

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long  
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892  
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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4532

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):  
November 7, 1993.

4. Members of alliance (use COW country codes):  
368 Lithuania  
705 Kazakhstan

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
The present Agreement is signed for a period of 10 years (Art. 19).

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
The validity of the Agreement shall be automatically renewed for subsequent durations of five years each, unless one of the Contracting Parties communicates with written notification, at least one year before the expiration of a period, the intention to renounce the Agreement (Art. 19). The provisions of the present Treaty are subject to change, however. "The provision of this Treaty may be amended or supplemented by agreement of the Contracting Parties." (Art. 15)

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
Still in effect (Lithuania MFA visited on October 26, 2017 (<http://www.urm.lt/index.php?2304824024>)).

15. Describe what caused the alliance to terminate.  
N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Nonaggression Pact

17. Type of alliance as coded in COW data (if applicable): N/A

18. Describe the obligations of the alliance partners.

“The High Contracting Parties shall develop friendly relations, adhering according to the principles of sovereign equality, renunciation of the use force or the threat of force, the inviolability of borders, territorial integrity, peaceful settlement of disputes, noninterference in each other’s internal affairs...”(Art. 1)

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No..

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

“The High Contracting Parties shall refrain from entering into or taking part in organizations or military alliances (blocs) whose activities are directed against one of the High Contracting Parties.”(Art. 2)

“The High Contracting Parties shall refrain from allowing their territories to be used for purpose of carrying out armed aggression or hostile actions against the other Contracting Party.”(Art. 2)

“The High Contracting Parties, with their domestic laws, shall prohibit in their territory the establishment and operation of organizations or groups, as well as the activities of individuals, that have a goal of subverting or destroying the independence, statehood or territorial integrity of the other High Contracting Party or of seizing power.”(Art. 3)

“Each of the High Contracting Parties(...) shall refrain from unilateral actions that could destabilize the economic conditions of the other High Contracting Parties.”(Art. 6)

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. “The High Contracting Parties shall refrain from entering into or taking part in organizations or military alliances (blocs) whose activities are directed against one of the High Contracting Parties.”(Art. 2)

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. “The High Contracting Parties shall develop friendly relations, adhering according to the principles of (...) peaceful settlement of disputes.”(Art. 1) “Disputes over the interpretation or application of this Treaty shall be settled by consultation or negotiation.”(Art. 15)

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.  
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No.
43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.  
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.  
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Preamble: reaffirms principles of UN Charter and CSCE documents. The Contracting Parties affirm their adherence to (...) the goals and principles of the Charter of the United Nations Organisation and of the documents of the Conference on Security and Cooperation in Europe.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. CSCE. See 47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Expansion of cooperation in the fields of scientific and technology(Art. 6), protection of intellectual property rights(Art. 7), exchange of information on laws and other juridical acts in economics, finances, transportation, investments, etc(Art. 9), cooperation in environmental protection(Art. 10), cooperation in education, culture, art, literature, mass media, sports and tourism(Art. 11), cooperation in the field of law(Art. 12), cooperation in the fight against crime, terrorism, drug trafficking, smuggling, illegal immigration and emigration, and the production and use of counterfeit settlement and payment instruments(Art. 13), cooperation between the parliaments, local authorities, and administrative bodies of both countries(Art. 14).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. "They shall regulate the exchange of goods and services and shall conclude appropriate agreements."(Art. 7) "The Contracting Parties shall conclude an agreement on Legal Assistance and Legal Relations in Civil, Family and Criminal Matters."(Art. 12)."

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. "The High Contracting Parties shall develop friendly relations, adhering according to the principles of (...) noninterference in each other's internal affairs..."(Art. 1)

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty.

Treaty between the Republic of Lithuania and the Republic of Kazakhstan on mutual understanding and cooperation

59. Treaty citation: UNTS Reg. No.: 43523.

60. Date of last revision of this coding sheet: August 6, 2012

61. Coder: Jaewook Chung and Ashley Leeds.

