

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4968

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):
March 12, 2001

4. Members of alliance (use COW country codes):
Russia (365)
Iran (630)

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes – Article 21 – "This Treaty is concluded for a period of 10 years and shall be automatically renewed for successive five-year periods, unless one of the Parties gives the other Party written notice of its decision to denounce the Treaty at least one year prior to the expiry of the current period of validity."

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
Yes – Article 21 – "This Treaty is concluded for a period of 10 years and shall be automatically renewed for successive five-year periods, unless one of the Parties gives the other Party written notice of its decision to denounce the Treaty at least one year prior to the expiry of the current period of validity."

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes – Article 21 – "This Treaty is concluded for a period of 10 years and shall be automatically renewed for successive five-year periods, unless one of the Parties gives the other Party written notice of its decision to denounce the Treaty at least one year prior to the expiry of the current period of validity."

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
N/A

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect as 6/17/2018 as per Russian MFA: http://www.mid.ru/ru/foreign_policy/international_contracts/2_contract/-/storage-viewer/bilateral/page-207/46488

15. Describe what caused the alliance to terminate.
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression pact, Neutrality pact, Consultation pact

17. Type of alliance as coded in COW data (if applicable):

N/A

18. Describe the obligations of the alliance partners.

Article 2 – “In their mutual relations, each Party undertakes not to use force or the threat of force” [non-aggression]

Article 4 – “The Parties have agreed to resolve any disputes that may arise between them exclusively by peaceful means.” [non-aggression]

Article 3 – “In the event that one of the Parties is subjected to aggression by any State, the other Party shall not offer any military or other assistance to the aggressor which would promote the continuation of aggression, and shall contribute to the settlement of any disputes that arise on the basis of the Charter of the United Nations and the rules of international law.” [neutrality]

Article 14 – “In the event that a situation arises that violates or threatens to violate peace and security or is likely to cause international tension, the Parties shall immediately enter into consultations with each other to discuss what measures might be taken to settle the situation.” [consultation]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes – Neutrality is contingent upon aggression.

20. Describe the nature of the limits to obligations listed in #19.

Neutrality is contingent upon aggression against a signatory.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes – Article 2 – “each Party undertakes...not to use its territory for carrying out acts of aggression and subversive and separatist activities against the other Party”

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

Article 12 obligates both Parties not to officially recognize any frontiers on the Caspian Sea until an agreement is reached with all five bordering states.

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes - Article 4 – “The Parties have agreed to resolve any disputes that may arise between them exclusively by peaceful means.”

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.

43. Additional coder comments regarding references to alliance institutionalization:
N/A

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes.

The United Nations – Preamble reiterates the adherence to the purposes of the UN several times. Article 3 references the dispute settlement function of the United Nations. Article 15 seeks to enhance the effectiveness of the UN as a universal instrument for maintaining international peace and security.

Permanent Iranian-Russian Committee on Trade and Economic Cooperation – referenced in Article 5 as an important mechanism for the successful economic and financial cooperation.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes.

Article 5 – legal, economic, commercial cooperation

Article 6 – peaceful use of atomic energy

Article 7 – scientific and technological cooperation

Article 9 – culture science, education, art, tourism, sports

Article 15 – cooperation in the UN

Article 16 – general cooperation on disarmament and controlling spread of weapons of mass destruction

Article 17 – environmental and emergency cooperation

Article 18 – cooperation in fighting organized crime and terrorism

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes – While Article 10 only suggests that “the Parties shall conclude separate agreements in various spheres” to promote cooperation, Article 12 specifically states “the Parties shall expand cooperation in the Caspian Sea by working out the necessary legal mechanisms related to fishing and fish breeding, shipping, coastal trading, the extraction of mineral resources and environmental protection.”

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes – Article 1 – “As friendly States, the Islamic Republic of Iran and the Russian Federation shall build relations with each other on the basis of...non-interference in each other’s internal affairs.”

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty: N/A

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: UNTS 38860

60. Date of last revision of this coding sheet: 6/18/2018

61. Coder: Nicholas Coulombe, Ashley Leeds