

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)

CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 1150

2. COW Alliance # (if applicable):
NA

3. Signature date(s) (may vary for members in multilateral alliances):
May 29, 1851

4. Members of alliance (use COW country codes):
140 Brazil
165 Uruguay

Uruguay is not a COW system member but is on the Gleditsch and Ward system membership list.

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Secret (Article XXIV)

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
Yes. Paraguay will be invited to join (Article 23)

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
Yes- see # 8

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
No

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
Article 22 states that no one of the Allied States shall separate itself from this alliance, until objects proposed be attained.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
October 12, 1851

15. Describe what caused the alliance to terminate.

Replaced by new alliance: Brazil-Uruguay Alliance (ATOP ID#1155)

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Defense Pact, Offense Pact, Consultation Pact

17. Type of alliance as coded in COW data (if applicable):

NA

18. Describe the obligations of the alliance partners.

Offense/Defense:

Article I: "(Brazil, Uruguay, and Entre Rios) unite in an offensive and defensive alliance for the purpose of maintaining the independence and pacifying the territory of the said Oriental Republic, by causing General Oribe, and the Argentine forces under his command to evacuate the said territory, and by co-operating for the restoration of things to their normal state,..."

Article II: "In order to fulfill the objects which the allied Governments have in view, they will cooperate with all their disposable means of war, by sea and land, according as exigencies may require."

Consultation:

Article III: The (parties) may, previous to the breaking out of their respective action, give General Oribe such notice as they may think fit, without any other restriction than that of reciprocally informing each other of these notices, previous to their being carried into effect, in order that they may agree in spirit, and that there may be unity and coherence in the said notices."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

specific adversary, specific ongoing conflict

20. Describe the nature of the limits to obligations listed in #19.

See # 18. The obligations are directed against a specific enemy.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. The Allies States solemnly bind themselves to maintain, protect and assist the Oriental Republic, by all the means within the reach of each of the said States, against all acts of insurrection or armed rebellion, from the day on which the election of the President shall have taken place, and for the time only of his respective administration in conformity with the Constitution of the State (Article 20)

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Forces of Argentina commanded by General Oribe, and the Argentinian government if it declares war on the parties (Article 15).

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

No - Brazil promises to defend the government of Uruguay.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

Yes - see # 34

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of

hostilities? (Yes, No) If Yes, describe.

Yes. Article VI: "From the time that the forces of the Allies enter the territory of the Oriental Republic of the Uruguay, they shall be under the command and direction of the Commander-in-chief of the Oriental army, except in case of the total of the forces of each of the allied States exceeding the total of the Oriental forces; or in the event of the entire army of Brazil or of Entre Rios passing into the territory of the Republic.

In the first of these cases the Brazilian or Allied forces shall be commanded by a Chief of their respective nation; and in the second by their respective Commanders-in-chief; but in either of these hypotheses the Chief of the Allies shall come to a cordial understanding with the General of the Oriental army, with respect to the direction of the operations of war, as well as in all else that may contribute to its success."

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

Article IV: "As soon as it shall be deemed proper, the Brazilian army shall march for the frontier, in order to commence operations within the territory of the Republic when it may be necessary; and the squadron of His Majesty the Emperor of Brazil shall be placed in a position to attack the territory under the dominion of General Oribe."

Article XII: "The expenses of pay, provisions, warlike stores, and clothing of the Allied troops, shall be on account of the respective States."

Article 8 says the parties will contribute quotas of armed forces at the request of the Commander-in-chief of the Oriental army.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

Article XIV: "When the pacification of the Republic, and the re-establishment of the authority of the Oriental government in the whole of the State has been obtained, the Allied land forces shall return within their respective frontiers, and remain stationed there until the election of the President of the Republic shall have taken place."

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

Article 10 requires Brazil to defend the island of Martin Garcia when hostilities break out.

Article 18 obligates Entre Rios and Corrientes to allow Allied vessels free navigation of the Parana River running through their territories.

43. Additional coder comments regarding references to alliance institutionalization:

No

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Article 13 proposes a special agreement between parties to determine the amount, nature, employment and pay of “extraordinary succours” that may be furnished.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes- Brazil may intervene in Uruguay to protect current governing authority (Article 20)

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: BFSP 40:1135

60. Date of last revision of this coding sheet: July 5, 2004

61. Coder: Andrew Long and Ashley Leeds

V4: Q15 updated with reference to ATOP ID# of new alliance on 5/14/2018 by Nicholas Coulombe