

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1345, phases 1 and 2.
2. COW Alliance # (if applicable): # 2066
3. Signature date(s) (may vary for members in multilateral alliances):
June 16, 1881.
(phase 2 begins February 9, 1889)
4. Members of alliance (use COW country codes):
300 Austria-Hungary
345 Serbia.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Secret (Art.IX).
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty is concluded for a period of 10 years (Art.VIII).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. Six months before its expiration the parties shall, if there is occasion, take counsel together in regard to its prolongation or to the modifications which the circumstances of the moment render desirable (Art.VIII).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Once.
January 28, 1889: The treaty is prolonged until January 1/13, 1895. Three months before its expiration the parties shall, if there is cause therefore, take counsel together as to its prolongation or as to the modifications which circumstances might render it desirable (Art.I).
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
January 1, 1895
15. Describe what caused the alliance to terminate.
Renewal Treaty of February 9, 1889 establishes this as the expiration (Hurst, 1972: 651). Bridge and Bullen (1980: 149) and Albrecht-Carrie (1973: 240) say King Alexander of Serbia chose not to renew it.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any

member of the alliance.)
Neutrality Pact.
Consultation Pact

17. Type of alliance as coded in COW data (if applicable): Neutrality/Nonaggression Pact; renewed as defense pact.

18. Describe the obligations of the alliance partners.

If Austria-Hungary should be threatened with war or find herself at war with one or more powers, Serbia will observe a friendly neutrality towards the Austro-Hungarian Monarchy, including therein Bosnia, Herzegovina and the Sanjak of Novibazar, and will accord to it all possible facilities, in conformity with their close friendship and the spirit of this treaty. Austria-Hungary assumes the same obligations towards Serbia, in case the latter should be threatened with war or find herself at war (Art.V).

If as a result of a combination of circumstances whose development is not to be foreseen at present, Serbia were in a position to make territorial acquisitions in the direction of her southern frontiers (with exception of Sanjak or Novibazar), Austria-Hungary will not oppose herself thereto, and will use all her influence with the other powers for the purpose of winning them over to an attitude favorable to Serbia (Art.VII).

In any case where military cooperation is considered necessary by the two contracting parties, the questions touching this cooperation, especially those of the superior command and of the contingent passage of troops through the respective territories, shall be regulated by a military convention (Article VI).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Serbia will accord to Austria-Hungary all possible facilities, in conformity with their close friendship and the spirit of this treaty. Austria-Hungary assumes the same obligations towards Serbia, in case the latter should be threatened with war or find herself at war (Art.V).

Serbia will not tolerate political, religious, or other intrigues which, taking her territory as a point of departure, might be directed against the Austro-Hungarian monarchy, including therein Bosnia, Herzegovina, and the Sanjak of Novibazar. Austria-Hungary undertakes the same with respect to Serbia and her dynasty, the maintenance and strengthening of which she will support with all her influence (Art.II).

If as a result of a combination of circumstances whose development is not to be foreseen at present, Serbia were in a position to make territorial acquisitions in the direction of her southern frontiers (with exception of Sanjak or Novibazar), Austria-Hungary will not oppose herself thereto, and will use all her influence with the other powers for the purpose of winning them over to an attitude favorable to Serbia (Art.VII).

Without previous understanding with Austria-Hungary, Serbia will neither negotiate nor conclude any political treaty with another government, and will not admit to her territory a foreign armed force, regular or irregular, even as volunteers (Art.IV).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

No- Article IV specifies that Austria-Hungary will provide diplomatic support to Serbia and Serbia will not negotiate treaties without Austrian approval.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

Yes. Without previous understanding with Austria-Hungary, Serbia will neither negotiate nor conclude any political treaty with

another government, and will not admit to her territory a foreign armed force, regular or irregular, even as volunteers (Art.IV).

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No. But see Art.VI.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

Yes - If as a result of a combination of circumstances whose development is not to be foreseen at present, Serbia were in a position to make territorial acquisitions in the direction of her southern frontiers (with exception of Sanjak or Novibazar), Austria-Hungary will not oppose herself thereto, and will use all her influence with the other powers for the purpose of winning them over to an attitude favorable to Serbia (Art.VII).

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

Yes. In any case where military cooperation is considered necessary by the two parties, the questions touching this cooperation, especially those of the superior command and of the contingent passage of troops through the respective territories, shall be regulated by a military convention (Art.VI).

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Renewal- phase 2 -

The Imperial and Royal Government declares its willingness to aid, in the consolidation of the economic and financial condition of the Kingdom of Serbia, and engages, with that in view, as soon as the request shall have been to it, to enter into negotiations with the Serbia Government in regard to certain modifications of the Treaty of Commerce in force between Austria-Hungary and Serbia relative to duties and imposts on foodstuffs (Article V)

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. In any case where military cooperation is considered necessary by the two parties, the questions touching this cooperation, especially those of the superior command and of the contingent passage of troops through the respective territories, shall be regulated by a military convention (Art.VI).

The Imperial and Royal Government declares its willingness to aid, in the consolidation of the economic and financial condition of the Kingdom of Serbia, and engages, with that in view, as soon as the request shall have been to it, to enter into negotiations with the Serbia Government in regard to certain modifications of the Treaty of Commerce in force between Austria-Hungary and Serbia relative to duties and imposts on foodstuffs (phase 2, Article V)

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

Phase 2 - The Imperial and Royal Government declares its willingness to aid, in the consolidation of the economic and financial condition of the Kingdom of Serbia, and engages, with that in view, as soon as the request shall have been to it, to

enter into negotiations with the Serbia Government in regard to certain modifications of the Treaty of Commerce in force between Austria-Hungary and Serbia relative to duties and imposts on foodstuffs (Article V)

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty and renewal

59. Treaty citation: Hurst, Michael (ed.) 1972. Key Treaties for the Great Powers 1814-1914, vol.1 (1814-1870). St Martin's Press, p.601. Also renewal, p. 651.

60. Date of last revision of this coding sheet: 01/27/05.

61. Coder: Jeff Ritter, Michaela Mattes, Ashley Leeds