

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 1090

2. COW Alliance # (if applicable): 2021.

3. Signature date(s) (may vary for members in multilateral alliances):  
October 16, 1833.

4. Members of alliance (use COW country codes):  
255 Germany (Prussia)  
365 Russia.

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
No.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
No.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
March 21, 1848.

15. Describe what caused the alliance to terminate.  
Prussian King promises liberal reform – one of his acts is to release Polish leaders imprisoned in Berlin (Taylor, 1954: 7).

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Defense Pact  
Offense Pact  
Consultation Pact.

17. Type of alliance as coded in COW data (if applicable): Defense Pact.

18. Describe the obligations of the alliance partners.

The Courts of Russia and Prussia, united through the general transactions of the years 1814, 1815, 1818 and 1819, recognize that the mutual guarantee that follows from these transaction and that have above all been consecrated through the treaty signed in Vienna on April 21 (May 3) 1815, is especially applicable to the provinces that constitutes Poland in 1772. In consequence they guarantee each other the tranquil and peaceful possession of these provinces (Art.I). Following the guarantee stipulated in the preceding article, the high contracting parties commit themselves to mutual aid and assistance (Art.II).

In the case that the forces of one of the two powers, stationed in a part of Poland that belongs to it, is not sufficient to suppress the insurgency, any of them can, in the case it is necessary, demand the help of the other that will accord it the help if the safety of its possessions permits it and if it has enough troops available in that area (Art.III).

The additional treaty of May 3, 1815, having guaranteed the strict neutrality of the free town of Krakow and its territory, it is understood that the high contracting parties, in their function as protectors of Krakow, have the right to watch over it, and to ensure that nothing is undertaken that might trouble the order that has painfully been established in Krakow through the guarantee powers or anything that might compromise the safety and tranquility of the neighboring states. Each public or clandestine act with such a goal, and any participation in such an act, will be considered a violation of the neutrality of Krakow; and if the government of the free city of Krakow, given the complaints of the victims of the attacks, seem not to be willing to repress the actors, or do not have the means to repress the actors, the high contracting powers reserve themselves the right to immediately occupy Krakow and its territory militarily (Art.VIII).

This occupation will be carried out, depending on the circumstances, either by the unified troops of the three powers, or by a sufficiently large contingent of one of the powers, but always in the name of all three powers. The powers reserve themselves the right, in case that occupation shall become necessary, to consult on the duration of the temporary occupation, and on the measures that need to be taken to redress the situation (Art.VIII).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific adversary, specific location.

20. Describe the nature of the limits to obligations listed in #19.

The provisions of the treaty all apply to Poland and Polish resistance. The consultation clause stipulates that the powers will consult once occupation of Krakow is deemed necessary.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Any individual that makes himself culpable of committing high treason against her majesties, who rebels against the main army, or who conspired against the safety of the crown and the legitimacy of the government, cannot find asylum in either of the two contracting parties. The two Courts commit themselves to take action that an individual accused of these crimes cannot escape from the law and to extradite him to the other power if it so demands (Art.V). This also applies to mixed subjects (see further Art.VI).

The high contracting parties commit themselves to put under surveillance particular individuals that have taken part in earlier troubles in Poland, or who might be contacted through dangerous leaders; not to tolerate in the countries the formation of patriotic societies or others that, under different names, pursue the same goal; to ensure that the facilities accorded to the inhabitants near the border do not favor illicit correspondences, the clandestine traffic of armies or munitions, or the hiding of fugitives. Finally, they commit themselves to communicate to each other all the information that might affect the safety and tranquility of their respective states (Art.VIII).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

Yes. If one side asks for help it will obtain assistance only if the assisting side can guarantee the safety of its own possessions and if it has enough troops in that area (Art.III).

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes. Poland (290).

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

The treaty is between Prussia and Poland but in some article refers to the “Three Powers” (e.g. Art.VIII). The reason is that there is an almost identical agreement between Russia and Austria-Hungary (ATOP#1085).

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and

policies? (Yes, No) If Yes, describe.  
No.

43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

Yes. The Courts of Russia and Prussia, united through the general transactions of the years 1814, 1815, 1818 and 1819, recognize that the mutual guarantee that follows from these transaction and that have above all been consecrated through the treaty signed in Vienna on April 21 (May 3) 1815, is especially applicable to the provinces that constitutes Poland in 1772. In consequence they guarantee each other the tranquil and peaceful possession of these provinces (Art.I). See also Art.VIII.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

Yes. There is a protocol signed on the same day that stipulates that the parties should make public announcements concerning Articles V, and VI concerning the extradition of individuals who are guilty of the crimes specified in these articles.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

#### SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty: Coded based on translation from French by Michaela Mattes.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: CTS, vol.84, p.69-76.

60. Date of last revision of this coding sheet: 02/10/05.

61. Coder: Michaela Mattes, Ashley Leeds.