

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4860
2. COW Alliance # (if applicable): N/A.
3. Signature date(s) (may vary for members in multilateral alliances):
January 16, 1997.
4. Members of alliance (use COW country codes):
317 Slovakia
704 Uzbekistan.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. This Treaty is concluded for a term of 10 years (Art.24).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No. But the contracting parties may, upon mutual agreement, supplement and amend this Treaty in the form of appropriate protocols. The supplements and amendments will come into force after being ratified by both contracting parties and will become an inseparable part of this Treaty (Art.22).
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. The treaty's validity will always be automatically extended for five additional years, unless one of the contracting parties informs the other contracting party in writing about its intention to denounce the treaty through notification at least 12 months before the applicable period of validity expires (Art.24).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect (provided by Slovak Embassy to US).
Version 4.0: In effect as of June 3, 2018 according to Ministry of Foreign Affairs, Slovakia
(https://www.mzv.sk/zahranicna_politika/medzinarodne_zmluvy-vsetky_zmluvy)
15. Describe what caused the alliance to terminate. N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression Pact
Neutrality Pact
Consultation Pact.

17. Type of alliance as coded in COW data (if applicable): N/A.

18. Describe the obligations of the alliance partners.

The contracting parties will develop their relations as sovereign, equal, friendly states. They pledge to follow the principles of mutual respect for national sovereignty and independence, equality and non-interference in internal affairs of the other state, non-use of force or of the threat of force, inviolability of borders, territorial integrity, peaceful resolution of disputes, respect for human rights and basic freedoms, equality, mutually advantageous cooperation and the conscientious honoring of international commitments (Art.1).

If one of the contracting parties becomes the object of an armed attack by a third state or third states, the other contracting party will not provide assistance to such a state or states and will take all steps necessary for peaceful resolution of the conflict (Art.2).

If a conflict situation arises, which, in the opinion of one of the contracting parties, creates international tension, violates its territorial integrity or sovereignty, the contracting parties will carry out consultations about possible ways of resolving the situation in accordance with the principles of the UN Charter and the documents of the Organization for Security and Cooperation in Europe (Art.5).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- attack.

20. Describe the nature of the limits to obligations listed in #19.

Neutrality is contingent upon attack.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. Each of the contracting parties, in keeping with the norms of international law and the Charter of the United Nations, striving to preserve peace, security and understanding, pledges not to enter into treaties with third states aimed against the other contracting party (Art.2).

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
They pledge to follow the principles of (...) peaceful resolution of disputes (Art.1). The contracting parties will be solving all disputes that might arise between them exclusively through peaceful means, utilizing the procedures established in the Charter of the United Nations (Art.3). The contracting parties will be solving all disputes connected with the interpretation and implementation of this Treaty through bilateral consultations or direct negotiations (Art.19).
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
The contracting parties will be solving all disputes that might arise between them exclusively through peaceful means, utilizing the procedures established in the Charter of the United Nations (Art.3).
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.
No.
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.
Yes. Preamble: reaffirm principles of UN and CSCE. Peaceful conflict resolution according to UN and CSCE (Art.3,5). Cooperation and consultation within IOs (Art.6).
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.
Yes. CSCE. See 47.
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
Yes. Disarmament (Art.6), contacts at various levels (Art.8), trade, economics and finances (Art.9), jurisdiction of each party over property located on its territory (Art.10), economy, finances, social security, transportation, taxes etc. (Art.11), transportation (Art.12), telecommunication, broadcasting services, postal services and data processing (Art.13), culture, science, education, sports, tourism (Art.14), environmental protection and disasters (Art.15), science and technology (Art.16), public health (Art.17), organized crime and legal assistance (Art.18).
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
Yes. The contracting parties will enter into further treaties and agreements as needed for the implementation of this Treaty (Art.20).
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No.
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
No.
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
Yes. They pledge to follow the principles of (...) equality and non-interference in internal affairs of the other state (Art.1).

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:
Coded based on translation from Slovak by Fero Kuminiak.
58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
59. Treaty citation: provided by Slovak Embassy to US.

60. Date of last revision of this coding sheet: 08/18/04.

61. Coder: Michaela Mattes, Ashley Leeds

Version 4.0: Q14 revised by Nicholas Coulombe on June 3, 2018