

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 1155, phase 1
2. COW Alliance # (if applicable):
NA
3. Signature date(s) (may vary for members in multilateral alliances):
October 12, 1851
4. Members of alliance (use COW country codes):
140 Brazil
165 Uruguay (Uruguay is not a COW system member, but is on the Gleditsch and Ward system membership list)
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
Brazil was fighting in COW war # 19.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
Argentine States and Paraguay are invited to accede to the alliance (Articles 14 and 15).
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Extends ATOP alliance # 1150 in perpetuity.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
September 3, 1857
15. Describe what caused the alliance to terminate.
Parties renegotiate and phase 2 of this alliance begins.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Defense pact, Consultation pact
17. Type of alliance as coded in COW data (if applicable):
NA

18. Describe the obligations of the alliance partners.

Article II: "The independence of either of the States will be considered to be attacked in the cases which shall be ulteriorly determined by both; and especially in the case of declared conquest, and when a foreign nation attempts to change the form of its Government, or to determine or impose on it the person or persons who are to govern it."

Article III: "In either of these eventualities of the alliance, the 2 (parties) will agree among themselves upon the cooperation that each is to afford, and will regulate it according to the necessities of the case, and the resources which each one can dispose of."

Article V: "In order to strengthen the Oriental nationality by means of internal peace and constitutional customs, (Brazil) binds itself to give efficient support to whomsoever may be constitutionally elected in (Uruguay), during the 4 years of his legal term."

Article VI: "This support shall be given by the Imperial sea and land forces at the request of (Uruguay), in the following cases:

1. In the event of any armed movement against him or his authority, whatever may be the pretext of the insurgents.
2. In the event of the deposition of the President by unconstitutional means."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)
attack

20. Describe the nature of the limits to obligations listed in #19.

See # 18. Consultation is contingent upon attack.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Article XIII: "If during the continuance of the protection afforded by Brazil to (Uruguay), any rebellion should be raised against (Brazil) in his territories bordering on those of (Uruguay), (Uruguay) obliges itself to afford to the legal forces and authorities of Brazil all the protection and assistance in its power; not to countenance any kind of commerce with the rebels, and to place those who may take refuge in its territory in a perfectly inoffensive position, disarming them if they be armed, and delivering up their arms, horses, or any other articles of war to the Imperial Government."

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

No— Brazil promises to defend Uruguay.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

ArticleXVI: "...Uruguay binds itself . . . also to cooperate on its part, conjointly with Brazil, in the preservation and defense of the independence of the Republic of Paraguay."

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

Article X: "All the expenses of transport, sustenance, and preservation of the forces both by sea and land, which, in accordance with the preceding Article may be asked for, and granted, the pay and allowances to the officers and soldiers of the Imperial army and navy, and the wages of the crews of the leatter up to the time that the said assistance ceases, shall be on account of (Uruguay) and shall be paid at the time and in the manner to be stipulated."

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

Article IX: "Both of the (parties) declare very explicitly and categorically, ...that assistance will be limited in all cases to the re-establishment of order and the exercise of the constitutional authority, and will cease immediately that these objects have been accomplished."

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No

43. Additional coder comments regarding references to alliance institutionalization:
No

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

ATOP alliance #1150 between the same states.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

A treaty of Commerce and Navigation was also signed on the same day, but is not mentioned in the treaty.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes— Articles V-X are about conditions under which Brazil promises to intervene in Uruguay.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: BFSP 40: 1141

60. Date of last revision of this coding sheet: July 6, 2004

61. Coder: Andrew Long and Ashley Leeds