

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long  
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892  
Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4837

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):  
July 12, 1996

4. Members of alliance (use COW country codes):  
Kyrgyzstan 703  
Tajikistan 702

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Yes. The treaty is concluded for a period of ten years.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
Yes. The treaty will be automatically extended for another 10 years unless one of the Contracting Parties declares its intention to denounce the treaty in writing no later than 6 months before the expiration of the term of the treaty (Art. 22).

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
Still in effect as of May 10, 2018 according to the MFA website of Tajikistan  
(<http://mfa.tj/?l=tj&cat=90&art=157>)

15. Describe what caused the alliance to terminate.  
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Nonaggression pact

17. Type of alliance as coded in COW data (if applicable): N/A

18. Describe the obligations of the alliance partners.

“The High Contracting Parties recognize each other as sovereign states and undertake to refrain from any actions that may be detrimental to their national sovereignty.” (Art. 1)[Nonaggression]

“The relationship between the High Contracting Parties [is] based on the principles of sovereign equality and respect for the rights inherent in sovereignty, non-use of force or threat of force, including economic and other means of pressure, territorial integrity and inviolability of borders, peaceful settlement of disputes, non-interference in internal affairs...” (Art. 1)[Nonaggression]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes.

No use of economic and other means of pressure against the other party (Art. 1)

“The High Contracting Parties shall not apply [economic policies] unilaterally destabilizing or damaging the other High Contracting side.” (Art. 9)

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

“The High Contracting Parties shall not apply [economic policies] unilaterally destabilizing or damaging the other High Contracting side.” (Art. 9)

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes.

“The relationship between the High Contracting Parties [is] based on the principles of...peaceful settlement of disputes...” (Art. 1)

“Disputes concerning the interpretation or application of this Agreement shall be settled through consultation and negotiation between the High Contracting Parties.” (Art. 14)

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.  
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
Yes. "The High Contracting Parties consider the Protocol on the establishment of a Tajik-Kyrgyz intergovernmental commission for the integrated consideration of bilateral issues, signed by them, to be an integral part of this Agreement." (Art. 18)  
"Pursuant to this Protocol, the Parties shall establish an intergovernmental Tajik-Kyrgyz Commission (...)" (Protocol Art. 1).  
"The Commission shall meet at least twice each year at an agreed time (...)" (Protocol Art. 5).
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No.
43. Additional coder comments regarding references to alliance institutionalization:  
The treaty refers to the necessity of an institution for policy consultation:  
"In order to implement the present Treaty the High Contracting Parties deem it necessary to create a permanent inter-governmental commission." (Art. 16) This seems to be created by the additional protocol mentioned in Article 18.

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes – UN and OSCE as a framework for peace and security cooperation (Preamble and Art. 6)

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. OSCE-see #47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

Yes. “The High Contracting Parties consider that an integral part of this Agreement is signed or a protocol on the establishment of the Kyrgyz-Tajik Intergovernmental Commission for the integrated treatment of bilateral issues.” (Art. 18)

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes.

Cooperation for the protection of the rights of citizens and national minorities living in the territory of the other party (Art. 2, 3, 4)  
Cooperation in the fields of politics, economy, culture, health, environment, science and technology, trade, humanitarian and other fields; cooperation in property rights issues (Art. 7)

Cooperation in the promotion and protection of human rights; cooperation in foreign policy and foreign economic activity; cooperation in the formation and development of integration processes of the Common Economic Space: Central Asian, Eurasian, European and world markets, and in the area of customs policy; management of energy systems, transport, information and communications, including satellite communications and telecommunications; cooperation in the field of the environment, participation in the establishment of a comprehensive system of international environmental security, including cooperation to minimize the consequences of the Aral Sea disaster and mutual assistance in emergencies; issues of migration policy; the fight against crime (Art. 8).

Cooperation in economic-trade relations (Art. 19)

Cooperation in transit transport operations (Art. 11)

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes.

Agreement on the issues of citizenship (Art. 2)

Agreement on economic and trade cooperation (Art. 9)

Agreement on mutual deliveries and services, and charges for the movement of securities (Art. 10)

Agreement on transit transport operations (Art. 11)

Agreement on the establishment of the Kyrgyz-Tajik Intergovernmental Commission (Art. 18)

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

Yes. MFN. “Economic relations between the High Contracting Parties shall be regulated by agreements that offer most-favoured-nation treatment” (Art. 9).

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes.

“The relationship between the High Contracting Parties [is] based on the principles of...non-interference in internal affairs...” (Art. 1)

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty on the Basis of Interstate Relations between Kyrgyzstan and Tajikistan

Protocol on the Establishment of a Tajik-Kyrgyz Intergovernmental Commission for the Integrated Consideration of Bilateral Issues

59. Treaty citation: UNTS No. 34647 (Treaty and Protocol)

60. Date of last revision of this coding sheet: May 23, 2018

61. Coder: Jaewook Chung, Jae-eon Lee, Jessica Edry, Ashley Leeds