

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 3150

2. COW Alliance # (if applicable):
N/A

3. Signature date(s) (may vary for members in multilateral alliances):
April 30, 1948, see below for accession dates

4. Members of alliance (use COW country codes):

Argentina	160
Bolivia	145
Brazil	140
Chile	155
Colombia	100
Costa Rica	94
Cuba	40
Dominican Republic	42
Ecuador	130
El Salvador	92
Guatemala	90
Haiti	41
Honduras	91
Mexico	70
Nicaragua	93
Panama	95
Paraguay	150
Peru	135
United States of America	2
Uruguay	165
Venezuela	101
Trinidad and Tobago (3/13/67)	52
Barbados (10/9/67)	53
Jamaica (6/27/69)	51
Grenada (5/13/75)	55
Surinam (2/22/77)	115
Dominica (5/22/79)	54
St. Lucia (5/22/79)	56
Antigua and Barbuda (12/3/81)	58
St. Vincent and the Grenadines (12/3/81)	57
Bahamas (3/3/82)	31
St. Kitts and Nevis (3/12/84)	60
Belize (1/8/91)	80
Guyana (1/8/91)	110

Note v4.0 – Canada previously included in membership, however has been removed due to initial reservations included upon signature which states “Articles 27 and 28 of the Charter of the OAS do not create any military obligations for Canada, nor does Canada plan to adhere to the Inter-American Treaty of Reciprocal Assistance concluded at Rio de Janeiro on September 2, 1947”

(http://www.oas.org/en/sla/dil/inter_american_treaties_A-41_charter_OAS_signatories.asp)

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select “treaty” if the agreement requires ratification.)

Treaty

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.

Public

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.

Yes. Any American State that wants to ratify the Charter shall be admitted (article 2) and any new political entity that arises may also join (article 3).

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?

American states

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.

Yes. Indefinitely

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.

Yes. Any member can denounce the treaty with two years notice.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.

No

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

Still in force. Cuba was excluded on 1/22/1962 (Sheinin, 1995: xvi)

Version 4.0: In effect as of September 12, 2017 according to US Dept. of State website

<https://www.state.gov/documents/organization/273494.pdf>

15. Describe what caused the alliance to terminate.

Cuba was expelled following Cuban revolution

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Defense Pact, Nonaggression Pact, Consultation Pact

17. Type of alliance as coded in COW data (if applicable):

NA

18. Describe the obligations of the alliance partners.

Defense:

Article 24: “Every act of aggression by a State against the territorial integrity or the inviolability of the territory or against the sovereignty or political independence of an American State shall be considered an act of aggression against the other American States.”

Article 4: “The OAS... proclaims the following essential purposes: c. to provide for common action on the part of (member) states in the event of aggression.”

Nonaggression:

Article 18: “The (parties) bind themselves in their international relations not to have recourse to the use of force, except in the case of self-defense in accordance with existing treaties or in fulfillment thereof.”

Consultation:

Article 43: “In case of an armed attack within the territory of an American State or within the region of security delimited by treaties in force, a Meeting of Consultation shall be held without delay.”

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners?

(List all that apply)

Specific location

Attack

20. Describe the nature of the limits to obligations listed in #19.

Collective security is restricted to "the American States."

Consultation is limited to this location also.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

Article 16: "No state may use or encourage the use of coercive measures of an economic or political character in order to force the sovereign will of another state and obtain from it advantages of any kind."

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. Article 4: "The (OAS) proclaims the following essential purposes: ... b. to prevent possible causes of difficulties and to ensure the pacific settlement of disputes that may arise among the (members); d. to seek the solution of political, juridical and economic problems that may arise among them."

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

Yes. Chapter IV, Articles 20-22 provide for peaceful resolution of disputes between the parties

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

Yes. Articles 44-46 describe an Advisory Defense Committee to be composed of the highest military authorities of the members. It will meet when an emergency consultation is required because of an act of aggression, or when assigned a duty by the other organs of the OAS.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
Yes. Part Two of the charter details the organs of the OAS and explains their functions.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
Yes. Article 54 discusses a quota system

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

Yes. Article 17 prohibits “ territorial acquisitions or special advantages.”

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. United Nations obligations are not impaired by this treaty.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If

Yes, describe.

Yes. Economic, Social, and Cultural cooperation is promised in Chapters 6, 7, and 8, respectively.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. Article 23: "A special treaty will establish adequate procedures for the pacific settlement of disputes and will determine the appropriate means for their application, so that no dispute between American States shall fail of definitive settlement within a reasonable period."

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. Article XV: "No State or group of States has the right to intervene, directly or indirectly, for any reason whatever, in the internal or external affairs of any other State. The foregoing principle prohibits not only armed force but also any other form of interference or attempted threat against the personality of the State or against its political, economic, or cultural elements."

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

The OAS Charter has been amended four times, but the protocols of amendment do not appear to change the obligations. This coding sheet is for the original agreement. See www.oas.org for a copy of the charter as amended.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: UNTS no. 1609

60. Date of last revision of this coding sheet: July 16, 2004

61. Coder: Andrew Long, Ashley Leeds

Version 4.0: Q14 revised 9/12/2017, Q4 revised 5/13/2018 by Nicholas Coulombe