

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 3740

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances): August 1, 1975 Helsinki Final Act (Phase I)
November 21, 1990 Charter of Paris (Phase II)

4. Members of alliance (use COW country codes): Austria (305), Belgium(211), Bulgaria(355), Canada(20), Cyprus(352), Czechoslovakia(315), Denmark(390), Finland(375), France(220), the German Democratic Republic(265), the Federal Republic of Germany(260), Greece(350), Hungary(310), Iceland(395), Ireland(205), Italy(325), Liechtenstein(223), Luxemburg(212), Malta(338), Monaco(221), the Netherlands(210), Norway(385), Poland(290), Portugal(235), Rumania(360), San Marino(331), Spain(230), Sweden(380), Switzerland(225), Turkey(640), the Union of Soviet Socialist Republics(365), the United kingdom(200), The United States of America(2), Yugoslavia(345).

Liechtenstein, Monaco, San Marino sign 1975 agreement, but do not become COW system members until 1990, 1993 and 1992 respectively.

Additional members: October 3, 1990 – Germany (255) succeeds East and West Germany
September 16, 1990 – Albania (339)
December 6, 1991 – Estonia (366); Latvia (367); Lithuania (368)
April 17, 1992 – Armenia (371)
June 16, 1992 – Ukraine (369)
September 23, 1992 – Kazakhstan (705)
January 1, 1993 – Czech Republic (316) and Slovak (317) succeed Czechoslovakia
January 29, 1993 – Moldova (359)
March 8, 1993 – Slovenia (349)
April 8, 1993 – Belarus (370)
October 27, 1993 – Uzbekistan (704)
December 20, 1993 – Azerbaijan (373)
January 21, 1994 – Georgia (372)
June 3, 1994 – Kyrgyzstan (703)
February 17, 1998 – Andorra (232)

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
NO. When Azerbaijan joined, it was involved in COW War #216 (v4.0) with Armenia.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select “treaty” if the agreement requires ratification.)

EXECUTIVE AGREEMENT

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
PUBLIC

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
NO

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
NO

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
NO

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
NO

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
NO.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
East Germany, West Germany and Czechoslovakia are replaced by successor states. Yugoslavia's membership was suspended in May 1992 (Anstis, 1994: 93). Otherwise, still in force.
Version 4.0: Still in effect as of January 11, 2018 according to the OSCE website
(<http://www.osce.org>)

15. Describe what caused the alliance to terminate.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression Pact

17. Type of alliance as coded in COW data (if applicable):
N/A

18. Describe the obligations of the alliance partners.

I. "The participating States will respect each other's sovereign equality and individuality as well as all the rights inherent in and encompassed by its sovereignty, including in particular the right of every State to juridical equality, to territorial integrity, and to freedom and political independence."

II. "The participating States will refrain in their mutual relations, as well as in their international relation in general, from the threat or use of force against the territorial integrity or political independence of any state..."

Accordingly, the participating States will refrain from any acts constituting a threat of force or direct or indirect use of force against another participating State.

Likewise they will refrain from any manifestation of force for the purpose of inducing another participating State to renounce the full exercise of its sovereign rights. Likewise they will also refrain in their mutual relations from any act of reprisal by force.

No such threat or use of force will be employed as a means of settling disputes, or questions likely to give rise to disputes, between them."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply) NO

20. Describe the nature of the limits to obligations listed in #19.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

YES. VI. "... (Parties) will refrain from direct or indirect assistance to terrorist activities, or to subversive or other activities directed towards the violent overthrow of the regime of another participating State."

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe. NO

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty. NO.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.
YES.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.
NO

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions. NO

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe. NO

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

YES. II- “ ... No such threat or use of force will be employed as a means of settling disputes, or questions likely to give rise to disputes between them.”

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

YES. V- “ The participating States will settle disputes among them by peaceful means in such a manner as not to endanger international peace and security, and justice. ... For this purpose, they will use such means as negotiation, enquiry, mediation, conciliation, arbitration, judicial settlement or other peaceful means of their own choice including any settlement procedure agreed to in advance of disputes to which they are parties.”

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.) NO

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions. NO.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe. NO

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe. NO

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

Helsinki Final Act- NO. Charter of Paris – See Supplementary Document Part I

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe. NO.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

Helsinki Final Act – NO. Charter of Paris- See Supplementary Document Part III

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions. NO

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference. NO

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe. NO

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe. NO

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe. NO.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference. NO

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference. NO

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference. NO.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference. YES. The treaty makes reference to UN in the preamble. Also Article X stipulates that participating states confirm that in the event of a conflict between the obligations of the members of the UN under the Charter of the United Nations and their obligations under any treaty or any other international agreement, their obligations under the Charter will prevail.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference. NO.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements. NO.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe. YES. Article IX stipulates that the participating states will develop their cooperation with one another and with all states

in all fields in accordance with the Charter of the United Nations.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe. NO

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. NO

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe. NO

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe. NO

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions. NO.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe. YES.

VI-“ The participating States will refrain from any intervention, direct or indirect, individual or collective, in the internal affairs falling within the domestic jurisdiction of another participating State, regardless of their mutual relations.”

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: OSCE website: www.osce.org

60. Date of last revision of this coding sheet: June 1, 2018

61. Coder: SEZI ANAC, ASHLEY LEEDS

Version 4.0: Q14 revised by Nicholas Coulombe on January 11, 2018 & Q5 revised by Jessica Edry on June 1, 2018