

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4952

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):  
June 30, 2000

4. Members of alliance (use COW country codes):  
Spain (230)  
Philippines (840)

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.

Yes – Article 13 – “The Treaty shall remain in force for a period of six (6) years and shall be automatically renewed for successive periods of six (6) years unless one of the Parties terminates it in writing, through diplomatic channels, by giving (6) months prior notice”

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.

Yes – Article 13 – “The Treaty shall remain in force for a period of six (6) years and shall be automatically renewed for successive periods of six (6) years unless one of the Parties terminates it in writing, through diplomatic channels, by giving (6) months prior notice”

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.

Yes – Article 13 – “The Treaty shall remain in force for a period of six (6) years and shall be automatically renewed for successive periods of six (6) years unless one of the Parties terminates it in writing, through diplomatic channels, by giving (6) months prior notice”

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
N/A

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

Still in effect as of 6/15/2018 per Spain MFA:

<http://www.exteriores.gob.es/Portal/en/PoliticaExteriorCooperacion/Tratados/TratadosPa%C3%ADses/Paginas/default.aspx>

15. Describe what caused the alliance to terminate.  
N/A

## SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression pact

17. Type of alliance as coded in COW data (if applicable):

N/A

18. Describe the obligations of the alliance partners.

General Principles 3 –

“Abstention from resorting to threat or use of force

In their mutual relations the High Contracting Parties shall abstain from resorting to threat or use of force against the other Party’s territorial integrity or political independence, or any other means that is incompatible with United Nations purposes and principles. No consideration may be cited as justifying such means.”

General Principles 4 –

“Peaceful settlement of controversies

In a spirit appropriate to the motivations which have led to the conclusion of this General Friendship and Cooperation Treaty, the High Contracting Parties shall settle any controversy that may arise between them using exclusively peaceful means, endeavoring to find just and fair solutions so as not to jeopardize international peace and security.”

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

N/A

## SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.  
Yes – General Principle 4 discusses the peaceful settlement of conflicts between the parties.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
No

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.  
No

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
No

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
Yes –

Philippine – Spanish High-Level Committee – established by Article 2 – “will act as a channel for establishing the bases for the strengthening of bilateral relations in all the aforementioned spheres ...The High-Level Committee...will be the body responsible for the coordination, monitoring, and evaluation of this General Treaty...It shall meet every three years, in the Philippines and Spain alternately.”

Philippine-Spain Joint Bilateral Cooperation Committee – established by Article 9 – “The management, planning, coordination, and monitoring of the cooperation activities set forth in Chapters III, IV and V of this Treaty shall fall to a Philippine-Spanish Joint Bilateral Cooperation Committee, under the supervision of the High-Level Committee established in Article 2. The meetings of [the committee] shall take place every three years.”

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No

43. Additional coder comments regarding references to alliance institutionalization:

N/A

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

Yes – Article 16 – “The provisions of the Friendship Treaty between the Republic of the Philippines and the Spanish State signed in Manila on September 27, 1947, are hereby abrogated, as are any others, contained in other previous conventions, that should be incompatible with the Treaty.”

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes.

The United Nations – Commitments of the Charter of the UN reaffirmed in preamble. UN cited as reference for the abstention of threat or use of force in General Principle 3. General Principle 6 reaffirms commitment to respect the Charter of the UN in regards to human rights. Article 5 references the UN Framework Convention on Climate Change for areas of cooperation in environmental matters.

ASEAN – Article 3b – “Conduct regular high-level political consultation in order to exchange information, opinions, and views on bilateral and international issues, endeavoring to discuss and where possible, seek agreement on these issues at the bilateral and multilateral levels when the existence of common purposes and interests is established; and particularly with regard to their respective regional groupings, namely: EU and ASEAN”

European Union: Article 3b (see above). Articles 6 and 7 encourage scientific and technical collaboration with the EU on establishing specific programs and projects of mutual interest.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes – ATOP ID# 3755 (ASEAN) and ATOP ID# 4175 (European Union). See reference above.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes.

General Provision 7 – Cooperation in mutual cultural appreciation

Article 1 – “The High Contracting Parties agree to strengthen their bilateral cooperation in the political, economic and financial, development, scientific and technical, cultural and educational, and legal-consular spheres”

Article 4 – Economic cooperation

Article 5, 6, 7 – Development, scientific, technical, environmental, and health cooperation

Article 8 – Cultural and educational cooperation

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No specific agreements, but Article 10 proposes to conclude specific agreements on criminal, civil, or mercantile matters “as

appropriate”

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.  
No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.  
No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes – General Provision 2 – “The High Contracting Parties shall respect each other’s sovereign equality and individuality, in addition to all the rights inherent to, and contained in, the other’s sovereignty, including, particularly...non-intervention in the other Party’s internal affairs.”

#### SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty: N/A

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: UNTS 41698

60. Date of last revision of this coding sheet: 6/15/2018

61. Coder: Nicholas Coulombe, Ashley Leeds