

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4230
2. COW Alliance # (if applicable): N/A.
3. Signature date(s) (may vary for members in multilateral alliances):  
May 22, 1992
4. Members of alliance (use COW country codes):  
365 Russia  
290 Poland
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Yes. The treaty is to last 15 years, after which it will be renewed automatically for another 5 years unless one of the parties submits written notification of its intent to terminate the agreement 6 months before the term expires (Art. 21).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
Yes. See Q10.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
Still in effect according to Polish MFA.  
Version 4.0: In effect as of June 14, 2018 according to Ministry of Foreign Affairs, Poland  
(<http://www.traktaty.msz.gov.pl/?lang=en>)
15. Describe what caused the alliance to terminate.  
N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Nonaggression pact.  
Consultation pact

Neutrality Pact.

17. Type of alliance as coded in COW data (if applicable): N/A.

18. Describe the obligations of the alliance partners.

“The Parties shall undertake, in accordance with the United Nations Charter, to resolve disputes between them by peaceful means and confirm that they will refrain from the use of force or threat of force in their mutual relations.” (Art.3)  
[Nonaggression]

“In the event of a dispute or situation that, in the opinion of one Party, might threaten or endanger the maintenance of international peace and security or violate international peace and security, especially when such a dispute or situation concerns the security of both Parties, the Parties shall immediately hold appropriate consultations with a view to finding possible ways of peacefully settling the dispute or situation in accordance with the United Nations Charter.” (Art. 6) [Consultation]

“In the event that a third State or third States launch(es) an armed attack on one of the Parties, the other Party shall undertake not to provide any assistance and support to such State or States throughout the armed conflict, and shall contribute to the settlement of the conflict in accordance with the United Nations Charter and the documents of the Conference on Security and Cooperation in Europe.” (Art. 6) [Neutrality]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- attack.

20. Describe the nature of the limits to obligations listed in #19.

Neutrality is contingent upon attack.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Neither Party shall allow a third State or third States to commit an act of armed aggression from its territory against the other Party (Art.3).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

The Parties shall recognize the inviolability of the existing border between them and shall confirm that they do not have any territorial claims on each other and shall not put forward such claims in the future (Art. 2).

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.  
Yes. "The Parties shall undertake, in accordance with the United Nations Charter, to resolve disputes between them by peaceful means and confirm that they will refrain from the use of force or threat of force in their mutual relations." (Art.3)
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.  
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
Yes. "Meetings of the Heads of State and Government shall take place, as a rule, once a year and whenever the Parties deem it appropriate" (Art. 7). "The Parties shall promote the establishment and development of direct contacts and cooperation between the regions and administrative-territorial units and cities of the Republic of Poland and the Russian Federation. To that end, the Parties shall establish a joint Polish-Russian commission for interregional cooperation" (Art. 10).
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No. (Cooperate on nonproliferation; Art. 5)
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No.
43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.  
No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.  
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.  
No.
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.  
Preamble: respect principles of UN Charter, Helsinki Final Act, Paris Charter and other OSCE documents. They will consult immediately when there is a threat to world peace, regional peace or especially a threat to the signatories in order to find a peaceful resolution according to the UN Charter and OSCE documents (Art.6). According to UN Charter, the parties promise to resolve conflicts peacefully and refrain from threatening or using force in mutual relations. Each party promises that the activities of its military forces on its own territory correspond to the UN Charter, the final OSCE act and the Paris Charter and other OSCE documents (Art.3). The parties will cooperate in the provision of security on the European continent according to OSCE documents (Art. 4). Cooperate in spheres of culture science and preservation of monuments based on the principles of UNESCO and OSCE documents (Art.13).
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.  
See 47. –OSCE
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.  
No.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.  
Yes. The parties will cooperate on nuclear, chemical and biological disarmament (Art. 5); they will engage in governmental and economic cooperation (Art. 8); their administrative units will cooperate directly; they will cooperate on transportation (Art. 9), the environment, humanitarian aid, sport, tourism and youth, culture, science, student exchange, higher education and secondary education, health policy, labor social security and welfare policy, human and minority rights, architectural monuments and cemeteries and law and terrorism; they will also exchange information.
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.  
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.  
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.  
Yes. “The Parties shall cooperate within the entire common border area and encourage links between the north-eastern provinces of the Republic of Poland and the Kaliningrad region of the Russian Federation. The Parties shall regulate such cooperation through separate agreements” (Art. 10).  
  
“The Parties or their competent authorities shall conclude separate agreements regarding environmental safety and cooperation in accordance with international standards” (Art. 11).  
  
“The Parties shall seek to cooperate closely in the fields of labour, social security and welfare. To that end, they shall conclude appropriate agreements” (Art. 15).
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.  
No.
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.  
No.
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.  
Yes. “The Parties shall build their relations...based on the rule of international law and the principles of...non-interference in

internal affairs” (Art. 1).

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Version 3.0: Coded from Russian with help of Mariam Stepanyan.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty: Treaty between the Republic of Poland and the Russian Federation on Friendly and Good-neighbourly Cooperation.

59. Treaty citation: Vneshniaia politika Rossii: sbornki dokumentov. 1990-1992.  
Moskva: Mezhdunarodnye otnosheniia, 1996.  
Foreign Policy of Russia: Documents Collections. 1990-1992.  
Moscow: International Relations Publishing House 1996. pp. 381-387

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60. Date of last revision of this coding sheet: June 14, 2018

61. Coder: Michaela Mattes, Ashley Leeds, Jessica Edry