

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4859

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):  
January 10, 1997

4. Members of alliance (use COW country codes):  
703 Kyrgyzstan  
704 Uzbekistan  
705 Kazakhstan

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
No.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
No.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
Still in effect as of May 6, 2018 according to the CIS Legislation website  
(<http://cis-legislation.com/document.fwx?rgn=3894>)

15. Describe what caused the alliance to terminate.  
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Consultation Pact

17. Type of alliance as coded in COW data (if applicable): N/A

18. Describe the obligations of the alliance partners.

“In the event of a situation which, in the opinion of one of the High Contracting Parties, is a threat of an armed attack by other states, the High Contracting Parties shall immediately hold appropriate consultations with each other both on a tripartite basis, and within the framework of international organizations to which they are to take measures to promote the peaceful resolution of this situation and to ensure the common defense.” (Art. 3)

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes, location.

20. Describe the nature of the limits to obligations listed in #19.

Consultation provision is activated when there is a threat of armed attack by another state, presumably on the home territory of a member of the agreement.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes.

“The High Contracting Parties undertake not to allow the use of its territory for purposes of armed aggression or hostile activities against the other High Contracting Parties.” (Art. 3)

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

“Each of the High Contracting Parties will provide emergency assistance to other Parties in environmental disasters and natural events that pose a threat to the livelihoods of people.” (Art. 13)

“In order to ensure the sustainable development of the trilateral relations, exchange of views on international regional problems, the High Contracting Parties will hold mutual consultations at various levels.

In the course of consultations, the High Contracting Parties will coordinate positions on regional and global issues affecting the common interests of the High Contracting Parties when they are considered in international organizations of which the Parties are members.” (Art. 4)

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes.

“...in the case of a dispute - to solve them in a spirit of trust and mutual understanding through the creation of conciliation commissions on government level, if necessary.” (Art. 8)

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
Yes. By creating conciliation commissions at a government level, if necessary (Art. 8)

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.  
No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No.

43. Additional coder comments regarding references to alliance institutionalization:  
The treaty discusses the establishment of a UN peacekeeping battalion to accomplish UN peacekeeping missions (Art. 6)

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.  
No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.  
No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes – UN and OSCE as a framework for peace and security cooperation (Preamble and Art. 5, 6)

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. OSCE-see #47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes.

Cooperation in economic and trade relations; cooperation in the fields of culture, and science and technology; cooperation in the fields of industry, agriculture, transport, sports, health, communications and telecommunications, energy and environment (Art. 9)

Cooperation with regard to the rights of citizens traveling in one of the High Contracting Parties (Art. 10)

Cooperation in the development of transport communications, and transit of goods and services (Art. 11)

Encouraging contacts between cultural and educational institutions of the three contracting parties (Art. 12)

Cooperation in environmental protection (Art. 13)

Interparliamentary cooperation (Art. 14)

Cooperation for the protection of the rights of citizens living in the territory of another party (Art. 15)

Cooperation in the fight against international terrorism and organized crime (Art. 16)

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes.

“The High Contracting Parties shall develop a relationship based on...the principles of non-interference in each other's affairs...”  
(Art. 1)

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

The original language of this treaty is Russian and the text is translated by using the Google Chrome browser.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty of Eternal Friendship between Uzbekistan, Kazakhstan and Kyrgyzstan

59. Treaty citation: [http://kazakhstan.news-city.info/docs/sistemsk/dok\\_oedtnb.htm](http://kazakhstan.news-city.info/docs/sistemsk/dok_oedtnb.htm) (Accessed on May 23, 2018)

60. Date of last revision of this coding sheet: May 6, 2018

61. Coder: Jaewook Chung, Jae-eon Lee, Jessica Edry, Ashley Leeds