

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2375.
2. COW Alliance # (if applicable): # 3083.
3. Signature date(s) (may vary for members in multilateral alliances):
April 2, 1936.
(April 29, 1937 for Yemen)
4. Members of alliance (use COW country codes):
645 Iraq
670 Saudi Arabia
678 Yemen Arab Republic (joined on April 29, 1937).
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
Yes. The parties shall endeavor to secure the accession of Yemen to this treaty. Any other independent Arab state shall on request be permitted to accede to this treaty (Art.6).
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
Yes. See 8. Yemen (678).
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty shall remain in force for a period of ten years (Art.14).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
Yes. If one of the parties commits an act of aggression against another state, the other party may denounce this treaty without previous warning (Art.10).
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. The treaty shall be renewed for a further period of ten years, unless notice of the desire to terminate it shall have been given by either party to the other one year prior to the treaty's expiry (Art.14).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
April 2, 1956
15. Describe what caused the alliance to terminate.
Expiration

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Consultation Pact.

17. Type of alliance as coded in COW data (if applicable): Entente.

18. Describe the obligations of the alliance partners.

The parties shall consult together when necessary with a view to furthering the objects set forth in the preamble to this treaty [i.e. safeguard integrity of territory] (Art.1)

In the event of an act of aggression being committed against either of the parties by a third state (.), the parties shall consult together regarding the measures which shall be taken with the object of concerting their efforts in a useful manner to repel said aggression. The following shall be deemed acts of aggression: declaration of war, seizure of territory by armed forces, attack on territory, vessel or aircraft, direct or indirect support to the aggressor. The following shall not be deemed acts of aggression: legitimate self-defense, action taken in enforcement of League of Nations Articles, assistance by a third state to another state attacked (Art.4).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- location

20. Describe the nature of the limits to obligations listed in #19.

The parties will consult in the case of an attack against either of them.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. In the event of an outbreak of disturbances or disorders in the territory of one of the parties each of them undertakes to take all measures: a) to make it impossible for the insurgents to utilize his territory against the interests of the other party and, b) to prevent his subjects from taking part in the disturbances or disorders or from helping or encouraging insurgents, c) to prevent any kind of help being given to the insurgents either directly or from his own territory or otherwise (.) (Art.5).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. Each of the parties reciprocally undertakes not to enter with any third party into any understanding or agreement over any matter whatever of a nature prejudicial to the interests of the other part or to his country or its interests, or of a nature calculated to expose danger or harm the safety or interests of his country (Art.1).

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. The parties undertake to settle any disputes between them by means of friendly negotiations and, in the event of the settlement of any such dispute by the said method proving difficult, to resort to the methods to be laid down in a protocol to be annexed to this treaty (Art.2).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
Yes. The two parties will cooperate with a view to unifying the Islamic and Arab culture and the military systems of their two countries by means of the exchange of educational and military missions to study the systems followed in their respective countries and as far as possible to coordinate these systems and to seek to obtain reciprocal benefit from their respective educational and military institutions and the training and instruction that is available in them (Art.7).
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are modified and describe the treaty reference.
No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

Yes. The provisions of a number of treaties listed in Art.11 shall remain in force.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. Action taken in pursuance of League of Nations provisions are not considered acts of aggression (Art.4).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. There is nothing in the present treaty to prejudice the rights and undertakings of the alliance between Iraq and the UK from June 30, 1930 (Art.9).

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes. The parties will conclude agreements on 1) residence, passport and laissez-faire, 2) economic, financial and customs affairs, 3) organization of means of communication (Art.12).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. The parties will conclude agreements on 1) residence, passport and laissez-faire, 2) economic, financial and customs affairs, 3) organization of means of communication (Art.12).

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: BFSP, vol.140, p. 620
Yemeni accession: BFSP, vol. 141, p. 1272

60. Date of last revision of this coding sheet: 02/13/05.

61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds