

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 3285

2. COW Alliance # (if applicable):
3534

3. Signature date(s) (may vary for members in multilateral alliances):
May 14, 1955

4. Members of alliance (use COW country codes):
339 Albania
355 Bulgaria
310 Hungary
265 German Democratic Republic
290 Poland
360 Rumania
365 USSR
315 Czechoslovakia

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
Article IX: "The present treaty shall be open for accession by other States, irrespective of their social and political structure, which express their readiness, by participating in the present Treaty, to help in combining the efforts of the peace-loving States to ensure the peace and security of the peoples..."

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
240 months. But Article 11 declares that agreement will cease to have effect if a collective security system through a General European Treaty is established.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Automatic renewal for an additional ten years for all members that do not denounce the agreement one year before expiration.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Twice- 1975, 1985

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
September 12, 1968 - Albania , Langer(1972:1169)
October 3 , 1990 - G.D.R.
July 1, 1991 rest

15. Describe what caused the alliance to terminate.

Albania withdraws due to policy disputes. Albania sides with China in Sino-Soviet split. G.D.R. withdraws upon German unification. Alliance terminates in 1991 due to rejection by E. Europe (particularly Poland, Hungary and Czechoslovakia) of continued alliance with USSR.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Consultation Pact, Nonaggression Pact, Defense Pact

17. Type of alliance as coded in COW data (if applicable):

Defense Pact

18. Describe the obligations of the alliance partners.

Consultation:

Article III: "...Whenever any one of the (parties) considers that a threat of armed attack on one or more of the States parties to the Treaty has arisen, they shall consult together immediately with a view to providing for their joint defense and maintaining peace and security."

Nonaggression:

Article I: "The (parties) undertake, in accordance with the Charter of the United Nations, to refrain in their international relations from the threat or use of force and to settle their international disputes by peaceful means in such a manner that international peace and security are not endangered."

Defense:

Article IV: "In the event of an armed attack in Europe on one or more of the States Parties to the Treaty by any State or group of States, each State Party to the Treaty shall, ..., afford the State or States so attacked immediate assistance, individually and in agreement with the other States Parties to the Treaty, by all the means it considers necessary, including the use of armed force..."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Specific Location

20. Describe the nature of the limits to obligations listed in #19.

See # 18. Defense limited to attack on members in Europe.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes. Preamble states that: "...Taking into consideration, at the same time, the situation that has come about in Europe as a result of the ratification of the Paris Agreements, which provide for constitution of a new military group in the form of a "West European Union", with the participation of a remilitarized West Germany and its inclusion in the North Atlantic Bloc, thereby increasing the danger of a new war creating a threat to the national security of peace-loving states..."

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Article VII: "The (parties) undertake not to participate in any coalitions or alliances, and not to conclude any agreements, the purposes of which are incompatible with the purposes of the present Treaty."

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

Peacetime as well as wartime. Article V: "The (parties) have agreed to establish a Unified Command..."

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

Peacetime and wartime. Article V:: "The (parties) have agreed to establish a Unified Command, to which certain elements of their armed forces shall be allocated by agreement between the Parties, and which shall act in accordance with jointly established principles..."

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

Article VI: "(to carry out consultation provisions)...a Political Consultative Committee shall be established, in which each State Party to the Treaty shall be represented by a member of the Government or by some other specially appointed representative."

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No but Article II: "..., the (parties) shall endeavour to secure, in agreement with other States desiring to co-operate in this matter, the adoption of effective measures for the general reduction of armaments and the prohibition of atomic, hydrogen and other weapons of mass destruction."

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No

43. Additional coder comments regarding references to alliance institutionalization:

No

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

United Nations–1)nonaggression clause is in accordance with UN charter(article 1), 2) defensive action taken will follow provisions of UN charter and will cease when Security Council takes action(article 4).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. Preamble states that: “ ...Taking into consideration, at the same time, the situation that has come about in Europe as a result of the ratification of the Paris Agreements, which provide for constitution if a new military group in the form of a “West European Union”, with the participation of a remilitarized West Germany and its inclusion ion the North Atlantic Bloc, thereby increasing the danger of a new war creating a threat to the national security of peace-loving states...”

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Article 8 declares co-operation to develop economic and cultural ties among the parties.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. Article VIII: “ The Contracting Parties declare that they will act in a spirit of friendship and cooperation to promote the further development and strengthening of the economic anc cultural ties between them, in accordance with the principles of respect for each other’s independence and sovereignty and of non-intervention in each other’s domestic affairs.”

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: UNTSno. 2962

60. Date of last revision of this coding sheet: July 17, 2004

61. Coder: Andrew Long, Ashley Leeds