

` ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892
Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1340
2. COW Alliance # (if applicable): # 2067.
3. Signature date(s) (may vary for members in multilateral alliances):
June 18, 1881.
4. Members of alliance (use COW country codes):
255 Germany
300 Austria-Hungary
365 Russia.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Secret (Convention, Art.V).
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty shall be in force for a period of three years dating from the day of the exchange of ratifications (Art.IV).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Once. The treaty is prolonged on April 15, 1884 for another three years.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
June 18, 1887
15. Describe what caused the alliance to terminate.
Allowed to expire without renewal due to policy differences between Russia and Austria-Hungary in the Balkans

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Neutrality
Consultation.
17. Type of alliance as coded in COW data (if applicable): Neutrality/Nonaggression Pact

18. Describe the obligations of the alliance partners.

In case one of the parties should find itself at war with a fourth Great Power, the two others shall maintain towards it a benevolent neutrality and shall devote their efforts to the localization of the conflict. This stipulation shall apply likewise to a war between one of the three Powers and Turkey, but only on the case where a previous agreements shall have been reached between the three Courts as to the results of this war. *In the special case where one of them should obtain a more positive support from one of its allies, the obligatory value of the present Article shall remain in force for the third (Convention, Art.I)* The three Courts, desirous to avoid all discord between them, engage to take account of their respective interests in the Balkan peninsula. They further promise one another that any new modifications in the territorial status quo of Turkey in Europe can be accomplished only in virtue of a common agreement between them (Convention, Art.II). In order to avoid collisions of interests in the local questions which may arise the three courts will furnish their representatives and agents in the Orient with a general instruction, directing them to endeavor to smooth out their divergences by friendly explanations between themselves in each special case; and, in the case where they do not succeed in doing so, to refer the matters to their governments (Protocol, Art.5).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific adversary, specific location, prior agreement

20. Describe the nature of the limits to obligations listed in #19.

Neutrality is contingent on being attacked by any other great power or Turkey (640). The consultation clause applies to the Balkans. Neutrality in war with Turkey is contingent upon prior agreement regarding results.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes Turkey is given particular attention (Art.I,III).

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

If Turkey lends to warlike operations of a belligerent power its portion of its empire constituted by the Straits, the three Courts will inform Turkey that they would regard her, in that event, as putting herself in a state of war towards the injured party, and as having deprived herself thenceforth of the benefits of the security assured by the Treaty of Berlin (Convention, Art.III). The italicized part in # 18 is suppressed in the prolongation of the treaty from 1884.

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. The three Courts, desirous to avoid all discord between them, engage to take account of their respective interests in the Balkan peninsula (Convention, Art.II). In order to avoid collisions of interests in the local questions which may arise the three courts will furnish their representatives and agents in the Orient with a general instruction, directing them to endeavor to

smooth out their divergences by friendly explanations between themselves in each special case; and, in the case where they do not succeed in doing so, to refer the matters to their governments (Protocol, Art.5).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
Yes. Austria-Hungary reserves to itself the right to annex Bosnia and Herzegovina at whatever moment she shall deem opportune (Protocol, Art.1).

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
Yes. The secret conventions concluded between Austria-Hungary and Russia and between Germany and Russia in 1873 are replaced by the present treaty (Convention, Art.VI).

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

Yes. The Declaration exchanged between the Austro-Hunarian plenipotentiaries and the Russian plenipotentiaries at the Congress of Berkin under date of July 13/I, 1878, remains in force (Protocol, Art.2).

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

Yes. In order to facilitate the implementation of Article II of the Convention, an agreement of which it is impossible to foresee all the conditions, the three Courts from the present moment record in the Protocol annexed to this treaty the points on which an understanding has already been established in principle (Convention, Art.II).

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

Yes. The three Courts attempt to dissuade the Porte from occupying either Eastern Rumelia or the Balkans, it being well understood that Bulgaria and Eastern Rumelia on their part are to abstain from provoking the Porte by attacks emanating from their territories against other provinces of the Ottoman Empire (Protocol, Art.3). They also agree to dissuade the Bulgarians from all aggression against the neighboring provinces, particularly Macedonia (Protocol, Art.4).

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

Yes – modification to territorial status quo in Balkans

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: Hurst, Michael, ed. 1972. Key Treaties for the Great Powers 1814-1914, vol. 2 (1871-1914). St. Martin's Press, p.603.

60. Date of last revision of this coding sheet: 07/16/04.

61. Coder: Jeff Ritter, Michaela Mattes, Ashley Leeds