

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892
Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4290
2. COW Alliance # (if applicable): N/A.
3. Signature date(s) (may vary for members in multilateral alliances):
July 11, 1992.
4. Members of alliance (use COW country codes):
360 Romania
366 Estonia
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
The present Agreement is signed for a period of 10 years (Art. 14).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
The validity of the Agreement shall be automatically renewed for subsequent durations of five years each, unless one of the Signatories communicates in writing, at least 6 months before the expiration of a period, the intention to renounce the Agreement (Art. 14).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect according to Romanian MFA (website visited 5/7/04).
Version 4.0: In effect as of December 29, 2017 according to the MFA of Estonia
(<http://vm.ee/en/countries/romania?display=relations>)
15. Describe what caused the alliance to terminate.
N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any

member of the alliance.)
Neutrality Pact.

17. Type of alliance as coded in COW data (if applicable): N/A.

18. Describe the obligations of the alliance partners.

Should a situation arise in which one of the Contracting Parties is the victim of armed aggression, the other Contracting Party shall not support that aggression and shall work to identify a peaceful solution in conformity with the United Nations Charter and the commitments made within the framework of the Conference on Security and Cooperation in Europe, as well as other international commitments (Art. 3). [Neutrality]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes. Neutrality is contingent on attack (Art.3).

20. Describe the nature of the limits to obligations listed in #19.

See Q18.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

The Contracting Parties shall agree not to allow the use of their territories for armed aggression against the other Contracting Party (Art. 3).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

Yes. The Contracting Parties shall promote informational exchanges in the military domain, as well as period contacts and meetings between military institutions, at the agreed-upon levels (Art. 6).

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No, but note the two Parties emphasize the fact that establishing and developing qualitatively new relations on the European continent requires the continuation of the disarmament process and ensuring stability and security in Europe, and they are committed to act to achieve these goals (Art. 5).
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.
No.
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Preamble: reaffirm attachments to UN Charter, OSCE. Resolve international disputes according to UN Charter and mechanisms of OSCE (Art. 2, Art. 3). Support peaceful evolution of Europe and arms limitation in framework of OSCE (Art. 4). The Signatories shall mutually support initiatives aiming at the development and deepening of relations with European political, economic and cultural organizations (Art. 7).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Preamble: recognizing the illegal nature of the Ribbentrop – Molotov Pact and its secret annexes of August 23 1939. Also OSCE. See 47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Establish security and cooperation mechanisms in Europe (Art. 4), arms limitation in Europe (Art. 5), cooperation of culture (Art. 8), economic, industrial, financial, technological, scientific and environmental cooperation (Art. 9), environment (Art. 10), legal and consular fields and humanitarian and social problems (Art. 11).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
Yes.
Agreement on cooperation in environmental protection (Art. 10)

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:
Translation from Romanian by Viktor Sata.
Version 4.0: UNTS English translation

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):
Treaty: Treaty on friendship and cooperation between Romania and Estonia

59. Treaty citation: Parliament of Romania, Official Monitor No. 2 from 12/01/93. Law No. 124.
Version 4.0: UNTS Reg. No.: 45221

60. Date of last revision of this coding sheet: July 25, 2017

61. Coder: Michaela Mattes, Jaewook Chung and Ashley Leeds.

Version 4.0: Q14 revised by Nicholas Coulombe, December 29, 2017