

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4150
2. COW Alliance # (if applicable): N/A.
3. Signature date(s) (may vary for members in multilateral alliances):
January 27, 1992
4. Members of alliance (use COW country codes):
355 Bulgaria
360 Romania
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
The treaty is signed for a period of 20 years. It shall automatically be renewed for subsequent durations of five years each, unless one of the parties communicates in writing its desire to terminate the treaty at least one year prior to the expiration of a period (Art. 18).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
See 10.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect according to website of Romanian MFA (visited 4/29/04).
Version 4.0: In effect as of January 11, 2018 according to the Embassy of Romania in Bulgaria website
(<http://sofia.mae.ro/node/170>)
15. Describe what caused the alliance to terminate.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression Pact

Consultation Pact
Neutrality Pact.

17. Type of alliance as coded in COW data (if applicable): N/A.

18. Describe the obligations of the alliance partners.

“The Contracting Parties reaffirm their resolve to be guided in their bilateral and multilateral relations with other States by the principles of the Charter of the United Nations and the Helsinki Final Act: equality and respect for inherent sovereign rights, non-use of force or the threat of force, the inviolability of frontiers, the territorial integrity of States, the resolution of conflicts exclusively by peaceful means, non-interference in internal affairs...” (Art. 2)[Nonaggression]

“The Contracting Parties shall refrain from the threat or use of force in international relations and shall resolve all disputes and disagreements between themselves exclusively by peaceful means.” (Art. 4)[Nonaggression]

“Neither Contracting Party shall allow its territory to be used by a third State in order to commit an act of armed aggression against the other Contracting Party, nor shall it provide any assistance to such a third party.” (Art. 6)[Neutrality]

“Should one of the Parties consider that a situation has arisen that threatens its territorial integrity or violates its essential security interests, that Party shall solicit immediate consultations with the other Party with a view to examining and agreeing on appropriate measures, within the framework of European institutions, to prevent the situation from developing in a negative direction.” (Art. 6)[Consultation]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

“Neither Contracting Party shall allow its territory to be used by a third State in order to commit an act of armed aggression against the other Contracting Party...” (Art. 6)

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

“The Contracting Parties reaffirm their resolve to be guided in their bilateral and multilateral relations with other States by the principles of the Charter of the United Nations and the Helsinki Final Act: ...the resolution of conflicts exclusively by peaceful means ...” (Art. 2)

Any arising dispute between the parties shall be resolved exclusively through peaceful means (Art.3).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

The parties shall stimulate interactions and cooperation between the military institutions of the two countries, including periodic meetings of the Chiefs of Staff and on other agreed levels (Art.16).

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No, but Art. 5 states the Contracting Parties shall act to reduce armed forces and armaments in Europe to minimal and balanced levels, sufficient for defence, including the establishment of measures beyond those already in the Treaty on Conventional Armed Forces in Europe (Art. 5).

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

The taking into effect of the agreement invalidates the Treaty of Friendship, Cooperation and Mutual Assistance of November 19, 1970 (Art.19).

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Preamble: reaffirms principles of UN Charter, CSCE (also Art.2). The states shall support the collective security measures adopted according to the UN Charter (Art.4).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. CSCE. See 47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

The states shall contribute to the process of cooperation among states in the Balkans (Art.3) and to the process of arms limitation (Art.5). Economic, scientific, transport and communication, culture, education, healthcare, welfare sports, environment, legal and consular, organized crime cooperation.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. "The Contracting Parties reaffirm their resolve to be guided in their bilateral and multilateral relations with other States by the principles of the Charter of the United Nations and the Helsinki Final Act:...non-interference in internal affairs..." (Art. 2)

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Translation by Viktor Sata from Romanian.

Version 4.0: UNTS English translation

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty on Friendship, Cooperation and Goodneighborliness between Romania and Bulgaria

59. Treaty citation: Parliament of Romania. Official Monitor No.174 from 23/07/92.

Version 4.0: UNTS Reg. No.: 45220

60. Date of last revision of this coding sheet: July 25, 2017

61. Coder: Michaela Mattes, Jaewook Chung and Ashley Leeds.

Version 4.0: Q14 revised by Nicholas Coulombe, January 11, 2018