

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2555.
2. COW Alliance # (if applicable): # 3116.
3. Signature date(s) (may vary for members in multilateral alliances):
January 29, 1942.
4. Members of alliance (use COW country codes):
200 United Kingdom
365 USSR
630 Iran
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
Yes. UK and Russia were involved in COW war # 139, WW II
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
No. The treaty shall remain in force until the date fixed for the withdrawal of Russian and British forces from Iranian territory in accordance with Art.5 (Art.9). The forces of the Allied Powers shall be withdrawn from Iranian territory not later than 6 months after all hostilities between the Allied Powers and Germany and her associates have been suspended by the conclusion of an armistice, or on the conclusion of peace between them, whichever date is earlier (Art.5).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
March 2, 1946
15. Describe what caused the alliance to terminate.
Expiration – BFSP v. 150, p.818

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Defense Pact
Consultation Pact.

17. Type of alliance as coded in COW data (if applicable): Defense Pact

18. Describe the obligations of the alliance partners.

The Allied Powers (UK, USSR) jointly and severally undertake to defend Iran by all means at their command from aggression of Germany or any other power. Iran undertakes to cooperate with the Allied Powers with all the means at his command and in every way possible, in order that they may be able to fulfill the above undertaking. The assistance of Iranian forces shall, however, be limited to the maintenance of internal security on Iranian territory (Art.3).

The Allied Powers undertake in their relations with foreign countries not to adopt an attitude which is prejudicial to the territorial integrity, sovereignty or political independence of Iran, nor to conclude treaties inconsistent with the provisions of the present treaty. They undertake to consult Iran in all matters affecting the direct interests of Iran (Art.6).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific location

20. Describe the nature of the limits to obligations listed in #19.

Consultation obligation limited to issues related to Iran

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Iran undertakes to secure to the Allied Powers the unrestricted right to use, maintain, guard and, in case of military necessity, control in any way that they may require all means of communication throughout Iran, including railways, roads, rivers, aerodromes, ports, pipelines and telephone, telegraph and wireless installations. Iran undertakes to furnish all possible assistance and facilities in obtaining material and recruiting labor for the purpose of the maintenance and improvement of the means of communication. Iran undertakes to establish and maintain such measures of censorship control as they may require for all means of communication (Art.3).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes- Germany (255).

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

No. UK and USSR defend Iran and Iran supports them as much as possible in this endeavor.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No. But Annex 1 states that the UK and the USSR consider themselves bound not to approve anything at peace conferences or other international conferences which is prejudicial to the territorial integrity, sovereignty and political independence of Iran and not to discuss at any such conference anything affecting the direct interests of Iran without consultation with Iran.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. The Allied Powers undertake in their relations with foreign countries not to adopt an attitude which is prejudicial to the territorial integrity, sovereignty or political independence of Iran, nor to conclude treaties inconsistent with the provisions of the present treaty. They undertake to consult Iran in all matters affecting the direct interests of Iran. Iran undertakes not to adopt in its relations with foreign countries an attitude which is inconsistent with the alliance, nor to conclude treaties inconsistent with provisions of the present treaty (Art.6).

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
No.
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
Yes. The Allies powers may maintain in Iranian territory land, sea and air forces in such number as they consider necessary. The location of such forces shall be decided in agreement with the Iranian government as long as the situation allows (Art.4). See also Art. 3,ii,b/c/d.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
Yes. The forces of the Allied Powers shall be withdrawn from Iranian territory not later than 6 months after all hostilities between the Allied Powers and Germany and her associates have been suspended by the conclusion of an armistice, or on the conclusion of peace between them, whichever date is earlier (Art.5).
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes. The Allied Powers undertake to use their best endeavors to safeguard the economic existence of the Iranian people against the privations and difficulties arising as the result of the present war (Art.7).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. A separate agreement shall be concluded as soon as possible after the entry into force of the present treaty regarding any financial obligations to be borne by the Allied Powers under the provisions of the present article and of paragraphs (ii) (b), (c), and (d) of Article 3 in such matters as local purchases, the hiring of buildings and plants, the employment of labor, transport charges etc. A special agreement shall be concluded between the Allied Governments and the Iranian government defining the conditions for any transfers to the Iranian government after the war of buildings and other improvements effected by the Allied Powers on Iranian territory (Art.4).

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

The provisions of the present treaty are equally binding as bilateral obligations between his Imperial Majesty the Shahinshah and each of the two other High Contracting Parties (Article 8)

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: BFSP, vol. 144, p.1017-1021

60. Date of last revision of this coding sheet: 07/26/04.

61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds