

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892
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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4453

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):
April 13, 1993

4. Members of alliance (use COW country codes):
372 Georgia
369 Ukraine

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty is concluded for a term of 10 years. ("This Treaty is concluded for a period of 10 years. It shall be automatically extended for successive 10-year periods unless one of the High Contracting Parties notifies the other in writing of its intention to terminate it at least six months prior to the expiry of the current 10-year period." Article 24)

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. The treaty will be automatically extended for an additional ten-year period unless one of the Parties announces its intention to denounce it in writing no later than six months prior to the termination of the treaty (Art. 24).

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect (Embassy of Ukraine in Georgia website visited on June 5, 2018 (<http://georgia.mfa.gov.ua/ua/ukraine-ge/diplomacy>)

15. Describe what caused the alliance to terminate.
N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression Pact.
Neutrality Pact

17. Type of alliance as coded in COW data (if applicable): N/A

18. Describe the obligations of the alliance partners.

“They(the Contracting Parties) pledge to be guided always by the principles of mutual respect of sovereignty, territorial integrity, inviolability of existing borders, equality and non-interference in each other’s domestic affairs, the non-use of force or the threat of force, the peaceful settlement of disputes.” (Art. 1)[Nonaggression]

“The High Contracting Parties pledge to settle any disputes that may arise between them solely by peaceful means, so as not to jeopardize international peace and security.”(Art.3)[Nonaggression].

“The High Contracting Parties shall never and under no circumstances resort to the use of their Armed Forces against the other Party.”(Art. 4)[Nonaggression]

“In the event that one of the Parties suffers an act of aggression, the other Party shall not grant the aggressor military aid or any other assistance.”(Art. 4)[Neutrality]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes.

20. Describe the nature of the limits to obligations listed in #19.

Neutrality obligation is contingent on attack.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. “The High Contracting Parties shall not allow use of their territories for acts of aggression and other violent actions against the other Contracting Party.”(Art. 4)

“Each High Contracting Party shall refrain from any actions which may cause economic damage to the other Party.”(Art. 10)

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. “The High Contracting Parties pledge to settle any disputes that may arise between them solely by peaceful means, so as not to jeopardize international peace and security.”(Art. 3)

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No. However, they refer to dispute settlement mechanisms as follows: "They shall use every means at their disposal to settle international disputes on the basis of the norms of international law, using peaceful means with the help of mechanisms provided for in the Charter of the United Nations and by the new structures being developed by the CSCE." (Art. 3)

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
Yes. "Meetings of Ministers of Foreign Affairs shall take place at least once a year." (Art. 7)

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No, although "they shall encourage the continuation of the disarmament process, the establishment and reinforcement of collective security systems and institutions," (Article 2)

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.
No.
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.
Yes – UN and Organization for Security and Cooperation in Europe(OSCE).
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.
Yes – OSCE- see # 47.
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
Yes. Cooperation for the European integration process(Art. 6); trade and economic cooperation(Art.10); Black Sea economic cooperation(Art. 13); cooperation in ecological security, protection of the natural environment, and rational use of water resources(Art. 14); cooperation in the fields of science and technology(Art. 15); cooperation in the fields of culture and art(Art. 16); and cooperation in the fight against crime(Art. 18).
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
Yes.
A separate agreements to regulate bilateral relations in the areas of economic activity(Art. 11).
A separate agreements to settle issues regarding mutual recognition of rights and the regulation of property relations(Art.12).
A separate agreement to address ecological problems(Art. 14).
A separate agreement to develop cooperation in the fields of science and technology(Art. 15)
A separate agreements on fight against crime(Art. 18).
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No.
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
Yes. MFN. “The High Contracting Parties shall create favorable conditions for the development of mutually advantageous trade and economic cooperation between them. To that end, they shall accord each other most favoured nation treatment.”(Art. 10)
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
Yes. “They pledge to be guided always by the principles of mutual respect of (...) equality and non-interference in each other’s domestic affairs(Art. 1).” [non-intervention]
- SECTION V: DOCUMENTATION
57. Additional coder comments on this alliance treaty:
58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):
Treaty: Treaty between the Republic of Georgia and Ukraine on friendship, cooperation and mutual assistance
59. Treaty citation: UNTS Reg No.: 44370 (<https://treaties.un.org/doc/Publication/UNTS/Volume%202472/v2472.pdf>) accessed on February 19, 2014
60. Date of last revision of this coding sheet: June 5, 2018

61. Coder: Jaewook Chung and Ashley Leeds (original) / Jae-eon Lee (review)