

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4378

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):  
December 9, 1992

4. Members of alliance (use COW country codes):  
369 Ukraine  
373 Azerbaijan

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Yes. The treaty is concluded for a term of 5 years.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
Yes. The treaty will be automatically extended successive five-year periods unless one of the Parties announces its intention to denounce the treaty in writing not later than six months before the expiration of the five year period (Art. 26).

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
Still in effect as of May 5, 2018 according to the MFA website of Ukraine  
([http://zakon4.rada.gov.ua/laws/card/031\\_015](http://zakon4.rada.gov.ua/laws/card/031_015))

15. Describe what caused the alliance to terminate.  
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Nonaggression Pact

17. Type of alliance as coded in COW data (if applicable): N/A

18. Describe the obligations of the alliance partners.

“[The High Contracting Parties] undertake to strictly follow the principles of mutual respect for their sovereignty and independence, equality and non-interference in the internal affairs of each other and non-use or threat of force, territorial integrity, inviolability of borders, peaceful settlement of disputes...” (Art. 1) [Nonaggression]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes.

“Each of the High Contracting Parties [shall] prohibit and stop establishment and operation in its territory of organizations and groups, as well as the activities of individuals who pose a threat to national sovereignty, independence and territorial integrity of another High Contracting Party.” (Art. 4)

“Each High Contracting Party undertakes not to assume that its territory was used for the preparation and implementation of aggression or other acts of violence against the other High Contracting Party.” (Art. 5)

“Each Party shall refrain from acts capable of causing economic damage to the other Party.” (Art. 10)

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

“The High Contracting Parties undertake to adopt legislative, administrative and other measures necessary to prevent on their territories discrimination of citizens on the basis of their membership of a national minority, and propaganda, which would cause inter-ethnic discord or would pose a threat to the existence of national minorities.” (Art. 7)

“If one of the High Contracting Parties declare ownership of her property located on the territory of the other Party, to which claims against other states, legal or natural person, the other party is obliged to take all necessary measures for the protection and preservation of the property and the final resolve the question of his identity shall not pass it to anyone without the express consent of the first Party.” (Art. 9)

“Each Party shall refrain from acts capable of causing economic damage to the other Party.” (Art. 10)

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.  
Yes.

“[The High Contracting Parties] undertake to strictly follow the principles of ...peaceful settlement of disputes...” (Art. 1)

“Disputes concerning the interpretation and enforcement of this Agreement shall be subject to resolution through consultation and negotiation between the High Contracting Parties.” (Art. 24)

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.  
No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No.

43. Additional coder comments regarding references to alliance institutionalization:

### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes – UN and Conference on Security and Cooperation in Europe(CSCE)(Preamble) as a framework for peace and security

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes – CSCE- see # 47

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes.

Cooperation in guaranteeing the rights of the citizens living the other party's territory (Art. 6 and 7); Cooperation in the fields of politics, economics, culture, science, technology, healthcare, energy, trade, humanitarian areas (Art. 8); Cooperation in the state property issues (Art. 9); Cooperation in the fields of education, science, technology (Art. 13); Cooperation in the protection of intellectual and industrial property rights (Art. 14); Cooperation in the fields of culture, arts, education, sports, tourism, and the study of the other party's language (Art. 15); Cooperation in energy, transport, science and communication (Art. 16); Cooperation in the field of environmental protection; Cooperation in the combat against organized crime, terrorism, illegal financial transaction, etc (Art. 19); Cooperation in eliminating the causes of the problem of refugees (Art. 20); Inter-parliamentary cooperation (Art. 22).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes.

Agreement on a consular convention and on legal assistance in civil, family and criminal cases (Art. 6)

Agreement on the state property issues (Art. 9)

Agreement on customs regulations and formalities (Art. 11)

Agreement on the regulation of transit cargo and passengers through its territory (Art. 12)

Agreement on the issue of mutually recognizing diplomas, academic degrees and titles (Art. 13)

Agreement on the cooperation in the fields of culture, arts, education, sports and tourism (Art. 15)

Agreement on the opening of cultural centers in the other country's territory (Art. 15)

Agreement on the cooperation in energy, transport, science and communication (Art. 16)

Agreement on the forms and procedures for cooperation in defense construction (Art. 18)

Agreement on the combat against organized crime, terrorism and illegal financial transaction (Art. 19)

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

Yes. MFN. "The High Contracting Parties shall grant MFN and take all necessary measures for the development and expansion of trade and economic relations in a mutually beneficial, stable and balanced manner." (Art. 10)

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes.

“[The High Contracting Parties] undertake to strictly follow the principles of non-interference in the internal affairs of each other ...”  
(Art. 1)

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty: The original language of this treaty is Ukrainian and the text is translated by using the Google Chrome browser.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty of friendship and cooperation and partnership between Ukraine and Azerbaijan

59. Treaty citation: [http://zakon4.rada.gov.ua/laws/show/031\\_015](http://zakon4.rada.gov.ua/laws/show/031_015) (Accessed on May 5, 2018)

60. Date of last revision of this coding sheet: May 5, 2018

61. Coder: Jaewook Chung, Jae-eon Lee, Jessica Edry, Ashley Leeds