

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2270.
2. COW Alliance # (if applicable): # 3063.
3. Signature date(s) (may vary for members in multilateral alliances):
June 30, 1930.
4. Members of alliance (use COW country codes):
200 United Kingdom
645 Iraq.
Iraq becomes a COW system member October 3, 1932
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty shall remain in force for a period of 25 years (Art.11).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. At any time after 20 years, the parties will, at the request of either of them, conclude a new treaty which shall provide for the continued maintenance and protection in all circumstances of the essential communications of the UK (Art.11).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
April 4, 1955
15. Describe what caused the alliance to terminate.
Replaced by new alliance UK-Iraq Baghdad Cooperation (ATOP ID#3280)

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Consultation Pact
Defense Pact.
17. Type of alliance as coded in COW data (if applicable): Defense Pact.

18. Describe the obligations of the alliance partners.

There shall be full and frank consultation between them in all matters of foreign policy which may affect their common interests (Art.1). Should any dispute between Iraq and a third state produce a situation which involves the risk of a rupture with that state, the parties will concert together with a view to the settlement of the said dispute by peaceful means in accordance with the provisions of the Covenant of the League of Nations and of any other international obligations which may be applicable to the case (Art.3).

Should, notwithstanding the provisions of article 3 above, either of the parties become engaged in war, the other party will, subject always to the provisions of article 9 below [i.e. that it does not run counter League of Nations and treaty for the renunciation of war], immediately come to his aid in the capacity of an ally. In the event of an imminent menace of war the parties will immediately concert together the necessary measures for defense (Art.4).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Specific location

20. Describe the nature of the limits to obligations listed in #19.

Consultation is limited to situations in which Iraq is involved in potential conflict

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes, the aid of Iraq in the event of war or the imminent menace of war will consist in furnishing to His Britannic Majesty on Iraq territory all facilities and assistance in his power, including the use of railways, rivers, ports, aerodromes and means of communication (Article 4)

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

No. Consultation is required when Iraq faces a potential dispute, and Iraq grants access to territory.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No. But each of the parties undertakes not to adopt in foreign countries an attitude which is inconsistent with the alliance or might create difficulties for the other party thereto (Art.1).

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. Should any difference arise relative to the application or the interpretation of this treaty and should the parties fail to settle such difference by direct negotiation, then it shall be dealt with in accordance with the provisions of the Covenant of the League of Nations (Art.10).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

Yes. See 29. In case the parties disagree with respect to concluding a new treaty at any time after 20 years, the difference will

be submitted to the Council of the League of Nations (Art.11).

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

Yes. The UK undertakes to grant whenever they may be requested by Iraq all possible facilities in the following matters, the costs of which will be met by Iraq: 1) naval, military and aeronautical instruction of Iraqi officers in the UK, 2) the provision of arms, ammunition, equipment, ships and aeroplanes of the latest available patterns for the forces of Iraq, 3) the provision of British naval, military, and air force officers to serve in an advisory capacity with the forces of Iraq (Annex, Art.5). British also volunteers to provide military instructors and military training (Annex, Art.6) Iraq agrees to provide all facilities for the movement, training and maintenance of British forces and to accord those forces the same facilities for the use of wireless telegraphy as those enjoyed by them at the date of the entry into force of this treaty (Annex, Art.3). Iraq also undertakes to provide at the request and expense of Britain (...) special guards from his own forces for the protection of airbases (...) (Annex, Art.4).

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

Yes. Iraq undertakes to grant to Britain for the duration of the alliance sites for air bases to be selected by Britain at or in the vicinity of Basra and for an air base to be selected by Britain west of the Euphrates. Iraq further authorizes Britain to maintain forces upon Iraqi territory at the above localities in accordance with the provisions of the annexure of this treaty on the understanding that the presence of these troops shall not constitute in any manner an occupation and will in no way prejudice the sovereign rights of Iraq (Art.5). Article 1 of the Annex specifies where and for how long Britain can maintain troops.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

Yes. This treaty replaces the treaties of alliance signed on October 10, 1922 and January 13, 1926 (Art.7).

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. The preamble mentions Britain's support for Iraqi membership in the League of Nations. When it becomes a member, Britain's responsibilities for Iraq will devolve. Peaceful conflict resolution according to the LoN (Art.3,10,11). Treaty is not in contradiction to LoN (Art.9).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: BFSP, vol.132, p.280.

60. Date of last revision of this coding sheet: 02/17/05.

61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds

V4: Q15 updated to reference ATOP ID# on 5/16/2018 by Nicholas Coulombe