuela: July 14, 2015

Version 4.0: In effect as of September 12, 2017 according to the OAS website

(<http://www.oas.org/juridico/english/Sigs/b-29.html>) (also contains denunciations)

15. Describe what caused the alliance to terminate.

Cuba was expelled by the membership following revolution

Ecuador, Nicaragua, Mexico, Bolivia, and Venezuela express dissatisfaction with US policies in their denunciations

(<http://www.oas.org/juridico/english/sigs/b-29.html>)

## SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Defense Pact, Consultation Pact, Nonaggression Pact

17. Type of alliance as coded in COW data (if applicable):

Defense Pact

18. Describe the obligations of the alliance partners.

Article I: “The (parties) formally condemn war and undertake in their international relations not to resort to the threat or the use of force in any manner inconsistent with the provisions of the Charter of the UN or this treaty.”

Article III: “The (parties) agree that an armed attack by any State against an American State shall be considered as an attack against all the American States and, consequently, each one of the said Contracting Parties undertakes to assist in meeting the attack in the exercise of the inherent right of individual or collective self-defense.”

Article VI: “If the inviolability or the integrity of the territory or the sovereignty or political independence of any American State should be affected by an aggression which is not an armed attack or by an extra-continental or intra-continental conflict, or by any other fact or situation might endanger the peace of America, the Organ of Consultation shall meet immediately in order to agree on the measures which must be taken in case of aggression to assist the victim of the aggression or, in any case, the measures which should be taken for the common defense and for the maintenance of the peace and security of the Continent.”

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Location

20. Describe the nature of the limits to obligations listed in #19.

Article 3.3 limits defense provision to W. Hemisphere

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Article VIII: “For the purposes of this Treaty, the measures on which the Organ of Consultation may agree will comprise one or more of the following: recall of chiefs of diplomatic missions; breaking of diplomatic relations; breaking of consular relations; partial or complete interruption of economic relations or of rail, sea, air, postal, telegraphic, telephonic, and radiotelephonic or radiotelegraphic communications; and use of armed force.”

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

Yes. Article 20, "Decisions which require the application of the measures specified in Article 8 (see question #26) shall be binding upon all the Signatory States which have ratified this Treaty, with the sole exception that no State shall be required to use armed force without its consent."

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. Both Nicaragua and Honduras signed the ratified the treaty with a reservation about Article 9(b) with regard to their boundary established by the King of Spain.

Article II: "...the (parties) undertake to submit every controversy which may arise between them to methods of peaceful settlement and to endeavor to settle any such controversy among themselves by means of the procedures in force in the Inter-American system before referring it to the General Assembly or the Security Council of the United Nations."

Article VII: "In the case of a conflict between two or more American States, ..., the (parties), meeting in consultation shall call upon the contending States to suspend hostilities and restore matters to the status quo ante bellum, and shall take in addition all other necessary measures to reestablish or maintain inter-American peace and security and for the solution of the conflict by peaceful means. The rejection of the pacifying action will be considered in the determination of the aggressor and in the application of the measures which the consultative meeting may agree upon."

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

Yes. Article II: "...the (parties) undertake to submit every controversy which may arise between them to methods of peaceful settlement and to endeavor to settle any such controversy among themselves by means of the procedures in force in the Inter-American system before referring it to the General Assembly or the Security Council of the United Nations."

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
Yes. Organ of Consultation
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No
43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.  
No
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.  
No
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.  
No
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.  
Yes. United Nations: references to the provisions of the UN Charter for collective defense and self-defense (Article 3, Article 5), and the settlement of disputes (Article 2).  
Also Pan-American Union
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.  
No
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.  
No
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.  
No

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No

#### SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: UNTS no. 324, (<http://www.oas.org/juridico/english/Treaties/b-29.html>)

60. Date of last revision of this coding sheet: July 16, 2004

61. Coder: Andrew Long, Ashley Leeds

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