

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID:3290

2. COW Alliance # (if applicable):
N/A

3. Signature date(s) (may vary for members in multilateral alliances):
June 30, 1955

4. Members of alliance (use COW country codes):
200 United Kingdom
560 South Africa

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Exchange of letters

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
Yes.
Letter 3, 16. "The arrangement outlined in this Agreement will not preclude the association of other Governments with the defence of the strategic zone, should all the Governments concerned so agree."

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
No

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
June 16, 1975

15. Describe what caused the alliance to terminate.
Terminated by exchange of letters between members (UNTS) Britain cancels due to political unease in supporting apartheid government

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Defense Pact

17. Type of alliance as coded in COW data (if applicable):

N/A

18. Describe the obligations of the alliance partners.

Letter 3, 1. "Recognising the importance of sea communications to the well-being of their respective countries in peace and to their common security in the event of aggression, the (parties) enter into the following Agreement to ensure the safety, by the joint operations of their respective maritime forces, of the sea routes round Southern Africa."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners?

(List all that apply)

Specific Location

20. Describe the nature of the limits to obligations listed in #19.

Applicable only to sea routes around Southern Africa - See letter 3 # 7

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes - S. Africa provides use of naval facilities to UK - see letter # 5

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

No - S. Africa provides facilities to UK

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

Yes.

During Peacetime and wartime.

Letter 3, 10. "A joint maritime war planning committee will be set up, containing representatives of the Royal Navy and the South African Navy, one of whose functions will be to co-ordinate the use of all maritime facilities in British and South African territories in the strategic zone."

Annex, Zone (1): "It is agreed ... that the Commander-in-Chief of the strategic zone will in accordance with (NATO) practice

be accorded the following powers and responsibilities, which he will exercise in consultation with the South African Naval Chief of Staff in so far as the Union forces or resources are concerned.”

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

Yes.

Letter 3, 2. “(South Africa) have approved a programme for the expansion of the South African Navy. The Programme will be spread over a period of eight years from 1955 to 1963, and will involve the purchase of the following vessels, which will be added to the existing fleet:

6 Anti-submarine Frigates.

10 Coastal Minesweepers.

4 Seaward Defence Boats”.

British government will help with sale

Annex: In Peace

The Commander in Chief will be responsible for:

(b) “The organization for and conduct of combined training of such national units as are assigned or earmarked for assignment to his command in war—and which can be made available—so as to ensure that they can operate as an effective and integrated force.”

To fulfill his peacetime functions the Commander-in-Chief will be authorized:

(a) to co-ordinate combined training of national maritime forces of the United Kingdom and of the Union earmarked for assignment to the zone in war.”

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

Yes.

Wartime

Letter 3, 5 and 11. “In a war in which both the (UK) and (South Africa) are involved the Commander-in-Chief will be granted operational command as defined in the Annex of all forces assigned to his strategic zone.”

Annex. In War. (a): “The Commander-in-Chief will be responsible for the overall direction and conduct of maritime operations within the strategic zone, and will have operational command of all forces assigned to the zone by the (UK) and (South Africa) respectively; that is, he will have authority in relation to those forces to assign missions or tasks to subordinate commanders, to deploy units, both within and between subordinate commands, and to retain or assign operational and/or tactical control as he may deem necessary. It does not, of itself, include administrative command or logistic responsibility, which remains a national responsibility.”

(b) “He will be responsible for the co-ordination of plans and operations with adjacent allied naval authorities.”

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

Yes.

Letter 3, 10. “A joint maritime war planning committee will be set up, containing representatives of the Royal Navy and the South African Navy, one of whose functions will be to co-ordinate the use of all maritime facilities in British and South African territories in the strategic zone.”

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

Yes. Letter 3, 12. “...(South Africa) will in agreement with the (UK) provide headquarters in the Cape area, but outside Simonstown, with requisite communications and operational facilities, for use by the Commander-in-Chief in peace and war.”

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

Yes - program for expansion of S. African navy - see letter 3 #2

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes.

North Atlantic Treaty Organization—command of forces securing the strategic zone (waters around South Africa) is modeled after NATO command.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: UNTS no. 3495

60. Date of last revision of this coding sheet: July 17, 2004

61. Coder: Andrew Long, Ashley Leeds