

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 7043

2. COW Alliance # (if applicable):
N/A

3. Signature date(s) (may vary for members in multilateral alliances):
August 17, 2018

4. Members of alliance (use COW country codes):
704 Uzbekistan
702 Tajikistan

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes, Article 16 - "This Agreement is concluded for an indefinite period. This Agreement shall terminate six months after the date on which one of the Parties notifies the other Party in writing through diplomatic channels of its intention to terminate the Agreement."

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
Yes, Article 16 - " This Agreement shall terminate six months after the date on which one of the Parties notifies the other Party in writing through diplomatic channels of its intention to terminate the Agreement."

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
0

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
This treaty is still in effect according to the legislative database of the republic of Uzbekistan. The following is the link to the legislative database of Uzbekistan:
https://lex-uz.translate.google/docs/-4147683? x_tr_sl=uz& x_tr_tl=en& x_tr_hl=en& x_tr_pto=sc
Last date link accessed: 1/28/2022

15. Describe what caused the alliance to terminate.
Still in effect.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression Pact.

Consultation Pact.

17. Type of alliance as coded in COW data (if applicable):

N/A.

18. Describe the obligations of the alliance partners.

Article 2 - "The Parties reaffirm their commitment to refrain from any actions that would harm the sovereignty, security and territorial integrity of the other Party, including the conclusion of agreements with third countries.... In the event of a situation where the parties may adversely affect the mutual security interests or the security interests of one of them, the parties shall agree on the basis of mutual agreement to agree on positions and coordinate practical measures to eliminate such a situation and activate a consultation mechanism."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes, Article 1 ".....partnership based on mutual respect for sovereignty and territorial integrity, inviolability of borders, non-interference in each other's internal affairs, equality and mutual benefit, peaceful coexistence and peace. agreed on comprehensive development based on the principles of mutual trust."

Article 2 - "The Parties reaffirm their commitment to refrain from any actions that would harm the sovereignty, security and territorial integrity of the other Party, including the conclusion of agreements with third countries. Neither Party shall allow the use of third countries outside its territory to the detriment of the sovereignty, security and territorial integrity of the State. Neither Party shall allow the establishment and operation of institutions (structures), organizations and groups on its territory that infringe upon the sovereignty, security and territorial integrity of the other Party."

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
Yes, Article 14 - "The Parties shall resolve disputes and disagreements that may arise in the implementation of the provisions of this Agreement through friendly negotiations and consultations."
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes, the treaty makes references to United Nations in the preamble and in Article 3. It also makes references to the Commonwealth of Independent States, the Shanghai Cooperation Organization, and the Organization of Islamic Cooperation in Article 3.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes, the treaty makes reference to the Treaty of Eternal Friendship between the Republic of Uzbekistan and the Republic of Tajikistan , signed on June 15, 2000.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes,

Article 3 – cooperation in international organizations.

Article 5 – economic and trade cooperation.

Article 6 – cooperation in transportation.

Article 7 – cooperation in water and energy issues.

Article 9 – cooperation in combating drugs, trafficking, terrorism and extremism.

Article 10 - cooperation in science and technology, health, education and culture, tourism and sports.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes, Article 1 - “In accordance with this Agreement, the Parties have established a long-term and stable strategic partnership based on mutual respect for sovereignty and territorial integrity, inviolability of borders, non-interference in each other's internal affairs, equality and mutual benefit, peaceful coexistence and peace. agreed on comprehensive development based on the principles of mutual trust.”

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: the treaty is available through the following link of the legislative database of Uzbekistan:

<https://lex.uz/ru/docs/-4147683?query=Tojikiston#sr-1>

Last date link accessed: 1/30/2022

60. Date of last revision of this coding sheet: 2/16/2022

61. Coder: Jahanzib Masjidi and Ashley Leeds