

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4225
2. COW Alliance # (if applicable): N/A.
3. Signature date(s) (may vary for members in multilateral alliances):  
May 18, 1992
4. Members of alliance (use COW country codes):  
290 Poland  
369 Ukraine
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Yes. The treaty shall last for 15 years and, unless terminated by one of the parties in writing one year in advance of expiry, it will automatically be renewed for additional periods of 5 years (Art.21)
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
See 10.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
Sill in effect according to Polish MFA  
Version 4.0: In effect as of December 28, 2017 according to Ministry of Foreign Affairs, Ukraine  
(<http://mfa.gov.ua/en/about-ukraine/bilateral-cooperation/european-countries> )
15. Describe what caused the alliance to terminate.  
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Non-aggression pact  
Consultation pact  
Neutrality pact.

17. Type of alliance as coded in COW data (if applicable): N/A.

18. Describe the obligations of the alliance partners.

The parties base their relationship on the principles of sovereign equality, renunciation of the threat or use of force, inviolability of frontiers, territorial integrity, peaceful settlement of conflict, non-interference in internal affairs, national self-determination etc. (Art.1).

They will settle any disputes that may arise between them peacefully and renounce the use or threat of force in their mutual relations (Art.4).

In the event of an armed attack by a third party on one of the signatories, the other party will not lend any military aid or political backing to such state for the whole duration of the armed conflict and shall work for the resolution of the conflict in accordance with the principles and procedure of the UN Charter and the documents of the CSCE (Art.4).

The parties shall hold regular consultations on international security issues at all levels. If one of the parties comes to the conclusion that a situation or a dispute has arisen which threatens or may threaten international peace and security or is a violation of them, the parties shall, without delay, hold consultations on possible ways of settling the dispute or overcoming such a situation (Art.4, 6).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, non-provocation of enemy, or prior agreement among partners? (List all that apply)

Yes- attack.

20. Describe the nature of the limits to obligations listed in #19.

Neutrality is contingent upon attack.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Neither party will allow its territory to be used by a third state or states for the purpose of armed aggression against the other party.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

The parties consider the border between them as inviolable and reaffirm that they have no territorial claims against each other (Art.2).

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

The parties will settle any disputes that arise between them solely by peaceful means (Art.4).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.  
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
The ministers of foreign affairs shall meet at least once a year (Art.6).
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
To prevent proliferation of weapons of mass destruction in the world, and particularly in Europe, the parties shall renounce to possess, acquire or manufacture such weapons. The parties guarantee also full control of production and storage in their territories of materials and substances which serve peaceful aims but can be used for the production of weapons of mass destruction as well as full control of exports of such materials and substances and technologies for their manufacture (Art.3).
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No.
43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.  
No. The treaty shall not interfere with the rights and obligations of any of the parties arising from bi- and multilateral agreements binding in their relations with other states (Art.19).

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

The preamble confirms the principles of the UN, Helsinki Final Act, Paris charter and other OSCE documents. To strengthen security the parties shall work actively in relevant European mechanisms and structures on the basis of the Helsinki Final Act, the Paris charter, the Treaty on Conventional Armed Forces in Europe, the Treaty on Non-Proliferation of Nuclear Weapons Art.3).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

See 47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

The parties will cooperate on economic matters, transportation, telecommunication, the environment, minorities, culture, science and education, legal assistance, organized crime and terrorism, healthcare and insurance and social welfare.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

The parties will develop mutually profitable military cooperation on the basis of separate agreements (Art. 4). The parties will conclude appropriate agreements in the sphere of economic cooperation (Art.7). The parties will conclude separate agreements on ecological security and cooperation, in accordance with international standards (Art.9). Cooperation of organized crime, terrorism, economic and drug-related offenses will be defined in separate agreements (Art.16).

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

The parties develop their relationships in the spirit of (...) non-interference in internal affairs (Art.1).

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty.

59. Treaty citation: [www.zbiordokumentow.pl/1992/4/8.html](http://www.zbiordokumentow.pl/1992/4/8.html) - Polish Institute of International Affairs Digital Library Website

60. Date of last revision of this coding sheet: July 27, 2004

61. Coder: Michaela Mattes and Ashley Leeds.

