

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 2045
2. COW Alliance # (if applicable):  
NA
3. Signature date(s) (may vary for members in multilateral alliances):  
May 16, 1918
4. Members of alliance (use COW country codes):  
740 Japan  
710 China
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
Japan was involved in COW war 106.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Executive agreement
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Secret. Article X: "This agreement and the supplementary articles therein shall not be published by the two Governments, but shall be considered as military secrets."
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
The agreement will end when military operations against Germany and Austria by the parties cease.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
No
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
November 11, 1918
15. Describe what caused the alliance to terminate.  
COW war # 106 ends.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Offense Pact, Defense Pact
17. Type of alliance as coded in COW data (if applicable):

NA

18. Describe the obligations of the alliance partners.

Article I: "China and Japan, realizing the fact that the gradual extension of enemy influence towards the East may jeopardize the peace of the two countries, consider it their mutual duty, as participants in the war, to take concerted action against the common enemy."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes - specific ongoing conflict

20. Describe the nature of the limits to obligations listed in #19.

See # 18

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes - When the time comes to action in accordance with this agreement the two countries shall instruct their military and civil officials and people to adopt a friendly attitude towards those of the other country in the military areas. The Chinese officials shall do their best to aid the Japanese troops in the said areas so that no obstacles shall arise to impede their movements and the Japanese troops shall respect the sovereignty of China, and shall not be allowed to act in a manner contrary to the local customs and cause inconvenience to the people (Article 3)

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Specific ongoing conflict

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes except for the fact that Japanese military will operate from China.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

Article 6. "The military areas and other matters relating to the military operations shall be decided by the military authorities of the two countries whenever necessary,"

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

Yes. Regarding the necessary military supplies and materials required for taking concerted action against the enemy, the two countries shall supply each other to such an extent as not to affect the supplying of ordinary demands (Article 7d).

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

Article 1 of the supplementary agreement calls for Japanese commanders to direct Chinese forces for military operations in Siberia.

Article 5. "Whenever troops have to be despatched outside Chinese territory, the two countries shall despatch them jointly whenever necessary."

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

Article 4. "The Japanese troops in Chinese territory shall be withdrawn as soon as military operations cease."

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

Article 7 and the supplementary agreement describe specific provisions for coordinating military efforts.

43. Additional coder comments regarding references to alliance institutionalization:

No

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe

the nature of the reference.  
No

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.  
No

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.  
Yes - military agreement

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.  
No

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.  
No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.  
No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.  
No

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.  
No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.  
No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.  
No

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: Military Convention--CTS 223:367  
Supplementary Agreement--CTS 224:141

60. Date of last revision of this coding sheet: July 8, 2004

61. Coder: Andrew Long, Ashley Leeds, Jessica Edry

Version 4.0: Q16 revised by Jessica Edry on May 7, 2018