

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 5075

2. COW Alliance # (if applicable): 405

3. Signature date(s) (may vary for members in multilateral alliances):  
November 14, 2005

4. Members of alliance (use COW country codes):  
365 Russia  
704 Uzbekistan

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
No.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.

Yes. "The Agreement shall remain in force until the expiration of 12 months from the date on which one of the parties notifies the other in writing of its intention to terminate it." (Article 15)

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
No.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
Still in effect as of May 15, 2018 according to the MFA website of Russia  
([http://www.mid.ru/ru/foreign\\_policy/international\\_contracts/2\\_contract/-/storage-viewer/bilateral/page-1/45977?storageviewer\\_WAR\\_storageviewerportlet\\_advancedSearch=true&storageviewer\\_WAR\\_storageviewerportlet\\_fromPage=search&storageviewer\\_WAR\\_storageviewerportlet\\_dateEnd=15.11.2005&storageviewer\\_WAR\\_storageviewerportlet\\_dateStart=14.11.2005&storageviewer\\_WAR\\_storageviewerportlet\\_andOperator=1](http://www.mid.ru/ru/foreign_policy/international_contracts/2_contract/-/storage-viewer/bilateral/page-1/45977?storageviewer_WAR_storageviewerportlet_advancedSearch=true&storageviewer_WAR_storageviewerportlet_fromPage=search&storageviewer_WAR_storageviewerportlet_dateEnd=15.11.2005&storageviewer_WAR_storageviewerportlet_dateStart=14.11.2005&storageviewer_WAR_storageviewerportlet_andOperator=1))

15. Describe what caused the alliance to terminate.  
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Defense Pact, Consultation Pact

17. Type of alliance as coded in COW data (if applicable): Defense, Entente

18. Describe the obligations of the alliance partners.

“In the event that one of the parties against the act of aggression by any state or group of states would be considered an act of aggression against both parties. If an act of aggression against one party to the other in the exercise of the right of collective self-defense under Article 51 of the Charter of the United Nations shall provide it with the necessary assistance, including military assistance, as well as supporting other at its disposal means.” (Article 2) [Defense]

“In the event of a situation which, according to one of the parties, may create a threat to peace, breach the peace or affect its security interests, as well as a threat to commit against one of the Parties to the act of aggression, the Parties shall immediately activate the mechanism, appropriate consultations coordinate their positions and practical measures to resolve the situation.” (Article 3)[Consultation]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

The treaty mentions the need to coordinate their positions in international organization both parties participate in. (Article 13)

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

Yes. Article 9 provides for military and military-technology cooperation. “[Both parties]... actively cooperate in the modernization and reform of the armed forces, they are equipped with modern weapons and equipment, improve their combat readiness, as well as in other areas in the field of mutual interest.” (Article 9)

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
No. Although the treaty mentions (Article 13) regular meetings at various levels, it does not specify a time frame.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
Yes. "In order to ensure security, peace and stability of the signatories to the treaty on the basis of the individual grant each other the right to use military facilities in their territory." (Article 4)
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
Yes, Article 9 may serve that purpose (See #32). In addition, the treaty emphasizes cooperation in nontraditional security areas. "The Parties shall coordinate and integrate their efforts to increase the effectiveness of combat and neutralize the threat of international terrorism, violent extremism, proliferation of weapons of mass destruction..." (Article 6)
43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.  
Yes. This treaty nullifies Article 1 and Article 2 of the 1999 military cooperation agreement (Article 16).
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.  
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.  
No.
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.  
Yes – UN, Organization for Security and Cooperation in Europe (OSCE) and Commonwealth of Independent States (CIS). They are all referred to in relation to regional and global security.
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.  
Yes – OSCE- see # 47
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.  
No.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.  
Yes. Illicit drug trafficking, transnational organized crimes (Article 6); human rights (Article 7); trade and economic relations (Article 9); national and international transport infrastructure (Article 10); science, technology, energy, financial investment, banking, IT, communications areas in nuclear energy (Article 11); and legal framework of cooperation in the humanitarian sphere (Article 12).
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.  
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.  
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.  
Yes. Article 11 mentions cooperation in the field of science and technology, energy, etc and says "Parties conclude agreements on specific areas of such cooperation." (Article 11)

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty: This treaty is a translation from the original treaty written in Russian using the Google Chrome Browser.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Contract on Allied Relations between the Russian Federation and the Republic of Uzbekistan  
(But this is not a formal title)

59. Treaty citation: Russian MFA: [http://www.mid.ru/ru/foreign\\_policy/international\\_contracts/2\\_contract/-/storage-viewer/bilateral/page-1/45977?storageviewer\\_WAR\\_storageviewerportlet\\_advancedSearch=true&storageviewer\\_WAR\\_storageviewerportlet\\_fromPage=search&storageviewer\\_WAR\\_storageviewerportlet\\_dateEnd=15.11.2005&storageviewer\\_WAR\\_storageviewerportlet\\_dateStart=14.11.2005&storageviewer\\_WAR\\_storageviewerportlet\\_andOperator=1](http://www.mid.ru/ru/foreign_policy/international_contracts/2_contract/-/storage-viewer/bilateral/page-1/45977?storageviewer_WAR_storageviewerportlet_advancedSearch=true&storageviewer_WAR_storageviewerportlet_fromPage=search&storageviewer_WAR_storageviewerportlet_dateEnd=15.11.2005&storageviewer_WAR_storageviewerportlet_dateStart=14.11.2005&storageviewer_WAR_storageviewerportlet_andOperator=1) (Accessed May 15, 2018)

60. Date of last revision of this coding sheet: May 15, 2018

61. Coder: Jaewook Chung, Jessica Edry, Ashley Leeds