

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1100, phases 1 and 2.
2. COW Alliance # (if applicable): # 2022.
3. Signature date(s) (may vary for members in multilateral alliances):  
April 22, 1834 (Phase 1)  
August 18, 1834 (Phase 2).
4. Members of alliance (use COW country codes):  
200 United Kingdom  
220 France  
235 Portugal  
230 Spain.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
No.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Revision by additional articles August 18, 1834.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
August 31, 1839.
15. Describe what caused the alliance to terminate.  
Convention of Vergara ending Carlist War (Langer, 1972: 694).

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Defense Pact  
Consultation Pact.

17. Type of alliance as coded in COW data (if applicable): Defense Pact.

18. Describe the obligations of the alliance partners.

The Spanish Crown (...) engages to cause such a body of Spanish troops as may hereafter agreed upon between Spain and Portugal, to enter into Portuguese territory, in order to cooperate with the Portuguese troops for the purpose of compelling the Infants Don Carlos of Spain and Dom Miguel of Portugal to withdraw from the Portuguese dominions (Art.II).

The UK engages to cooperate, by the employment of a naval force, in aid of the operations to be undertaken, in conformity with the engagements of this treaty, by the troops of Spain and Portugal (Art.III).

If the cooperation of France should be deemed necessary by the parties, for the complete attainment of the object of this treaty, France engages to do, in this respect, whatever might be settled by common between itself and its three allies (Art.IV).

*Phase 2:*

*Portugal, fully sharing the sentiments of his allies, and desirous moreover to make a just return for the engagements contracted by Spain by Article II of the Treaty from April 22, 1834, engages to cooperate, if necessity should arise, in aid of Spain, with such means as may be in Portugal's power, and in such mode and manner as may hereafter be agreed upon (Additional Articles, Art.III).*

*The UK engages to furnish to Spain such supplies of arms and warlike stores as Spain may require, and further to assist, if necessary, with a naval force (Additional Articles, Art.II).*

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific conflict with specific adversary.

20. Describe the nature of the limits to obligations listed in #19.

All the provisions concern the attempt of the allies to compel Don Carlos and Don Miguel to withdraw from Portugal.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

*Phase 2: France engages to take such measures in those parts of France that border on Spain as shall be best calculated to prevent any succours of men, arms, or warlike stores, from being sent from the French territory to the insurgents of Spain (Additional Articles, Art.I).*

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes – the specific target is domestic conflict in Portugal.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

No. Spain sends troops and the UK naval forces to help defend Portugal. Portugal and France commit themselves to consult with their allies.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

Portugal and Spain commit to providing amnesty and positions to Don Carlos and Don Miguel.

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

Phase II: Yes. The UK engages to furnish to Spain such supplies of arms and warlike stores as Spain may require, and further to assist, if necessary, with a naval force (Art.II).

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
Yes. Yes. The Spanish troops shall be maintained at the expense of Spain, and without any charge to Portugal (Art.II).

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
Yes. The Spanish troops shall withdraw from Portuguese territory as soon as the object of the expulsion of the Infants shall have been accomplished, and when the presence of those troops in Portugal shall no longer be required by Portugal (Art.II).

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No.

43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.  
No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

This treaty commits Britain, France and Spain to intervention in Portugal.

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: Hurst, Michael (ed.) 1972. Key Treaties for the Great Powers 1814-1914, vol.1 (1814-1970). St. Martin's Press, p.232.

60. Date of last revision of this coding sheet: 02/03/05.

61. Coder: Jeff Ritter, Michaela Mattes, Ashley Leeds.