

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 3900

2. COW Alliance # (if applicable): 3600

3. Signature date(s) (may vary for members in multilateral alliances): 18 June 1981.

4. Members of alliance (use COW country codes):

Antigua (58) (become system member 11/1/81)
Dominica (54)
Grenada (55)
Montserrat (not system member)
St. Kitts/Nevis (60) (becomes system member 9/19/83)
Saint Lucia (56)
Saint Vincent and the Grenadines (57)

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
NO.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.) Treaty

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
PUBLIC

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
YES. Article 22- "After this Treaty has entered into force in accordance with provisions of Article 21 thereof, any independent State or Territory specified in Article 2 of this Treaty may apply to the Authority to become a Full Member or Associate Member of the Organization and may, if the Authority so decides, be admitted as such in accordance with paragraphs 3 and 4 of Article 2 of this Treaty respectively."

Article 2.4- "Any other States or territories in the Caribbean region may apply to become Full or Associate Members and shall be admitted as such by a unanimous decision of the Authority."

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
YES- States and territories in the Caribbean region.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
YES. Article 24- "This Treaty shall be of unlimited duration."

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
YES. Article 24- "Any Member State, whether a Full Member or an Associate Member, may withdraw from the Organization if it decides that extraordinary events, related to the subject matter of this Treaty, have seriously endangered its supreme national interests... Such withdrawal shall take effect twelve (12) months after the notice is received."

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
NO.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect as of January 11, 2018 from UNTS

15. Describe what caused the alliance to terminate.
Still in effect

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

17. Type of alliance as coded in COW data (if applicable): Defense Pact

18. Describe the obligations of the alliance partners.

The Member States endeavour to coordinate, harmonize and pursue joint policies particularly in the fields of economic integration, mutual defense and security, international trade agreements and other external economic relations.

Article 3.1 (b) (d) "To promote unity and solidarity among the Member States and to defend their sovereignty, territorial integrity and independence; (d) To seek to achieve the fullest possible harmonization of foreign policy among the Member States; top seek to adopt, as far as possible, common positions on international issues and to establish and maintain wherever possible, arrangements for joint overseas representation and/or common services;"

Article 3.2 (a) (b) "To this end the Member States will endeavour to co-ordinate, harmonise and pursue joint policies particularly in the fields of: (a) External relations including overseas representation; (b) International trade agreements and other external economic relations;"

Article 8.3 "The Defense and Security Committee... shall advise the Authority on matters relating to external defence and on arrangements for collective security against external aggression, including mercenary aggression, with or without the support of internal or national elements."

Article 8.4 "The Defence and Security Committee shall have responsibility for coordinating the efforts of Member States for collective defence and the preservation of peace and security against external aggression and for the development of close ties among the Member States of the Organisation in matters or external defence and security, including measures to combat the activities of mercenaries, operating with or without the support of internal or national elements, in the exercise of the inherent right of individual or collective self-defence recognized by Article 51 of the Charter of the United Nations."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply) NO

20. Describe the nature of the limits to obligations listed in #19.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe. NO

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe. NO.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty. NO.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. YES

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. NO

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions. NO

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe. NO

28. Additional coder comments regarding treaty obligations: Article 11 discusses harmonization of foreign policy.

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

YES. Article 14- "1. Any dispute that may arise between two or more of the Member States regarding the interpretation and application of this Treaty shall, upon request of any of them, be amicably resolved by direct agreement.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

YES. Article 14- "2. If the dispute is not resolved within three months of the date on which the request referred to in the preceding paragraph has been made, any party to the dispute may submit it to the conciliation procedure provided for in Annex A to this Treaty by submitting a request to that effect to the Director-General of the Organization..."

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note

whether official contact is required only in the event of hostilities or also during peacetime.) NO

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions. NO.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe. NO

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe. NO

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe. YES.

The Treaty establishes the following institutions:

- a) The Authority of Heads of Government of the Member States of the Organization(the Authority)
- b) The Foreign Affairs Committee
- c) The Defence and Security Committee
- d) The Economic Affairs Committee
- e) The Central Secretariat

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe. NO.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe. YES. Article 13 specifies member states will make annual contributions.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions. NO

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference. NO

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe. NO

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe. NO

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe. NO

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference. NO

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference. NO

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference. YES. Article 9.4 states that the agreement of 11 June 1968 establishes the Eastern Caribbean Common Market is included.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference. YES. It makes reference to UN. Article 8-“ 4. The Defense and Security Committee shall have responsibility for coordinating the efforts of Member States for collective defense and the preservation of peace and security against external aggression and for the development of close ties among the Member States of the Organization in matters of external defense and security, including measures to combat the activities of mercenaries, operating with or without support of internal or national elements, in the exercise of the inherent right of individual or collective self-defense recognized by Article 51 of the Charter of the United Nations.” It also makes reference to West Indies Associated States in the preamble and emphasizes the role of this organization in furthering regional cooperation in many fields.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference. NO.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements. NO.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe. YES. The parties agree to enhance cooperation in the economic and commercial arena through the Economic Affairs Committee. Article 3.2 lists a large variety of areas of cooperation.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe. NO
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. NO
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe. NO
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe. NO
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions. YES. Common Market obligations are included in Article 9 of the treaty,
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe. NO

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty: See also ATOP 4778, Eastern Caribbean Regional Security System.
58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty
59. Treaty citation: UN, Treaty Series, no. 22435
60. Date of last revision of this coding sheet: March 25, 2005
61. Coder: SEZI ANAC, Ashley Leeds

V4: Q14 updated by Nicholas Coulombe on January 11, 2018