

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4200

2. COW Alliance # (if applicable):  
NA

3. Signature date(s) (may vary for members in multilateral alliances):  
April 21, 1992

4. Members of alliance (use COW country codes):  
255 Germany  
360 Romania

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
The treaty is valid for 10 years. After 10 years it is automatically prolonged for another 5 years at a time unless one of the parties submits a written revocation of the treaty one year before the treaty is up for prolongation (Art.32.3).

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
Yes. See 10.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
In effect according to German Foreign Ministry website visited 4/04  
Version 4.0: In effect as of December 20, 2017 from German Federal Foreign Office:  
(<https://www.auswaertiges-amt.de/en/aussenpolitik/laenderinformationen/rumaenien-node/romania/227976>)

15. Describe what caused the alliance to terminate.  
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Nonaggression pact

Consultation pact.

17. Type of alliance as coded in COW data (if applicable):

NA

18. Describe the obligations of the alliance partners.

“They shall be guided in particular by the following principles:... They respect the principles of sovereign equality, territorial integrity, inviolability of borders, political independence of all States and prohibition against the threat or use of force. They shall settle their disputes solely by peaceful means.” (Art. 2)[Nonaggression]

“Should a situation arise which, in the view of one Contracting Party, represents a threat to peace or a breach of peace or may give rise to dangerous international complications, the two Contracting Parties shall cooperate within the framework of CSCE procedures and those of the United Nations. They shall immediately establish contact with each other and endeavour to harmonize their positions and achieve agreement on appropriate measures for improving the situation or coping with it.”(Art. 6)[Consultation]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

“The Federal Republic of Germany shall support efforts to lay the foundations for closer economic and political ties between Romania and the European Community, particularly through the conclusion of an association agreement.” (Art. 9.4)

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes.

They shall settle their disputes solely by peaceful means. (Art. 2)

In the event of disputes concerning the interpretation and implementation of this article, the Contracting Parties shall, without prejudice to the provisions of article 5, apply the applicable CSCE procedure for settling disputes. They also agree that, in the interest of the group of persons to whom this article pertains and of the promotion of understanding, truth and mutual respect, neither Contracting Party shall declare such a procedure for settling disputes to be inapplicable. (Art. 15.4)

Note that Art. 15.4 refers to treatment of the Germany minority in Romania.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

Yes. See #29.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No. But the treaty refers to their efforts at arms reduction in Europe as follows:

“They shall strive, individually and together, for the complete implementation of existing agreements in the area of disarmament and arms control and for the further extension of arms control measures that strengthen stability and confidence in Europe and in their own regions and lead to greater transparency.” (Art. 4.3)

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are nullified and describe the treaty reference.  
No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.  
No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.  
No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.  
Yes. The treaty refers to the Charter of the United Nations, the European Council (Art.9.2), the European Community (Art.10.1) and IMF, World Bank and European Bank for Reconstruction and Development (Art. 10.3)

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.  
Helsinki Final Act, the Charter of Paris and other CSCE documents (Art.2).

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.  
No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.  
Yes. The treaty is a general cooperation agreement between the two countries in the areas of democratization, trade, investment and economic development, agriculture, environment, transportation, science and technology, education, protection of minorities, culture, education, individual contacts between citizens, media, tourism, law enforcement, and natural catastrophes. In the treaty, Germany also promises to support the further economic and political approximation of Romania to the European Community, especially through an association treaty (Art.9.4).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.  
No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.  
No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.  
No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.  
No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.  
No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.  
No.

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:  
Coded from German by Michaela Mattes.  
Version 4.0: UNTS English translation

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):  
Treaty: Treaty concerning friendly cooperation and partnership in Europe between Romania and Germany.

59. Treaty citation:  
[www.jura.uni-sb.de/BGB1/Teil2/1993/19931782.2.HTML#GL29](http://www.jura.uni-sb.de/BGB1/Teil2/1993/19931782.2.HTML#GL29)

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60. Date of last revision of this coding sheet:  
July 25, 2017

61. Coder:  
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Version 4.0: Q14 revised by Nicholas Coulombe on December 20, 2017