

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1370.
2. COW Alliance # (if applicable): # 2072.
3. Signature date(s) (may vary for members in multilateral alliances):
June 18, 1887.
4. Members of alliance (use COW country codes):
255 Germany
365 Russia.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Secret (Art.V).
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty shall remain in force for three years (Art.IV).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
June 18, 1890
15. Describe what caused the alliance to terminate.
German Ministerial Conference opted not to renew treaty at expiration (Langer, 1972: 785; Craig, 1974:306).

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Neutrality Pact
Consultation Pact.
17. Type of alliance as coded in COW data (if applicable): Neutrality/Nonaggression Pact.
18. Describe the obligations of the alliance partners.

In case one of the parties should find itself at war with a third great power, the other would maintain a benevolent neutrality towards it, and would devote its efforts to the localization of the conflict. This provision would not apply to a war against Austria or France in case this war should result from an attack directed against one of these two latter powers by one of the parties (Art.I).

Germany recognizes the rights historically acquired by Russia in the Balkan Peninsula, and particularly the legitimacy of her preponderant and decisive influence in Bulgaria and in Eastern Rumelia. The two courts engage to admit no modification of the territorial status quo of the said peninsula without a previous agreement between them, and to oppose, as occasion arises, every attempt to disturb this status quo or to modify it without their consent (Art.II).

In case Russia should find himself under the necessity of assuming the task of defending the entrance of the Black Sea in order to safeguard the interests of Russia, Germany engages to accord her benevolent neutrality and her moral and diplomatic support to the measures which Russia may deem necessary to take to guard the key of its empire (Additional Protocol, Art.2).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific adversary, specific location, attack (if certain adversaries).

20. Describe the nature of the limits to obligations listed in #19.

Neutrality obligations are limited to relations with great powers.

The parties need not maintain benevolent neutrality if the ally attacked either Austria or France. Germany will maintain a benevolent neutrality if Russia needs to defend the entrance of the Black Sea.

Consultation is relevant to modification of status quo in the Balkans.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. The two courts recognize the European and mutually obligatory character of the principle of the closing of the straits of the Bosphorus and of the Dardanelles (...). They will take care in common that Turkey shall make no exception to this rule in favor of the interests of any government whatsoever by lending to warlike operations of a belligerent powers the portion of its empire constituted by the straits. In case of infringement, or to prevent it if such infringement should be in prospect, the two courts will inform Turkey that they would regard her, in that event, as putting herself in a state of war towards the injured party, and as depriving herself thenceforth of the benefits of security assured to her territorial status quo by the Treaty of Berlin (Art.III).

Additional Protocol, Article 2 specifies Germany will provide moral and diplomatic support to Russia.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes – specific mention of Turkey (640) acting in the Straits of the Bosphorus and the Dardanelles.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

No- Germany promises Russia diplomatic support

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

Yes – regarding the territorial status quo in the Balkans.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

Additional Protocol, Article 1: Germany, as in the past, will lend her assistance to Russia in order to re-establish a regular and legal government in Bulgaria. She promises in no case to give her consent to the restoration of the Prince of Battenberg.

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
No.
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. The parties confirm the agreement established between them by a special arrangement, in view of the expiration of June 15/21, 1887, of the validity of the secret treaty and protocol, signed 1881 and renewed 1884 by the three courts of Germany, Russia and Austria-Hungary.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: Hurst, Michael (ed.) 1972. Key Treaties for the Great Powers 1814-1914, vol.2 (1871-1914). St. Martin's Press, p. 645.

60. Date of last revision of this coding sheet: 1/28/05.

61. Coder: Jeff Ritter, Michaela Mattes, Ashley Leeds