

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1160.
2. COW Alliance # (if applicable): # 2031.
3. Signature date(s) (may vary for members in multilateral alliances):
March 12, 1854.
Sardinia joins March 15, 1855.
4. Members of alliance (use COW country codes):
220 France
200 United Kingdom
640 Turkey
325 Sardinia (March 15, 1855).
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
Yes. Turkey was involved in COW war # 22, the Crimean War.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
No.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Unknown.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
March 30, 1856
15. Describe what caused the alliance to terminate.
Peace Treaty ending Crimean War.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Defense Pact.
Consultation Pact

17. Type of alliance as coded in COW data (if applicable): Defense Pact.

18. Describe the obligations of the alliance partners.

The UK and France, having already, at the request of the Ottoman Empire, ordered powerful divisions of their Naval Forces to proceed to Constantinople, and to afford to the Ottoman Territory and Flag such protection as the circumstances might admit of, France and the UK undertake by the present treaty still further to cooperate with the Ottoman Empire for its defense in Europe and in Asia against Russian aggression, by employing for that purpose such an amount of their land forces as may appear necessary to attain said object; which land forces the UK and France will immediately dispatch to such point or points of the Ottoman territory as shall be deemed expedient; and the Ottoman Empire agrees, the British and French Land Forces thus sent for the Defense of the Ottoman territory shall meet with the same friendly reception, and shall be treated with the same consideration as the British and French Naval Forces, which have for some time past been employed in the waters of Turkey (Art.I).

The auxiliary armies [France and UK] shall retain the power of taking such part as they may deem expedient in the operations directed against the common enemy, without the Ottoman authorities, civil or military, having any pretension to exercise the slightest control over their movements; on the contrary, every aid and facility shall be afforded to them by those authorities, especially for their landing, their march, their quarters or encampment, their subsistence and that of their horses, and their communications, whether they act together or whether they act separately (Art.IV).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific adversary, specific conflict.

20. Describe the nature of the limits to obligations listed in #19.

France and the UK will help defend the Ottoman Empire against Russia.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

The auxiliary armies [France and UK] shall retain the power of taking such part as they may deem expedient in the operations directed against the common enemy, without the Ottoman authorities, civil or military, having any pretension to exercise the slightest control over their movements; on the contrary, every aid and facility shall be afforded to them by those authorities, especially for their landing, their march, their quarters or encampment, their subsistence and that of their horses, and their communications, whether they act together or whether they act separately (Art.IV).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes- Russia (365), Crimean War.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

No. France and the UK help defend Turkey against Russia.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

Yes. The parties will engage to communicate to each other, without loss of time, any proposition which any one of them may receive on the part of Russia, either directly or indirectly, with a view to cessation of hostilities, to an armistice or peace; and the Ottoman Empire engages, moreover, not to conclude any armistice, nor to enter on any negotiation for peace, and not to conclude any preliminary of peace, nor any treaty of peace, with the emperor of Russia, without the knowledge and consent of the parties (Art.II).

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
Yes. The general plan of campaign shall be discussed and settled between the commanders-in-chief of the 3 armies and (...) no operation shall be undertaken against the enemy without its having been previously concerted with the commanders of the allied forces (Art.IV).

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

The auxiliary armies [France and UK] shall retain the power of taking such part as they may deem expedient in the operations directed against the common enemy, without the Ottoman authorities, civil or military, having any pretension to exercise the slightest control over their movements; on the contrary, every aid and facility shall be afforded to them by those authorities, especially for their landing, their march, their quarters or encampment, their subsistence and that of their horses, and their communications, whether they act together or whether they act separately (Art.IV).

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
Yes. As soon as the object of the present treaty shall have been attained by the conclusion of a treaty of peace, the UK and France will forthwith make arrangements for the immediate withdrawal of all their military and naval forces which shall have been employed to accomplish the object of the present treaty; and all the fortresses or positions in the Ottoman territory which shall have been temporarily occupied by the military forces of England and France, shall be delivered up to the authorities of the sublime Ottoman Porte in the space of 40 days, or sooner if possible, after the exchange of the ratifications of the treaty by which the present war shall be terminated (Art.III).

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: Hurst, Michael (ed.). 1972. Key Treaties for the Great Powers 1814-1914, vol.1 (1814-1870). St. Martin's Press, p. 299.

60. Date of last revision of this coding sheet: 02/01/05.

61. Coder: Jeff Ritter, Michaela Mattes, Ashley Leeds.