

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1255.
2. COW Alliance # (if applicable): #2050.
3. Signature date(s) (may vary for members in multilateral alliances):
May 1, 1865.
4. Members of alliance (use COW country codes):
160 Argentina
140 Brazil
165 Uruguay.
Uruguay is not a COW system member but is a member of the system according to Gleditsch and Ward.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
Argentina and Brazil are involved in COW war # 49 with Paraguay.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Secret (Art.XVIII).
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
No.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Once.November 19, 1872: The treaty remains in all its force and value (Protocol of Conference between Argentina and Brazil, Art.1).
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
July 30, 1882
15. Describe what caused the alliance to terminate.
July 30, 1877 protocol states that the five year guarantee specified in Article IX will end 5 years from signature of the protocol.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Offense Pact
Defense pact

Consultation Pact.

17. Type of alliance as coded in COW data (if applicable):
Defense Pact.

18. Describe the obligations of the alliance partners.

Uruguay, Brazil and Argentina unite in an offensive and defensive alliance in the war provoked by the government of Paraguay (Art.I).

The parties reserve to themselves that they will concert on appropriate measures to guarantee the peace with Paraguay after the reversal of the Paraguayan government (Art.XII).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific ongoing conflict, specific adversary.

20. Describe the nature of the limits to obligations listed in #19.

The alliance relates to conflict with Paraguay.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. The parties give each other all the assistance and furnish each other with all elements that one can dispose of and that the other needs, in a form that they will agree on (Art.V).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes- Paraguay (150).

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

Yes. The parties commit themselves to not put down their arms without common accord at any point, and not before they have reversed the actual government of Paraguay, and to not negotiate separately with the enemy, to not sign a treaty of peace, a truce, and armistice or any convention that might end the war or interrupt it, unless it is desired by all (Art.VI).

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

Yes. The parties give each other all the assistance and furnish each other with all elements that one can dispose off and that the other needs, in a form that they will agree on (Art.V).

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

Yes Article III provides for command of forces in different locales by particular signatories.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

Yes. See 33. In general, article III identifies various locations where the war can or will take place and specifies for each who the commander-in-chief will be.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No but it does state that the parties participate with all the available land and sea forces that are necessary (Art.II).

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

Article VIII – The parties agree to respect the independence of territorial integrity of Paraguay. Article XV discusses the boundaries.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

Yes. The parties demand that Paraguay pays reparation for the costs of war and the damages that it has caused for public and private the properties and to the parties' citizens (Art.XIV). The trophies and the booty of war that can be taken from the enemy are divided equally among the parties (Protocole, Art.3).

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. The border questions shall be regulated in a separate convention (Art. XVI).

The modalities of how Paraguay shall pay its debt or the reparations shall be determined in a separate convention (Art.XIII).

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
See also Protocol of November 19, 1872 (BFSO 68: 83) and Protocol of July 30, 1877 (BFSP 68: 463).

59. Treaty citation: CTS 131: 119.

60. Date of last revision of this coding sheet: 01/26/05.

61. Coder: Michaela Mattes, Ashley Leeds