

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 6060

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):
September 9, 2013

4. Members of alliance (use COW country codes):
China (710)
Uzbekistan (704)

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. "This agreement is valid for 10 years" (Art. 19).

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. "The validity of this Agreement is automatically extended for subsequent five-year periods if none of the Contracting Parties notifies the other Contracting Party in writing, at least one year before the expiration of the relevant period of the validity of the Treaty, of its intention to terminate it." (Art. 20).

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect as of May 22, 2018, according to the Chinese embassy in Uzbekistan (<http://uz.china-embassy.org/rus/zwgx/zxd/t1460754.htm>)

15. Describe what caused the alliance to terminate.
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression pact

17. Type of alliance as coded in COW data (if applicable): N/A.

18. Describe the obligations of the alliance partners.

“The Contracting Parties, in accordance with the generally recognized principles and norms of international law, based on the principles of mutual respect for sovereignty and territorial integrity, mutual non-aggression, non-interference in each other's internal affairs, equality and mutual benefit, peaceful coexistence, comprehensively develop long-term and stable relations of strategic partnership.” (Art. 1) [Nonaggression]

“The Contracting Parties undertake in their relations not to use force or threat by force, in accordance with the provisions of the UN Charter and other universally recognized principles and norms of international law, to resolve differences between themselves exclusively by peaceful means, not to interfere under any pretexts in the internal affairs of sovereign states.” (Art. 2) [Nonaggression]

“The Contracting Parties do not participate in any alliances or blocs, do not take any action, including the conclusion of treaties with third states that damage the sovereignty, security and territorial integrity of the other Contracting Party.” (Art. 3) [Nonaggression]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Article 3: “The Contracting Parties do not carry out any activity and do not allow the use of their territories by any third states to the detriment of state sovereignty, security and territorial integrity of each other.

None of the Contracting Parties allows the creation of activities and institutions on its territory, organizations and groups that damage the sovereignty, security and territorial integrity of the other Contracting Party.”

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. “The Contracting Parties do not participate in any alliances or blocs, do not take any action, including the conclusion of treaties with third states that damage the sovereignty, security and territorial integrity of the other Contracting Party.” (Art. 3)

28. Additional coder comments regarding treaty obligations:

“The Contracting Parties, if a situation arises that could adversely affect the mutual interests of security or the security interests of one of them, may trigger an appropriate consultation mechanism to coordinate positions and coordinate specific measures to resolve this situation.” (Art. 6)

“The Uzbek side confirms that it will not establish official relations with Taiwan in any form whatever, opposes “Taiwan

independence" in any form, against the entry of Taiwan into any international and regional organizations whose membership is limited only by sovereign states, supports the peaceful development of relations between the two sides of the Taiwan Strait and the cause of China's peaceful reunification, as well as all efforts made by the Government of China to effect the unification of the country." (Art. 5)

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
Yes. "The Contracting Parties undertake in their relations...to resolve differences among themselves exclusively by peaceful means..." (Art. 2)
"Disputes and disagreements that may arise in the implementation of the provisions of this Treaty, the Contracting Parties will resolve through friendly negotiations and consultations." (Art. 16)
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

Yes. "From the date of entry into force of this Treaty, the Treaty on partnership relations of friendship and cooperation between the Republic of Uzbekistan and the People's Republic of China on May 25, 2005 ceases." (ATOP 5070)

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

UN: peaceful settlement of disputes (Art. 2), SCO: cooperation for anti-terrorism and anti-separatism and anti-extremism with the SCO framework (Art. 9) and regional cooperation with the SCO framework (Art. 15).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

SCO. See #47

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Inter-governmental cooperation (Art. 7); trade, economic, financial and investment cooperation in information technology, agriculture, humanitarian and other spheres (Art. 8); oil, gas, and uranium exploration and development along with alternative energy sources (Art. 8), infrastructure (Art. 8), law enforcement (Art. 10), cooperation in culture, health, education, tourism, and sports (Art. 12), intellectual property rights (Art. 12)

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. "The Contracting Parties...based on the principles of...non-interference in each other's internal affairs..." (Art. 1)

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

The text of the treaty is in Russian and was translated using the Google Chrome browser.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty on Friendship and Cooperation between the People's Republic of China and the Republic of Uzbekistan

59. Treaty citation: Uzbekistan Ministry of Justice: <http://www.lex.uz/docs/2510798> Accessed May 21, 2018

60. Date of last revision of this coding sheet: June 21, 2018

61. Coder: Jessica Edry, Ashley Leeds