

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2070.
2. COW Alliance # (if applicable): # 3028.
3. Signature date(s) (may vary for members in multilateral alliances):  
Original Convention: March 3, 1921 (Phase 1).  
First Treaty of Guarantee: March 26, 1926 (Phase 2).  
Second Treaty of Guarantee: January 15, 1931 (Phase 2).
4. Members of alliance (use COW country codes):  
290 Poland  
360 Romania.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Yes.  
Original Convention: The duration shall be five years (Art.5).  
First Treaty of Guarantee: The treaty shall remain in force for five years (Art.7).  
Second Treaty of Guarantee: The treaty shall be valid for five years (Art.6).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
Yes.  
Original Convention: Either of the two governments shall be entitled to denounce the treaty after two years, upon giving six months' notice (Art.5).  
First Treaty of Guarantee: Either of the two governments shall be entitled to denounce the treaty after two years, upon giving six months' notice (Art.7).  
Second Treaty of Guarantee: If the treaty is not denounced at least one year before the expiration of five years, it shall be deemed to have been renewed for a further period of five years similarly thereafter (Art.6).
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
Yes. Second Treaty of Guarantee. See 11.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
2 renewals of original agreement –see # 3
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
September 27, 1939
15. Describe what caused the alliance to terminate.  
Poland loses independence in WWII.

## SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Defense Pact

Consultation Pact.

17. Type of alliance as coded in COW data (if applicable): Defense Pact.

18. Describe the obligations of the alliance partners.

**Original Convention:**

Poland and Romania undertake to assist each other in the event of their being the object of an unprovoked attack on their present eastern frontiers. Accordingly if either State is the object of an unprovoked armed attack, the other shall consider itself in a state of war and shall render armed assistance (Art.1).

In order to coordinate their efforts to maintain peace, both governments undertake to consult together on such questions of foreign policy as concern their relations with their eastern neighbors (Art.2).

**First and Second Treaty of Guarantee:**

In the event of Poland or Romania, contrary to the undertakings imposed by chapters 12, 13 and 15 of the Covenant of the League of Nations, being attacked without provocation, Poland and reciprocally Romania, acting in application of Article 16 of the Covenant of the League of Nations undertake to lend each other immediately aid and assistance. In the event of the Council of the League of Nations, when dealing with a question brought before it in accordance with the provisions of the Covenant of the League of Nations, being unable to secure the acceptance of its report by all its members other than the representatives of the parties to the dispute, and in the event of Poland or Romania being attacked without provocation, Poland and reciprocally Romania, acting in application of article 15, paragraph 7, of the Covenant of the League of Nations, will immediately lend aid and assistance to the other country. Should a dispute of the kind provided for in article 17 of the Covenant of the League of Nations arise, and Poland and Romania be attacked without provocation, Poland and reciprocally Romania undertake to lend each other immediately aid and assistance (Art.2).

In order to coordinate their efforts to maintain peace, both governments undertake to consult together on such questions of foreign policy as concern both parties (Art.4).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- unprovoked attack, specific geographic location.

20. Describe the nature of the limits to obligations listed in #19.

In both phases defense is contingent on the ally being attacked without provocation. In the first phase the consultation provision applies to relations with eastern neighbors, while in the second phase it is general.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No. But in the original convention the defense provision is formulated in way that there might be threat from the eastern borders i.e. Russia.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

Yes. In spite of their efforts to maintain peace, the two states are compelled to enter on a defensive war (...), each undertakes not to negotiate nor to conclude an armistice or a peace without the participation of the other state (Original Convention, Art.4; First and Second Guarantee, Art.3).

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

Yes. Neither of the parties shall be at liberty to conclude an alliance with a third power without having previously consulted the other party. Alliances with a view to the maintenance of treaties already signed jointly by both Poland and Romania are excepted from this provision. (Original Convention, Art.6; First and Second Guarantee, Art.5).

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Original Convention: No.

First Guarantee: Yes. The parties shall undertake to submit all disputes which may arise between them or which it may not have been possible to settle by the ordinary methods of diplomacy, to conciliation or arbitration (Art.6)

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
See 29.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Original Convention: No.

First and Second Guarantee: Yes. Animated to work in framework of the League of Nations (Preamble). Aid and assistance in event of attack based on Covenant of League of Nations provisions (Art.2).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes – Original Convention (Art. 6) refers to current alliances.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Original Convention: Yes. A military convention shall determine the manner in which either country shall render assistance to the other should the occasion arise (Art.3).

First Guarantee: The details of application of the provisions of Article 2 (defense) shall be settled by technical agreements (Art.2). The procedure of pacific settlement shall be laid down in a special convention to be concluded as soon as possible (Art.6).

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

The alliance consists of two phases. The first phase begins with the Original Convention signed on March 3, 1921. The second phase begins with the first Treaty of Guarantee signed on March 26, 1926 and includes the Second Treaty of Guarantee signed on January 15, 1931. The protocol of the 1926 treaty indicates that it is a renewal of the 1921 treaty.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation:

Original Convention: LNTS, vol.7, p.79.

First Guarantee: LNTS, vol.60, p.163.

Second Guarantee: LNTS, vol.115, p.173.

60. Date of last revision of this coding sheet: 02/21/05.

61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds