

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4342

2. COW Alliance # (if applicable):
NA

3. Signature date(s) (may vary for members in multilateral alliances):
October 12, 1992

4. Members of alliance (use COW country codes):
365 Russia
373 Azerbaijan

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Five years. (Article 26)

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Automatic renewal for five year terms unless either party denounces in writing six months prior to expiration of current term.
(Article 26)

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
July 3, 1997

15. Describe what caused the alliance to terminate.
It seems to be replaced by a new treaty signed in 1997.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Consultation Pact, Nonaggression Pact

17. Type of alliance as coded in COW data (if applicable):
NA

18. Describe the obligations of the alliance partners.

Consultation:

Article 3. "In the event of a situation that creates, in the opinion of one of the High Contracting Parties threat to the peace, breach of the peace or violates the essential interests of its security, each. Parties may request the other Party to request an urgent consultation. The High Contracting Parties shall exchange relevant information and to seek harmonization of appropriate measures to overcome this situation. "

Nonaggression:

Article 1. "The High Contracting Parties shall develop their relationship as friendly states. They undertake [their relations] steadily guided by the principles of ..[non]use of force or threat of force... peaceful settlement of disputes..."

Article 5. "Each of the High Contracting Parties shall refrain from engaging in any actions or measures directed against the other High Contracting Parties..."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

none

20. Describe the nature of the limits to obligations listed in #19.

NA

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Article 4: "Each of the High Contracting Parties to prohibit and punish the establishment and operation in its territory of organizations and groups, as well as the activities of individuals against the state's sovereignty, independence and territorial integrity of the other High Contracting Party. says parties condemn and pledge not to support separatist movements."

Article 5: Each of the parties shall not allow "its territory to be used for purposes of aggression or other acts of violence against the other High Contracting Party."

Article 6: "Each of the High Contracting Parties shall recognize and respect the right of other parties to define and implement a set of measures to protect its sovereignty, territorial integrity and inviolability of borders and defense."

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

Yes. Consultation is conditional upon request

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

Article 13: "Parties shall inform each other in advance of economic decisions that may affect the rights and legitimate interests of the other party."

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
Yes. Article 24 stipulates that disputes regarding the treaty shall be settled through consultations and negotiations.
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
Yes. A joint commission on human rights (Article 23)
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
Article 2 says parties support disarmament, but includes no specific obligations
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No
43. Additional coder comments regarding references to alliance institutionalization:
Article 7 emphasizes that each party independently decides issues of security and defense: "The High Contracting Parties, independently deciding issues of national security and defense construction, will be carried out to this end, cooperation and practical cooperation."

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.
No
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.
United Nations—parties support peacekeeping role of UN.
OSCE—general purpose and principles
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.
Yes. Organization for Security and Cooperation in Europe (See # 47)
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
Article 8-10 (equal treatment of the other side's nationals and minority and their legal status and citizenship)
Article 11 (cooperation in the fields of economy, culture, health, energy, environment, science, technology, trade, and humanitarian issues)
Article 12 (property rights)
Article 13 (cooperation in the field of finance, trade, science and technology; cooperation in international economic and financial organizations)
Article 14 (transit)
Article 15 (oil and natural gas)
Article 16 (R&D in science and technology)
Article 17 (development of economic and cultural ties; exchanges in education, tourism and free exchange of information; language)
Article 18 (cooperation in the field of energy systems, transport, and IT)
Article 19 (environmental protection)
Article 20 (combating organized crime)
Article 21 (inter-parliamentary cooperation)
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
Article 8-10: For the purpose of achieving the ends listed in #50.
Article 11: For the purpose of achieving the ends listed in #50.
Article 12 : For the purpose of achieving the ends listed in #50.
Article 14: For the purpose of achieving the ends listed in #50.
Article 17: An agreement to open cultural centers in each country
Article 23: A special agreement on establishing a joint commission on human rights
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
No
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically

commit the states to non-intervention? (Yes, No) If Yes, describe.

Their relationship shall be guided by “non-interference in the internal affairs of [the other country].” (Article 1)

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: Treaty of Friendship, Cooperation and Mutual Security between the Russian Federation and the Republic of Azerbaijan
(http://www.mid.ru/bdomp/spd_md.nsf/0/C1D1172EBA053E4044257B6E0029BB01 ; accessed on 23 May 2013; translation using the Google browser)

60. Date of last revision of this coding sheet: October 28, 2014

61. Coder: Jaewook Chung and Ashley Leeds