

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892
Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1055.
2. COW Alliance # (if applicable): # 2013.
3. Signature date(s) (may vary for members in multilateral alliances):
October 22, 1832.
4. Members of alliance (use COW country codes):
200 United Kingdom
220 France.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
No.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
May 21, 1833.
15. Describe what caused the alliance to terminate.
Armistice between Belgium and the Netherlands (Langer, 1972: 673).

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Offense Pact.
17. Type of alliance as coded in COW data (if applicable): Defense Pact.
18. Describe the obligations of the alliance partners.

If Belgium and Netherlands do not comply with November Treaty, their said majesties will proceed, without further notice or delay, to take such measures as may appear to be necessary for enforcing the same (Article I).

If the Netherlands shall refuse to accept the agreement, France and the UK will embargo all Dutch vessels, and will order their respective cruisers to detain and send in all Dutch vessels which they may meet at sea; a combined British and French squadron shall be stationed off the coast of Holland, for the more effectual execution of this measure (Art.II).

If on the 15th of November next ensuing, the Netherlands troops should still continue within the Belgian territory, a French force shall advance into Belgium for the purpose of compelling the Netherlands troops to evacuate the said territories, provided that the King of the Belgians shall have previously signified his wish for the entrance of such French force into his Dominions for the aforesaid purpose (Art.III).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific adversary, specific location, noncompliance with demand, prior agreement among partners.

20. Describe the nature of the limits to obligations listed in #19.

France will send troops to Belgium to evacuate the Dutch troops in Belgium if the Netherlands do not comply with the French-British demand to withdraw their troops and if Belgium agrees with France with respect to taking this measure.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. If the Netherlands shall refuse to accept the agreement, France and the UK will embargo all Dutch vessels, and will order their respective cruisers to detain and send in all Dutch vessels which they may meet at sea; a combined British and French squadron shall be stationed off the coast of Holland, for the more effectual execution of this measure (Art.II).

These provisions are actually intended to occur before France takes offensive action against the Netherlands. If the Netherlands still do not comply after the embargo, France will send troops.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

Yes – agreement of Belgium, for French troops to enter Belgium.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes- Netherlands (210).

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

France and the UK both participate in the embargo against the Netherlands but only France will send troops to Belgium. Since both are engaged in military activity, though, coded as symmetric.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

This treaty is supposed to take the measures that were agreed upon in the Treaty from November 15, 1831 by Austria, Prussia, Russia, the UK, France and Belgium. Since Austria, Prussia and Russia are not willing to carry out their commitments, France and the UK decide to do so by themselves. The goal of the Treaty from November 15, 1831 as well as this treaty is to make the Netherlands remove their troops from the territory that is supposed to form the Belgium Kingdom and to have Belgium withdraw its troops from Dutch territory.

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
Yes. The French troops shall not occupy any fortified places in Belgium (Art.IV),
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
Yes. The French troops shall retire within French territories as soon as the Netherlands have surrendered and the Belgian territories have been evacuated (Art.IV).
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:
A combined British and French squadron shall be stationed off the coast of Holland, for the more effectual execution of this measure (Art.II).

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.
Yes. See 28.
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.
No.
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.
No.
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
No.
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
Yes. The UK and France are trying to resolve the conflict between Belgium and the Netherlands.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
No.
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No.
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
No.
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:
58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
59. Treaty citation: Hurst, Michael (ed.) 1972. Key Treaties for the Great Powers 1814-1914, vol.1 (1815-1870). St. Martin's Press, p.221.
60. Date of last revision of this coding sheet: 02/03/05.
61. Coder: Sara Mitchell, Michaela Mattes, Ashley Leeds.